Edgar Filing: WACHOVIA CORP NEW - Form 4

WACHOVIA CORP NEW

Form 4

February 21, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

OMB APPROVAL

response...

burden hours per

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * TREANOR MARK C

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

WACHOVIA CORP NEW [WB]

(Check all applicable)

(Last)

(City)

Common

Stock

(First)

(Middle)

(Zin)

3. Date of Earliest Transaction (Month/Day/Year)

Director _X__ Officer (give title

10% Owner _Other (specify

ONE WACHOVIA CENTER, 301 S. 02/19/2008

(State)

COLLEGE ST.

below) SEVP, Gen Counsel and Secy

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Ι

Person

CHARLOTTE, NC 28288

(City)	(State) (Table Table	e I - Non-D	erivative S	Securiti	ies Acc	quired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3.	4. Securit		•	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Monui/Day/Tear)	any	Code	n(A) or Disposed of (D)				(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
					(A) or		Reported Transaction(s) (Instr. 3 and 4)		
Common			Code V	Amount 46,538	(D)	Price	229,681.854		
Stock	02/19/2008		A	(1)	A	\$0	(4)	D	
Common Stock							208.92	I	By wife

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

396.4318

By 401(k)

plan

Edgar Filing: WACHOVIA CORP NEW - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
ESOP (Right to Buy) (3)	\$ 33.79	02/19/2008		A	43,800	02/19/2009(2)	02/19/2018	Common Stock	43,800
ESOP (Right to Buy) (3)	\$ 41	02/19/2008		A	16,295	02/19/2009(2)	02/19/2018	Common Stock	16,295
ESOP (Right to Buy) (3)	\$ 48	02/19/2008		A	19,011	02/19/2009(2)	02/19/2018	Common Stock	19,01

Reporting Owners

Director 10% Owner Officer Other

TREANOR MARK C ONE WACHOVIA CENTER 301 S. COLLEGE ST. CHARLOTTE, NC 28288

SEVP, Gen Counsel and Secy

Signatures

Mark C. Treanor

02/20/2008

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 2008 Performance Retention restricted stock award vest in substantially equal installments on each of the 3 anniversaries of the date of grant beginning Feb. 19, 2009, assuming that certain financial performance targets are met.
- (2) The option becomes exercisable in substantially equal installments on each of the five anniversaries of the date of grant (20% per year) beginning Feb. 19, 2009.

Reporting Owners 2

Edgar Filing: WACHOVIA CORP NEW - Form 4

- (3) Provisions exist with respect to these shares to allow for the withholding of shares to satisfy tax withholding obligations and the withholding of shares in payment of the exercise price.
- (4) Includes 97,768 shares of unvested restricted stock with respect to which provisions exist to allow for the withholding of shares to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.