Edgar Filing: OCEANFIRST FINANCIAL CORP - Form 4/A

| OCEANFIRST Form 4/A April 11, 2017 | FINANCIA | L CORP | | | | | | | | | | |
|---|---|---------------------|--|---|--------------------------|--------------------------------------|---------------|--|---|---|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | OMB | PPROVAL 3235-0287 | | | | |
| Check this b if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b). | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, e. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | | | Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type Res | ponses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Maher Christopher | | | 2. Issuer Name and Ticker or Trading Symbol OCEANFIRST FINANCIAL CORP [OCFC] | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Mo | | | (Month/Da | 3. Date of Earliest Transaction (Month/Day/Year) 03/18/2015 | | | | X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO | | | | |
| F | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) 03/20/2015 | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | |
| | 2. Transaction D Month/Day/Yea | ar) Executio any | on Date, if | 3. Transactic Code (Instr. 8) | Disposed (Instr. 3, 4 | (A) o of (D 4 and (A) or |) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Common Stock |)3/18/2015 | | | Code V A | 5,165 | (D) A | Price \$ 0 | 24,731 | D <u>(1)</u> | | | |
| Common Stock | | | | | | | | 2,514 | I | By 401(k) Plan (2) | | |
| Common Stock | | | | | | | | 475 | I | By ESOP | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) | \$ 17.37 | 03/18/2015 | | А | 74,430 (<u>3)</u> | 03/01/2016 | 03/15/2025 | Common Stock | 74,430 |
| Stock Option (Right to Buy | \$ 14.55 | | | | | 06/17/2014 | 06/17/2023 | Common Stock | 22,500 |
| Stock Option (Right to Buy | \$ 17.75 | | | | | 03/01/2015 | 03/19/2024 | Common Stock | 60,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Maher Christopher 975 HOOPER AVENUE TOMS RIVER, NJ 08754 | Х | | President and CEO | | | | |
| Signatures | | | | | | | |
| /s/ Steven J. Tsimbinos, Power Attorney | of | 04/1 | 1/2017 | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted shares awarded. Such shares vest in five equal annual installments beginning on March 1, 2016. Total includes other restricted shares that vest in the future.

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- (2) This form reflects increases in beneficial ownership resulting from exempt acquisitions pursuant to Rule 16b-3(c).
- (3) This form is being filed to correct the number of options awarded to Mr. Maher on March 18, 2015.
- (4) Options vest in five equal annual installments beginning on the date first exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.