#### Edgar Filing: GLU MOBILE INC - Form 3

#### **GLU MOBILE INC**

Form 3

March 21, 2007

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

response...

3235-0104

Expires:

January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

Nada Hany

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

03/21/2007

4. Relationship of Reporting

GLU MOBILE INC [GLUU]

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GLU MOBILE INC.. 1800

**GATEWAY DRIVE** 

(Street)

\_X\_\_ Director Officer (give title below) (specify below)

Person(s) to Issuer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SAN MATEO, CAÂ 94404

(City) (State) (Zip)

#### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

(I) (Instr. 5)

Common Stock 81,436 Common Stock 1,619

I

See footnote (1) See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

I

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. Conversion or Exercise Price of Derivative

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

1

#### Edgar Filing: GLU MOBILE INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Series D Preferred Stock	(3)	(4)	Common Stock	814,368	\$ <u>(5)</u>	I	See footnote (1)
Special Junior Preferred Stock	(3)	(4)	Common Stock	38,687	\$ <u>(5)</u>	I	See footnote (1)
Series D Preferred Stock	(3)	(4)	Common Stock	16,196	\$ <u>(5)</u>	I	See footnote (2)
Junior Preferred Sock	(3)	(4)	Common Stock	769	\$ <u>(5)</u>	I	See footnote (2)
Warrant for Common Stock	(3)	(4)	Common Stock	136,102	\$ 0.0003	I	See footnote (1)

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>F</b>	Director	10% Owner	Officer	Other		
Nada Hany C/O GLU MOBILE INC. 1800 GATEWAY DRIVE SAN MATEO, CA 94404	ÂX	Â	Â	Â		

# **Signatures**

/s/ Hany M. Nada by Kevin Chou, Attorney-in-Fact

03/21/2007

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares held by Granite Global Ventures II L.P. ("Granite Global"). Mr. Nada is a managing director of the general partner of Granite Global, which has seven individual managing directors, and shares voting and investment power with respect to the shares held by these entities with the other managing directors of the general partner. Mr. Nada disclaims beneficial ownership of these shares except to the extent of his individual pecuniary interest in this entity.
- Represents shares held by GGV II Entrepreneurs Fund L.P. ("GGV"). Mr. Nada is a managing director of the general partner of the foregoing entity, which has seven individual managing directors, and shares voting and investment power with respect to the shares held by this entity with the other managing directors of the general partner. Mr. Nada disclaims beneficial ownership of these shares except to the extent of his individual pecuniary interest in this entity.
- (3) Convertible at any time.
- (4) Not applicable.
- (5) Each share of Preferred Stock will convert into one share of Common Stock upon the closing of the Issuer's initial public offering.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2