Edgar Filing: Peabody Mark Baker - Form 4

Form 4											
March 05, 20 FORN	14 UNITED	STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	PROVAL 3235-0287 January 31,	
if no long subject to Section 1 Form 4 o Form 5 obligatio may cont	if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					e Act of 1934, 1935 or Section	Expires. 2005 Estimated average burden hours per response 0.5				
(Print or Type I	Responses)										
Peabody Mark Baker Symbol			Symbol	er Name and Ticker or Trading ONCRETE INC [USCR]				5. Relationship of Reporting Person(s) to Issuer			
(Last) C/O US CO MAIN ST.	(First) (Middle) 331 N.	3. Date of (Month/D 03/01/20	-	ansaction			Director X Officer (give below)		Owner er (specify	
	(Street)			ndment, Da hth/Day/Year)	-	l		6. Individual or Jo Applicable Line) _X_ Form filed by C	One Reporting Pe	rson	
EULESS, T	X 76039							Form filed by M Person	fore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	sposed	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common stock	03/01/2019			Code V F	Amount 761	(D) D	Price \$ 40.86	(Instr. 3 and 4) 26,959	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted stock units	<u>(1)</u>	03/01/2019		А	7,000	(2)(3)	(2)(3)	Common stock	7,000	\$

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Peabody Mark Baker C/O US CONCRETE, INC. 331 N. MAIN ST. EULESS, TX 76039			VP - Human Resources				
Signatures							
/s/ CiCi Sepehri, as Attorney-in Peabody	n-Fact for	Mark Baker	. 03/05/201	9			
<u>**</u> Signature of Repo	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit was granted from the U.S. Concrete, Inc. Long Term Incentive Plan and represents the right to receive one share of common stock upon vesting.

The Restricted Stock Units were granted on March 1, 2019 and will vest as follows: (i) 60% of the total number of the awarded shares are Time-Based and will become vested over three years in equal annual installments from the date of grant, and (ii) the remaining 40% of the awarded shares are Performance-Based, half (or 50%) of which shall vest on the day that the average of the daily volume-weighted

(2) average share price of the Company's stock over any period of 20 consecutive trading days attains \$45.90 per share within the three-year period beginning on the date of grant, and the remaining half of which shall vest on the day that the average of the daily volume-weighted average share price of the Company's stock over any period of 20 consecutive trading days attains \$49.90 per share within the three-year period beginning on the date of grant.

The Performance-Based portion of the Restricted Stock Units would result in vesting into additional shares if the average of the daily VWAP over any period of 20 consecutive trading days attains (i) \$54.10 per share (resulting in the vesting into an additional 1,400

(3) v with over any period of 20 consecutive making days attains (i) 504.10 per share (resulting in the vesting into an additional 1,400 shares) within the three-year period from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.