

Greiner Christopher E  
 Form 4  
 April 18, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Greiner Christopher E

(Last) (First) (Middle)  
 C/O LIVEPERSON, INC., 475  
 TENTH AVENUE, 5TH FLOOR  
 (Street)

NEW YORK, NY 10018

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 LIVEPERSON INC [LPSN]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 04/11/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP, Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount or (D) Price                                                                           |                                                          |                                            |
| Common Stock                    | 04/11/2019                           |                                                    | A                              |                                                                   | 20,000 (1) \$ 0                                                                               | D                                                        |                                            |
| Common Stock                    | 04/15/2019                           |                                                    | S                              |                                                                   | 5,033 (3) \$ 29.66                                                                            | D                                                        |                                            |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
 (9-02)

Edgar Filing: Greiner Christopher E - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|----------------------------|
| Common Stock                               | \$ 29.55                                               | 04/11/2019                           |                                                    | A                              | 40,000                                                                                  | <u>(5)</u> 04/11/2029                                    | Common Stock                                                  | 40,000                     |

## Reporting Owners

| Reporting Owner Name / Address                                                                      | Relationships |           |                              |       |
|-----------------------------------------------------------------------------------------------------|---------------|-----------|------------------------------|-------|
|                                                                                                     | Director      | 10% Owner | Officer                      | Other |
| Greiner Christopher E<br>C/O LIVEPERSON, INC.,<br>475 TENTH AVENUE, 5TH FLOOR<br>NEW YORK, NY 10018 |               |           | EVP, Chief Financial Officer |       |

## Signatures

/s/ Monica L. Greenberg Attorney-in-Fact for Christopher Greiner 04/17/2019

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted an award of restricted stock units under the terms of the LivePerson, Inc. 2009 Stock Incentive Plan consisting of a grant of 20,000 restricted stock units, which represents a contingent right to receive one share of common stock. The restricted stock unit award vests as follows: 25% of the units vest on April 11, 2020 and 6.25% of the units vest each quarter thereafter, subject to the reporting person's employment through each such vesting date.
- (2) Number reported includes 65,000 unvested restricted stock units granted to and held by the reporting person following the reported transaction.
- (3) Shares sold in order to cover the reporting person's tax liability incurred in connection with the vesting of the reporting person's restricted stock units on March 19, 2019.
- (4) Number reported includes 65,000 unvested restricted stock units granted to and held by the reporting person as of the date of this filing.
- (5) This stock option, granted under the terms of the LivePerson, Inc. 2009 Stock Incentive Plan, is scheduled to vest 25% on April 11, 2020 and 6.25% each quarter thereafter, subject to the reporting person's employment through each such vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.