Edgar Filing: HARTNESS THOMAS P. - Form 3

HARTNESS THOMAS P.

Form 3

November 28, 2012

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Scio Diamond Technology Corp [SCIO] HARTNESS THOMAS P. (Month/Day/Year) 08/07/2012 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 300 SMITH ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) _X__ 10% Owner Director _X_ Form filed by One Reporting Officer Other Person GREENVILLE, Â SCÂ 29615 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) By Self as Trustee for Thomas P. Common Stock 2,500,000 I Hartness Revocable Trust u/a DTD July 30, 2010

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrant (to Purchase Common Stock)	(1)	(1)	Common Stock	2,500,000	\$ 1.6	I	By Self as Trustee for Thomas P. Hartness Revocable Trust u/a DTD July 30, 2010

Reporting Owners

Reporting Owner Name / Address	Relationships					
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other		
HARTNESS THOMAS P. 300 SMITH ROAD GREENVILLE, SC 29615	Â	ÂX	Â	Â		

Signatures

Thomas P.
Hartness

**Signature of Reporting Person

Thomas P.

10/31/2012

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The warrants to purchase common stock were issued pursuant to a staged investment in the Company by the Thomas P. Hartness

Revocable Trust u/a DTD July 30, 2010, of which Mr. Hartness is the sole trustee, and, therefore, have varying issuance dates ranging from May 4, 2012 through August 7, 2012. The warrants were immediately exercisable upon issuance and each warrant has a three-year term, which expires upon the third anniversary of the respective warrant issuance.

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Remarks:

Exhibit Index: Exhibit 24.1, Power of Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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