VICOR CORP Form 4 June 19, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

(Print or Type Responses)

1(b).

(Last)

Security

(Instr. 3)

1. Name and Address of Reporting Person \* EICHTEN ESTIA J

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

VICOR CORP [vicr] 3. Date of Earliest Transaction

(Check all applicable)

25 FRONTAGE ROAD

(Month/Day/Year)

Filed(Month/Day/Year)

X\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

06/17/2013

(Street) 4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ANDOVER, MA 01810

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount 4 Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative **Expiration Date** Underlying Securities Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) or

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|                                     | Derivative<br>Security |            |      | Disposed of (D) (Instr. 3, 4, and 5) |        |       |                     |                    |                 |                                 |
|-------------------------------------|------------------------|------------|------|--------------------------------------|--------|-------|---------------------|--------------------|-----------------|---------------------------------|
|                                     |                        |            | Code | V                                    | (A)    | (D)   | Date<br>Exercisable | Expiration<br>Date | Title           | Amoun<br>or<br>Numbe<br>of Shar |
| Non<br>Qualified<br>Stock<br>Option | \$ 11.7                | 06/17/2013 | D    |                                      |        | 4,274 | <u>(1)</u>          | 06/24/2013         | Common<br>Stock | 4,274                           |
| Non<br>Qualified<br>Stock<br>Option | \$ 15.64               | 06/17/2013 | D    |                                      |        | 3,197 | <u>(2)</u>          | 06/23/2014         | Common<br>Stock | 3,19                            |
| Non<br>Qualified<br>Stock<br>Option | \$ 6.08                | 06/17/2013 | D    |                                      |        | 8,224 | (3)                 | 06/21/2015         | Common<br>Stock | 8,224                           |
| Non<br>Qualified<br>Stock<br>Option | \$ 6.29                | 06/17/2013 | A    |                                      | 15,695 |       | <u>(4)</u>          | 06/17/2023         | Common<br>Stock | 15,69                           |

### **Reporting Owners**

| Reporting Owner Name / Address                           | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| reporting Owner Funite / Futuress                        | Director      | 10% Owner | Officer | Other |  |  |  |
| EICHTEN ESTIA J<br>25 FRONTAGE ROAD<br>ANDOVER, MA 01810 | X             |           |         |       |  |  |  |

#### **Signatures**

/s/Kemble D. Morrison Attorney in Fact for Estia J.
Eichten 06/19/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted 6/24/2010 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.
- (2) Granted 6/23/2011 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.
- (3) Granted 6/21/2012 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.
- (4) The replacement options vest over a five year period in equal installments.
- (5) On 6/17/2013, the issuer canceled, pursuant to the issuer's option exchange offer, options granted to the reporting person. In exchange the reporting person received replacement options with a \$6.29 exercise price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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