XTENT INC Form 3 January 31, 2007

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Campbell Randolph E

(Last)

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year) 01/31/2007

XTENT INC [XTNT]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Chief Technical Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O XTENT, INC., 125 **CONSTITUTION DRIVE** 

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One Reporting Person

MENLO PARK, Â CAÂ 94025

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

Common Stock

(City)

258,250

(Instr. 5)

SEC 1473 (7-02)

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Derivative Security

Security: Direct (D) Edgar Filing: XTENT INC - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

Employee Stock Option (Right to Buy)  $\hat{A} \stackrel{(1)}{=} 05/01/2016 \stackrel{Common}{Stock} 61,634 $3.5$  D  $\hat{A}$ 

## **Reporting Owners**

Reporting Owner Name / Address Director 10% Owner Officer Other Campbell Randolph E C/O XTENT, INC.  $\hat{A}$   $\hat{A}$   $\hat{A}$  Chief Technical Officer  $\hat{A}$  MENLO PARK,  $\hat{A}$  CA $\hat{A}$  94025

## **Signatures**

Person

/s/ Randolph E.
Campbell

\*\*Signature of Reporting

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All shares subject to the option may be exercised immediately but vest as to 1/48 per month following the vesting commencement date of 05/01/2006.

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#### **Remarks:**

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement o Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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