

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

EQSF ADVISERS INC
Form SC 13G/A
January 10, 2002

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

SCHEDULE 13G
UNDER THE SECURITIES EXCHANGE ACT OF 1934

(AMENDMENT NO. 2)

YORK RESEARCH CORP.

(NAME OF ISSUER)

COMMON STOCK, \$.01 PAR VALUE PER SHARE

(TITLE OF CLASS OF SECURITIES)

987048105

(CUSIP NUMBER)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the notes).

1 NAME OF REPORTING PERSONS
 S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS

Aggressive Conservative Investment Fund, L.P.

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a)

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

(b) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware Corporation

5 SOLE VOTING POWER

NUMBER OF 407,450

6 SHARED VOTING POWER

BENEFICIALLY OWNED BY None

OWNED BY

7 SOLE DISPOSITIVE POWER

EACH 407,450

8 SHARED DISPOSITIVE POWER

None

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

407,450

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
[]

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
2.50%

12 TYPE OF REPORTING PERSON*

PN

1 NAME OF REPORTING PERSONS
S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

Rumpere Capital Trading

(EIN 13-4093971)

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) []
(b) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION
New York Corporation

5 SOLE VOTING POWER

807,100

NUMBER OF

SHARES
BENEFICIALLY
OWNED BY

6 SHARED VOTING POWER

None

EACH

7 SOLE DISPOSITIVE POWER

807,100

WITH

8 SHARED DISPOSITIVE POWER

None

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

807,100

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
[]

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

4.96%

12 TYPE OF REPORTING PERSON*

PN

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

1 NAME OF REPORTING PERSONS
S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS

Ashley Thacher

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) []
(b) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION
USA

	5	SOLE VOTING POWER
NUMBER OF		1,000
SHARES	6	SHARED VOTING POWER
BENEFICIALLY OWNED BY EACH PERSON WITH		None
	7	SOLE DISPOSITIVE POWER
		1,000
	8	SHARED DISPOSITIVE POWER
		None

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
1,000

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* []

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
.007%

12 TYPE OF REPORTING PERSON*

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

IN

1 NAME OF REPORTING PERSONS
S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS

Michael Winer

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) []
(b) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION
USA

5 SOLE VOTING POWER
NUMBER OF 5,000
SHARES
BENEFICIALLY OWNED BY
EACH PERSON WITH
6 SHARED VOTING POWER
None
7 SOLE DISPOSITIVE POWER
5,000
8 SHARED DISPOSITIVE POWER
None

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
5,000

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
[]

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
.033%

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

12 TYPE OF REPORTING PERSON*

IN

1 NAME OF REPORTING PERSONS
S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS

Peter Faulkner

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) []
(b) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION
USA

5 SOLE VOTING POWER

NUMBER OF 6,300

6 SHARED VOTING POWER

None

BENEFICIALLY

OWNED BY

EACH

7 SOLE DISPOSITIVE POWER

6,300

PERSON WITH

8 SHARED DISPOSITIVE POWER

None

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

6,300

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
[]

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
.041%

12 TYPE OF REPORTING PERSON*
IN

1 NAME OF REPORTING PERSONS
S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS
Peter Faulkner UGMA Clifford Dantes

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* (a) []
(b) [X]

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION
USA

	5	SOLE VOTING POWER	
NUMBER OF			1,000
	6	SHARED VOTING POWER	
SHARES			None
BENEFICIALLY			
OWNED BY			
EACH	7	SOLE DISPOSITIVE POWER	
			1,000
PERSON WITH	8	SHARED DISPOSITIVE POWER	
			None
9		AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
			1,000

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
[]

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)
.007%

12 TYPE OF REPORTING PERSON*

IN

ITEM 1.

(A) NAME OF ISSUER:

York Research Corporatin (the "Issuer")

(B) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

280 Park Avenue, Suite 2700 West, New York, NY 10017

ITEM 2.

(A) NAME OF PERSON FILING:

This schedule is being jointly filed by Aggressive Conservative Investment Fund, L.P. ("ACIF"), Rumpere Capital Trading ("Rumpere") and Ashley Thatcher, Michael Winer, Peter Faulkner, and Faulkner UGMA Clifford Dantes. (ACIF, Rumpere, Ashley Thatcher, Michael Winer, and Peter Faulkner are sometimes collectively referred to hereinafter as "Filer"). Attached hereto as an exhibit is a copy of the joint Schedule 13G filing agreement among the reporting persons.

(B) ADDRESS OF PRINCIPAL BUSINESS OFFICE:

The address of the principal executive office of ACIF, Rumpere, Ashley Thatcher, Michael Winer, Peter Faulkner, and Faulkner UGMA Clifford Danteis: 767 Third Avenue, New York, New York 10017-2023.

(C) CITIZENSHIP:

The citizenship or place of organization of each of the reporting persons is as follows:

ACIF

Delaware State corporation.

Ashley Thatcher

United States citizen.

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

Ashley Thatcher

United States citizen.

Peter Faulkner

United States citizen.

Micheal Winer

United States citizen.

(D) TITLE OF CLASS OF SECURITIES:

Common Stock, \$.01 par value per share.

(E) CUSIP NUMBER:

987048105

ITEM 3. IF THIS STATEMENT IS BEING FILED PURSUANT TO RULES 13D-1(B), OR 13D-2(B), CHECK WHETHER THE PERSON FILING IS A:

ITEM 4. OWNERSHIP.

(a) & (b) The Filer beneficially owns 775,900 shares, or 5.08% of the class of securities of the issuer.

(c) (i) Filer: 775,900

(ii) Not applicable.

(iii) Filer: 775,900

(iv) Not applicable.

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable.

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Aggressive Conservative Investment Fund, L.P. has the right to receive dividends from, and the proceeds from the sale of, 407,450 of the shares reported by ACIF, Rumpere Capital Trading has the right to receive dividends from, and the proceeds from the sale of, 807,100 of the shares reported by ACIF, Ashley Thatcher Profit Sharing Plan has the right to receive dividends from, and the proceeds from the sale of, 1,000 of the shares reported by ACIF, Michael Winer Profit Sharing Plan has the right to receive dividends from, and the proceeds from the sale of, 5,000 of the shares reported by ACIF, Peter Faulkner Profit Sharing Plan has the right to receive dividends from, and the proceeds from the sale of, 6,300 of the shares reported by ACIF and Faulkner UGMA Clifford Dantes has the right to receive dividends from, and the proceeds from

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

the sale of, 1,000 of the shares reported by ACIF. All of these entitites and persons are afffiliated.

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not Applicable.

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

ITEM 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

ITEM 10. CERTIFICATION.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purposes or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

January 10, 2002

(Date)

Aggressive Conservative Investment Fund, LP

BY:/S/ MARTIN J. WHITMAN

Martin J. Whitman
Senior Portfolio Manager

BY:/S/ Peter M. Faulkner

Peter M. Faulkner
Portfolio Manager

BY:/S/ Michael Winer

Michael Winer
Portfolio Manager

Peter Faulkner UGMA Clifford Dantes

Edgar Filing: EQSF ADVISERS INC - Form SC 13G/A

BY:/S/ Peter M. Faulkner

Peter M. Faulkner

BY:/S/ Ashley Thacher

Ashley Thacher

BY:/S/ Michael Winer

Michael Winer

BY:/S/ Peter M. Faulkner

Peter M. Faulkner