

Edgar Filing: AllianzGI Equity & Convertible Income Fund - Form NSAR-B

AllianzGI Equity & Convertible Income Fund  
Form NSAR-B  
March 29, 2017

PAGE 1  
000 B000000 01/31/2017  
000 C000000 0001383441  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 U  
001 A000000 ALLIANZGI EQUITY & CONVERTIBLE INCOME FUND  
001 B000000 811-21989  
001 C000000 2127393371  
002 A000000 1633 BROADWAY  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10019  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS U.S. LLC  
008 B000001 A  
008 C000001 801-69803  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10019  
008 A000002 DELETE  
010 A000001 ALLIANZ GLOBAL INVESTORS U.S. LLC  
010 B000001 801-69803  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10019  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC  
012 B000001 84-00416  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
PAGE 2  
013 B020001 NY  
013 B030001 10017  
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000001 8-41811  
014 A000002 PIMCO INVESTMENTS LLC  
014 B000002 8-68686

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014 A000003 PIMCO DEUTSCHLAND GMBH  
014 B000003 8-00000  
014 A000004 QUESTAR CAPITAL CORPORATION  
014 B000004 8-50174  
014 A000005 ALLIANZ LIFE FINANCIAL SERVICES, LLC  
014 B000005 8-13630  
014 A000006 PIMCO (SCHWEIZ) GMBH  
014 B000006 8-00000  
014 A000007 ALLIANZ GLOBAL INVESTORS (SCHWEIZ) AG  
014 B000007 8-00000  
014 A000008 ROGGE GLOBAL PARTNERS LIMITED  
014 B000008 8-0125482  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02110  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 63  
019 C000000 ALLIANZGLO  
020 A000001 DEUTSCHE BANK SECURITIES INC.  
020 B000001 13-2730828  
020 C000001 51  
020 A000002 MORGAN STANLEY & CO. LLC  
020 B000002 13-2655998  
020 C000002 49  
020 A000003 O'NEIL SECURITIES, INC.  
020 B000003 95-2269163  
020 C000003 35  
020 A000004 RBC CAPITAL MARKETS, LLC  
020 B000004 41-1228350  
020 C000004 30  
020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
020 B000005 13-5674085  
020 C000005 21  
020 A000006 EVERCORE GROUP L.L.C.  
020 B000006 20-4553818  
020 C000006 14  
020 A000007 WILLIAM BLAIR & COMPANY L.L.C.  
020 B000007 36-2214610  
020 C000007 14  
020 A000008 CITIGROUP GLOBAL MARKETS INC.  
020 B000008 11-2418191  
PAGE 3  
020 C000008 11  
020 A000009 CREDIT SUISSE SECURITIES (USA) LLC  
020 B000009 05-0546650  
020 C000009 10  
020 A000010 KEYBANC CAPITAL MARKETS INC.  
020 B000010 34-1391952  
020 C000010 8  
021 000000 278  
022 A000001 ANZ SECURITIES, INC.  
022 B000001 13-3763501  
022 C000001 919162  
022 D000001 0  
022 A000002 BNP PARIBAS PRIME BROKERAGE, INC.  
022 B000002 94-2842977  
022 C000002 416819  
022 D000002 1448

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022 A000003 J.P. MORGAN SECURITIES LLC  
022 B000003 13-3299429  
022 C000003 407195  
022 D000003 7837  
022 A000004 WELLS FARGO ADVISORS, LLC  
022 B000004 34-1542819  
022 C000004 325760  
022 D000004 2087  
022 A000005 BTMU SECURITIES, INC.  
022 B000005 04-2715139  
022 C000005 314142  
022 D000005 0  
022 A000006 DEUTSCHE BANK SECURITIES INC.  
022 B000006 13-2730828  
022 C000006 213977  
022 D000006 2974  
022 A000007 CITIGROUP GLOBAL MARKETS INC.  
022 B000007 11-2418191  
022 C000007 205128  
022 D000007 10482  
022 A000008 BNY MELLON CAPITAL MARKETS, LLC  
022 B000008 23-2384977  
022 C000008 116656  
022 D000008 0  
022 A000009 STANDARD CHARTERED SECURITIES (NA) INC.  
022 B000009 80-0238622  
022 C000009 110246  
022 D000009 0  
022 A000010 BMO CAPITAL MARKETS, CORP.  
022 B000010 13-3459853  
022 C000010 58486  
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023 C000000 3180504  
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024 000000 Y  
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025 A000001 WELLS FARGO ADVISORS, LLC  
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025 C000001 E  
025 D000001 8326  
025 A000002 BANC OF AMERICA SECURITIES LLC  
025 B000002 56-2058405  
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025 A000003 CITIGROUP GLOBAL MARKETS INC.  
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026 G010000 N

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048 C020000 0.000  
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| 066    | C000000 | N      |
| 066    | D000000 | N      |
| 066    | E000000 | N      |
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| 070    | K010000 | Y      |
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| 070    | O020000 | N      |
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| 072    | B000000 | 3448   |
| 072    | C000000 | 14035  |
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| 072 G000000 | 0      |
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| 072 R000000 | 86     |
| 072 S000000 | 50     |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 69     |
| 072 X000000 | 6250   |
| 072 Y000000 | 0      |
| 072 Z000000 | 11233  |
| 072AA000000 | 16212  |
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| 072EE000000 | 27130  |
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| 074 V010000 | 21.54  |
| 074 V020000 | 0.00   |
| 074 W000000 | 0.0000 |

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|           |                    |                                       |
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| 074       | X000000            | 11                                    |
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| 076       | 000000             | 19.03                                 |
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| 077       | B000000            | Y                                     |
| 077       | C000000            | Y                                     |
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| 080       | A000000            | GREAT AMERICAN INSURANCE COMPANY      |
| 080       | B000000            | NATIONAL UNION FIRE INSURANCE COMPANY |
| 080       | C000000            | 40000                                 |
| 081       | A000000            | Y                                     |
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| SIGNATURE | LAWRENCE ALTADONNA |                                       |
| TITLE     | TREASURER          |                                       |