

Edgar Filing: NEUBERGER BERMAN INC - Form 13F-NT

NEUBERGER BERMAN INC
Form 13F-NT
November 09, 2001

UNITED STATES
SECURITIES AND
EXCHANGE
COMMISSION
Washington, D.C
20549

FORM 13F
FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended:
September 30, 2001 Check here if Amendment [];
Amendment Number:
This Amendment (Check only one.): [] is a
restatement.

[] adds new

holdings entries.

Institutional Investment Manager Filing this Report:
Name : NEUBERGER BERMAN, INC
Address: 605 THIRD AVENUE

NEW YORK
NEW YORK 10158-3698

13F File Number:

The institutional investment manager filing this
report and the person by whom it is signed
hereby represent that the person signing the
report is authorized to submit it, that all
information contained herein is true, correct
and complete, and that it is understood that all
required items, statements, schedules, lists,
and tables, are considered integral part(s) of
this form.

Person signing this report on behalf of

Reporting Manager:

Name: KEVIN HANDWERKER

Title: SENIOR VICE PRESIDENT AND GENERAL COUNSEL

Phone: (212) 476-9850

Signature, Place, and Date of signing:

Kevin Handwerker, New York, New York, September
30, 2001

Report Type (Check only One)

[] 13F Holdings Report

[X] 13F Notice

[] 13F Combination Report

List of Other Managers reporting for this

Manager: NEUBERGER BERMAN, LLC

I AM SIGNING THIS REPORT AS REQUIRED BY
THE SECURITIES EXCHANGE ACT OF 1934