

Eisenhuth Guy
Form 4
January 28, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Eisenhuth Guy

2. Issuer Name and Ticker or Trading Symbol
WINTRUST FINANCIAL CORP
[WTFC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
9700 WEST HIGGINS ROAD, 8TH FLOOR

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/24/2019

____ Director
 Officer (give title below) _____ Other (specify below)
EXECUTIVE VICE PRESIDENT

ROSEMONT, IL 60018

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount or Price | | |
| Common Stock | 01/24/2019 | | A | | 1,167 A \$ 0 ⁽¹⁾ | 10,053 | D |
| Common Stock | 01/25/2019 | | M | | 1,732 A \$ 30.98 | 11,785 | D |
| Common Stock | 01/25/2019 | | M | | 1,466 A \$ 37.85 | 13,251 | D |
| Common Stock | 01/25/2019 | | M | | 2,769 A \$ 46.86 | 16,020 | D |
| Common Stock | 01/25/2019 | | M | | 3,600 A \$ 44.11 | 19,620 | D |

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| | | | | | | | | |
|--------------|------------|---|-------|---|----------|--------|---|----------------|
| Common Stock | 01/25/2019 | M | 2,802 | A | \$ 40.87 | 22,422 | D | |
| Common Stock | 01/25/2019 | F | 8,689 | D | \$ 72.69 | 13,733 | D | |
| Common Stock | | | | | | 25 | I | by 401(k) plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Non-Qualified Stock Option (right to buy) | \$ 30.98 | 01/25/2019 | | M | 1,732 | 01/26/2015 | 01/26/2019 | Common Stock | 1,732 |
| Non-Qualified Stock Option (right to buy) | \$ 37.85 | 01/25/2019 | | M | 1,466 | 01/24/2016 | 01/24/2020 | Common Stock | 1,466 |
| Non-Qualified Stock Option (right to buy) | \$ 46.86 | 01/25/2019 | | M | 2,769 | 01/23/2017 | 01/23/2021 | Common Stock | 2,769 |
| Non-Qualified Stock Option (right to buy) | \$ 44.11 | 01/25/2019 | | M | 3,600 | 01/22/2018 | 01/22/2022 | Common Stock | 3,600 |
| Non-Qualified Stock Option (right to buy) | \$ 40.87 | 01/25/2019 | | M | 2,802 | 01/28/2018 | 01/28/2023 | Common Stock | 2,802 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Eisenhuth Guy 9700 WEST HIGGINS ROAD, 8TH FLOOR ROSEMONT, IL 60018 | | | EXECUTIVE VICE PRESIDENT | |

Signatures

/s/Kathleen M. Boege,
Attorney-in-fact

01/28/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents stock awarded upon attainment of performance objectives under the Company's Long Term Incentive Program.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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