### Edgar Filing: TriState Capital Holdings, Inc. - Form 4

TriState Capital Holdings, Inc. Form 4 February 04, 2014

February 04	4, 2014									
Check	Check this box							OMMISSION	OMB A OMB Number: Expires:	APPROVAL 3235-0287 January 31,
subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated burden ho response.	urs per	
1. Name and FARRELI	g Person <u>*</u>	2. Issuer Name <b>and</b> Ticker or Trading Symbol TriState Capital Holdings, Inc. [TSC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) ONE OXF GRANT S		3. Date of Earliest Transaction (Month/Day/Year) 02/03/2014					X_ Director 10% Owner Officer (give title Other (specify below) below)			
PITTSBU	(Street) RGH, PA 15219			nendment, I Ionth/Day/Ye	-	ıal		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting I	Person
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivativ	e Secu	ırities Acqı	iired, Disposed of	, or Beneficia	ally Owned
1.Title of Security       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution D any (Month/Day         (Instr. 3)       any (Month/Day		Date, if Transaction Disposed of (D) Code (Instr. 3, 4 and 5) y/Year) (Instr. 8) (A) or				(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/03/2014			P		A	\$ 13.5107	10,000	I	By Farrell Investment Company, LLC (2)
										By Farrell

Common Stock

Common Stock Family

Limited

(3)

Partnership

22,500

2,500

I

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Title Amour Underl Securit (Instr. 1	nt of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

		Relatio	onships		
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
FARRELL MICHAEL J ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219	X				
Signatures					
/s/ Keevican Weiss Bauerle & Hirsch I Attorney-in-Fact	02/04/2014				

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$13.4600 to \$13.5350, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of

- (1) the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (2) Mr. Farrell is the Manager and President of this entity
- (3) Mr. Farrell is the General Partner of this entity.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Δ

Date

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