Edgar Filing: BLAIR JAMES R - Form 4

BLAIR JAME Form 4												
December 11,										OMB AI	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287			
Check this if no longer	-	MENT O		NERSHIP OF	Expires:	January 31, 2005						
In the tonget STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated aver subject to SECURITIES Estimated aver Section 16. Form 4 or Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Estimated aver Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935, or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction 30(h) of the Investment Company Act of 1940 1940												
(Print or Type Re	sponses)											
1. Name and Address of Reporting Person <u>*</u> BLAIR JAMES R			2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
		HERITA [HTBK]				MERCE	COF	ΚΡ	(Check all applicable)			
(Last) (First) (Middle) 150 ALMADEN BOULEVARD			3. Date of Earliest Transaction (Month/Day/Year) 12/09/2009					X_ Director10% Owner Officer (give titleOther (specify below)below)				
SAN JOSE, C		4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 					
(City)	(State)	(Zip)	Table 1	[- Non	-Der	vivative Se	curiti	ies Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	eemed tion Date, if th/Day/Year)	on Date, if TransactionAcquired (A) or Code Disposed of (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct Indirect ly (D) or Beneficia Indirect (I) Ownershi (Instr. 4) (Instr. 4)			
Heritage				Code	V	Amount	or (D)	Price	(Instr. 3 and 4)			
Commerce Corp - Common Stock	12/09/2009			Р		254	A	\$4	58,677	D		
Heritage Commerce Corp - Common Stock									15,322	I	Indirect by Trust	
Heritage Commerce									160	Ι	Indirect by spouse	

Corp -Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address									
	Director	10% Owner	Officer	Other					
BLAIR JAMES R 150 ALMADEN BOULEVARD SAN JOSE, CA 95113	Х								
Signatures									
By: Janet Walworth as Attorney in fact For: James									
Blair			12	/11/2009					
**Signature of Reporting Po	erson			Date					

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.