DEXCOM INC Form 4

September 13, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * VALDES JORGE A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

DEXCOM INC [DXCM]

(Check all applicable)

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

10% Owner _ Other (specify

(Month/Day/Year)

09/09/2016

X_ Officer (give title below) below)

Director

CTO

6340 SEQUENCE DRIVE

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

SAN DIEGO, CA 92121

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/09/2016		M	12,000	A	\$ 7.63	103,667	D	
Common Stock	09/09/2016		S	12,000 (1)	D	\$ 93.6591 (2)	91,667 (3)	D	
Common Stock	09/09/2016		J	11,833 (4)	D	\$ 95.8	79,834 (3)	D	
Common Stock	09/09/2016		J	7,500 (4)	D	\$ 95.8	72,334 (3)	D	
Common Stock	09/09/2016		J	11,833 (4)	A	\$ 95.8	13,383	I	by Trust

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Common Stock	09/09/2016	J	7,500 (4)	A	\$ 95.8	20,883	I	by Trust (5)
Common Stock	09/09/2016	D	6,312 (6)	D	\$ 93.7227	14,571	I	by Trust (5)
Common Stock	09/09/2016	D	4,001 (6)	D	\$ 93.7226	10,570	I	by Trust (5)
Common Stock	09/12/2016	S	7,071	D	\$ 92.0612 (7)	3,499	I	by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
	Security				(D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 7.63	09/09/2016		M	12,000	05/19/2009	05/19/2018	Common Stock	12

Reporting Owners

Reporting Owner Name / Address	Relationships						
Troporting O William (Trum oss	Director	10% Owner	Officer	Other			
VALDES JORGE A							
6340 SEQUENCE DRIVE			CTO				
SAN DIEGO, CA 92121							
Signatures							

By: Kevin Sun For: Jorge Valdes 09/13/2016 **Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: DEXCOM INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On March 7, 2016 Mr. Valdes adopted a 10b5-1 Plan. This 10b5-1 Plan allows the orderly disposition of shares owned by Mr. Valdes. The shares set forth above were sold pursuant to the 10b5-1 Plan.
- This transaction was executed in multiple trades at prices ranging from \$92.85 to \$94.98. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- Included in this number are 72,334 unvested restricted stock units, 38,000 of which were granted on March 8, 2016 and shall vest on March 8, 2019, 22,500 of which were granted on March 8, 2015 and shall vest through March 8, 2018 and 11,834 of which were granted on March 8, 2014 and shall vest through March 8, 2017.
- (4) Shares were transferred from direct ownership to ownership under the Valdes-Lopez-Calleja Family Trust upon vesting of previously awarded restricted stock units.
- (5) Shares are held by the Valdes-Lopez-Calleja Family Trust U/A/D 05/18/2011, with respect to which the reporting person is a trustee.
- (6) These shares were sold to cover the Company's tax withholding obligation that accrued in connection with the vesting of restricted stock units previously granted.
- This transaction was executed in multiple trades at prices ranging from \$92.06 to \$92.07. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Remarks:

CONFIRMING STATEMENT: This Statement confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirms that the undersigned Jorge Valdes, has authorized and designated Statement Confirmation (Institute Confirmation Confirma

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