### Edgar Filing: WALT DAVID R - Form 4

| WALT DA<br>Form 4<br>October 16,  |                               |                 |   |   |                        |                  |   |  |   |                            |                       |
|---|-------------------------------|-----------------|---|---|------------------------|------------------|---|--|---|----------------------------|-----------------------|
| FORM  | Л 4                           |                 |   |   |                        |                  |   |  |   | APPROVA                    | L                     |
| UNITED STATES   |                               |                 |   | SECURITIES AND EXCHANGE C<br>Washington, D.C. 20549 |                        |                  |   |  | OMB<br>Number:  | 3235-                      | 0287                  |
| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or  |                               | MENT OI         |   | NGES II   |                        | FICI             |   | NERSHIP OF   | Expires:<br>Estimate<br>burden h<br>response                      | d average<br>ours per      | ry 31,<br>2005<br>0.5 |
| Form 5<br>obligati<br>may con<br><i>See</i> Inst<br>1(b).   | ons<br>ntinue. Section 17     | (a) of the l    | Public U  | Jtility Ho  |                        | ompa             | ny Act of   | e Act of 1934,<br>f 1935 or Sectio<br>40   | on  |                            |                       |
| (Print or Type  | Responses)                    |                 |   |   |                        |                  |   |  |   |                            |                       |
| 1. Name and WALT DA   | Address of Reporting<br>VID R | Person <u>*</u> | Symbol  |   | nd Ticker              |                  | ding  | 5. Relationship o<br>Issuer  | f Reporting F   | Person(s) to               |                       |
| (Last)  | (First) (                     | (Middle)        |   |   | IC [ILMI<br>Transactio | -                |   | (Che   | ck all applica  | ble)                       |                       |
| , <i>,</i> ,  | NE CENTRE DF                  | · · ·           |   | Day/Year)   |                        |                  |   | X Director<br>Officer (give<br>below)  |   | 0% Owner<br>Other (specify |                       |
| SAN DIEC  | (Street)<br>50, CA 92121-19   | 75              |   | endment,<br>onth/Day/Y                              | Date Origin<br>ear)    | nal              |   | 6. Individual or J<br>Applicable Line)<br>_X_ Form filed by 1<br>Form filed by 1 | One Reporting   | g Person                   |                       |
| (City)  | (State)                       | (Zip)           | Tal   | ole I - Nor   | 1-Derivativ            | e Sec            | urities Aco   | Person<br>uired, Disposed o  | f. or Benefic   | rially Owned               | đ                     |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction Date<br>(Month/Day/Year)2A. Deeme<br>Execution I<br>any<br>(Month/Da |                               |                 | d 3. 4. Securities Acquired<br>Date, if Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>y/Year) (Instr. 8) |   |                        |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)                     | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) | of                         |                       |
|   |                               |                 |   | Code V  | Amount                 | (A)<br>or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)   | (Instr. 4)  |                            |                       |
| Common<br>Stock   | 10/14/2008                    |                 |   | S   | 9,300<br>(1)           | D                | \$<br>31.131<br>(2)                                 | 1,169,286  | D   |                            |                       |
| Common<br>Stock   | 10/14/2008                    |                 |   | S   | 700 <u>(1)</u>         | D                | \$<br>32.256<br>(3)                                 | 1,168,586  | D   |                            |                       |
| Common<br>Stock   | 10/14/2008                    |                 |   | S   | 9,200<br>(1)           | D                | \$<br>31.132<br>(4)                                 | 173,760  | I   | by Spous                   | se                    |
| Common<br>Stock   | 10/14/2008                    |                 |   | S   | 800 <u>(1)</u>         | D                | \$<br>32.183  | 172,960 <u>(6)</u>   | I   | by Spous                   | se                    |

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| Common<br>Stock | 60,000 | Ι | by<br>Corporation |
|-----------------|--------|---|-------------------|
| Common<br>Stock | 9,080  | Ι | by Daughter       |
| Common<br>Stock | 11,480 | I | by Trust          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | . ,    | 5.<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) | Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |  | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--------|--|-------------------------------------|--------------------|---|--|---|
|   |   |   | Code V | (Instr. 3,<br>4, and 5)<br>(A) (D)   | Date<br>Exercisable                 | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                               | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| WALT DAVID R<br>9885 TOWNE CENTRE DRIVE<br>SAN DIEGO, CA 92121-1975 | Х             |           |         |       |  |  |  |
| Signatures  |               |           |         |       |  |  |  |
| By: Octavio Espinoza For: David<br>R. Walt                          |               | 10/16/20  | 008     |       |  |  |  |
| **Signature of Reporting Person                                     |               | Date      |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10B5-1 plan.
- (2) Weighted average sale price representing 9,300 shares sold ranging from \$30.695 to \$31.66 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 700 shares sold ranging from \$32.06 to \$32.44 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 9,200 shares sold ranging from \$30.695 to \$31.665 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 800 shares sold ranging from \$31.71 to \$32.44 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Securities indirectly held by Corporation, by Daughter and by Trust have not been adjusted for Illumina, Inc.'s two for one stock split on Sept. 22, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.