

Edgar Filing: LEER STEVEN F - Form 5

LEER STEVEN F
Form 5
February 14, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Leer Steven F.

(Last) (First) (Middle)

CityPlace One, Suite 300

(Street)

St. Louis MO 63141

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Arch Coal, Inc. (ACI)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

December 31, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director

☐ 10% Owner

Edgar Filing: LEER STEVEN F - Form 5

[X] Officer (give title below)
President and Chief Executive Officer

[_] Other (specify below)

7. Individual or Joint/Group Filing
(Check applicable line)

[X] Form filed by one Reporting Person

☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

			(A)
			Amount or Price
			(D)

Common Stock

Common Stock-401(k) plan	(1)	I	5,390	A	(1)
--------------------------	-----	---	-------	---	-----

(1) Acquired on various dates in 2001 pursuant to Arch Coal Inc.'s 401(k) plan at prices from \$1

* If the form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially

Edgar Filing: LEER STEVEN F - Form 5

owned directly or indirectly.

(Print or Type Responses)

(Over)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

=====

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
--	---	--	---	--	---	--

Employee Stock Option	\$21.95	2/22/01	A	31,700	(1)	2/22/11	Common Stock	31,700
--------------------------	---------	---------	---	--------	-----	---------	-----------------	--------

Employee Stock Option	\$22.90	6/27/01	A	145,700	1/1/04	6/30/06	Common Stock	145,700
--------------------------	---------	---------	---	---------	--------	---------	-----------------	---------

=====

(1) Vests in three equal annual installments commencing on 2/22/02.

Explanation of Responses:

Edgar Filing: LEER STEVEN F - Form 5

/s/ Janet L. Horgan

February 14, 2002

By: Janet L. Horgan, as Attorney-in-Fact

Date

**Signature of Reporting Person

** Intentional misstatements or omissions of facts constitute Federal Criminal
Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Page 2