Enos Gerald Adams JR Form 4 February 12, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0287

Check this box if no longer subject to Section 16.

Number: January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Enos Gerald Adams JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Zip)

WACHOVIA CORP NEW [WB]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

10% Owner \_X\_\_ Officer (give title \_ Other (specify

WACHOVIA CORPORATION, 301

(Street)

(State)

02/08/2007

below)

below)

SOUTH COLLEGE STREET

Director

SEVP Ops., Tech & eComm

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

CHARLOTTE, NC

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		 		~			-,	-,
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock		Co <b>uc</b> v	rimount	(2)	71100	579.9015	I	By 401k
Common Stock						2	I	By Son
Common Stock	02/08/2007	M	876	A	\$ 32	24,731.149	D	
Common Stock	02/08/2007	S	642	D	\$ 56.96	24,089.149	D	
Common Stock	02/08/2007	M(4)	1,649	A	\$ 32	25,738.149	D	

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Common Stock 02/08/2007 F 926 D  $\begin{array}{c} \$ & 24,812.149 \\ 56.96 & \begin{array}{c} (5) & (6) \end{array} \end{array}$  D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
ESOP (Right to Buy) (1)	\$ 32	02/08/2007		M	876	01/28/2001(2)	01/28/2010	Common Stock	876
ESOP (Right to Buy) (3)	\$ 32	02/08/2007		M	1,649	01/28/2001(2)	01/28/2010	Common Stock	1,649

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Enos Gerald Adams JR WACHOVIA CORPORATION 301 SOUTH COLLEGE STREET CHARLOTTE, NC

SEVP Ops., Tech & eComm

**Signatures** 

Gerald Adams
Enos JR
02/09/2007

\*\*Signature of Date
Reporting Person

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (2) The option becomes exercisable in 20% increments on each of January 28, 2001, 2002, 2003, 2004, and 2005, respectively.
- (3) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price. The exercise of certain options in any one year is limited to 100,000 dollars.
- (4) The exercise price for the 1,649 shares was paid by delivering 926 shares of common stock at a fair market value of \$56.96 plus \$23.04 in cash.
- (5) As of 2/8/2007, includes 21,749 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.
- (6) Includes, as part of the Wachovia Corporation Dividend Reinvestment Plan, the following shares of Wachovia Corporation stock: .039 on 6/15/07, .041 on 9/15/07 and .04 on 12/15/07.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.