NACCO INDUSTRIES INC

Form 5

February 13, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

3235-0362

January 31,

OMB

Number:

Expires:

Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4 Transactions

Transactions Reported

1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **RANKIN CORBIN** Symbol NACCO INDUSTRIES INC [NC] (Check all applicable) (Last) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Director 10% Owner Officer (give title __X_ Other (specify 12/31/2013 below) below) NACCO INDUSTRIES, Member of a group INC., Â 5875 LANDERBROOK DRIVE, STE. 220 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting

Filed(Month/Day/Year)

(check applicable line)

MAYFIELD HEIGHTS, OHÂ 44124

(C:tr.)

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	12/30/2013	Â	G	41	A	\$ 0 (1)	8,488	I	By Trust (Son1) (2)	
Class A Common Stock	12/23/2013	Â	G	46	A	\$ 0 (1)	53,085	I	By Spouse/Trust (3)	
Class A Common	12/19/2013	Â	G	41	D	\$ 0 (1)	8,488	I	By Trust (Son1) (2)	

Stock									
Class A Common Stock	12/20/2013	Â	G	7	A	\$ 0 (1)	7	I	By Spouse (4)
Class A Common Stock	12/23/2013	Â	G	44	A	\$ 0 (1)	8,488	I	By Trust (Son1) (2)
Class A Common Stock	Â	Â	Â	Â	Â	Â	3,622	D	Â
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,843	I	By Assoc II
Class A Common Stock	Â	Â	Â	Â	Â	Â	500	I	By Son (6)
Class A Common Stock	Â	Â	Â	Â	Â	Â	9,420	I	By Assoc II/Son 1 (7)
Class A Common Stock	Â	Â	Â	Â	Â	Â	22,385	I	By Spouse
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By Spouse
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By Spouse (GP) (8)
Class A Common Stock	Â	Â	Â	Â	Â	Â	36,839	I	By Assoc II/Spouse (9)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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Reminder: Report on a separate line for each class of

securities beneficially owned directly or indirectly.

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	;		(Instr.
	Derivative				Securities			
	Security				Acquired			
					(A) or			
					Disposed			

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(9-02)

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					of (D) (Instr. 3, 4, and 5)						
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	5,143	Â
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	5,143	Â
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	5,143	Â
Class B Common Stock	\$ 0 <u>(1)</u>	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	19	Â
Class B Common Stock	\$ 0 (1)	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	92,873	Â
Class B Common Stock (10)	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	62,670	Â
Class B Common Stock	Â	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	62,670	Â
Class B Common Stock	\$ 0 (1)	Â	Â	Â	Â	Â	(1)	(1)	Class A Common Stock	35,312	Â

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners 3

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Director 10% Owner Officer Other

RANKIN CORBIN NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 220 MAYFIELD HEIGHTS, OHÂ 44124

Â Member of a group

Signatures

/s/ Jesse L. Adkins, attorney-in-fact

02/13/2014

Â

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) N/A
- (2) Held by Trust, Reporting Person's spouse is Trustee for the benefit of Reporting Person's son. Reporting Person disclaims beneficial ownership of all such shares.
- (3) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Thomas T. Rankin. Reporting Person disclaims benefici al ownership of all such shares.
- (4) By Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (5) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (6) By Son. Reporting Person disclaims beneficial ownership of all such shares.
- Represents the Reporting Person's son's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. which is held in a trust for the benefit of the son. Reporting Person's spouse is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- GP. Represents Reporting Person's spouse's proportionate limited partnership interest in shares of Rankin Associates IV, L.P. held by the

 (8) Trust for the benefit of Reporting Person's spouse, as general partner. Reporting Person disclaims beneficially ownership of all such shares.
- (9) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.. Reporting Person disclaims beneficial ownership of all such shares.
- (10) Represents the Reporting Person's Spouse's proportionate limited parternship interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (11) RAIV. Represents Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person disclaims beneficially ownership of all such shares.
- (12) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates I, L.P. Reporting Person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4