

UMB FINANCIAL CORP
Form 4
March 14, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Zader John

(Last) (First) (Middle)
803 W MICHIGAN STREET
(Street)

MILWAUKEE, WI 53233

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP and COO of subsidiary

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	03/12/2014		M		882 A \$ 37.73	14,856.9087	D
Common Stock	03/12/2014		M		5,135 A \$ 41.37	19,991.9087	D
Common Stock	03/12/2014		M		4,022 A \$ 37.84	24,013.9087	D
Common Stock	03/12/2014		M		2,473 A \$ 41.71	26,486.9087	D
Common Stock	03/12/2014		S		476 D \$ 64	26,010.9087	D

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Common Stock	03/12/2014	S	324	D	\$ 64.01	25,686.9087	D	
Common Stock	03/12/2014	S	200	D	\$ 64.02	25,486.9087	D	
Common Stock	03/12/2014	S	1,300	D	\$ 64.05	24,186.9087	D	
Common Stock	03/12/2014	S	1,100	D	\$ 64.1	23,086.9087	D	
Common Stock	03/12/2014	S	1,000	D	\$ 64.1001	22,086.9087	D	
Common Stock	03/12/2014	S	100	D	\$ 64.11	21,986.9087	D	
Common Stock	03/12/2014	S	629	D	\$ 64.1201	21,357.9087	D	
Common Stock	03/12/2014	S	200	D	\$ 64.13	21,157.9087	D	
Common Stock	03/12/2014	S	300	D	\$ 64.15	20,857.9087	D	
Common Stock	03/12/2014	S	400	D	\$ 64.25	20,457.9087	D	
Common Stock	03/12/2014	S	1,000	D	\$ 64.3001	19,457.9087	D	
Common Stock	03/12/2014	S	400	D	\$ 64.4	19,057.9087	D	
Common Stock	03/12/2014	S	200	D	\$ 64.41	18,857.9087	D	
Common Stock	03/12/2014	S	600	D	\$ 64.42	18,257.9087	D	
Common Stock	03/12/2014	S	700	D	\$ 64.5	17,557.9087	D	
Common Stock	03/12/2014	S	2,000	D	\$ 64.5101	15,557.9087	D	
Common Stock	03/12/2014	S	700	D	\$ 64.55	14,857.9087	D	
Common Stock	03/12/2014	S	583	D	\$ 64.56	14,274.9087	D	
Common Stock	03/12/2014	S	300	D	\$ 64.57	13,974.9087	D	
Common Stock						177.9451	I	By ESOP

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 37.73	03/12/2014		M	882	01/01/2011 ⁽¹⁾ 01/01/2018	Common Stock	882
Stock Option (Right to Buy)	\$ 41.37	03/12/2014		M	5,135	01/01/2012 ⁽²⁾ 01/01/2019	Common Stock	5,135
Stock Option (Right to Buy)	\$ 37.84	03/12/2014		M	4,022	01/01/2013 ⁽³⁾ 01/01/2020	Common Stock	4,022
Stock Option (Right to Buy)	\$ 41.71	03/12/2014		M	2,473	01/01/2014 01/01/2021	Common Stock	2,473

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Zader John
803 W MICHIGAN STREET
MILWAUKEE, WI 53233

EVP and COO of subsidiary

Signatures

John C Pauls, Attorney in fact for Mr.
Zader

03/14/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Options will vest 50% on 1/1/2011; 75% on 1/1/2012; and 100% on 1/1/2013
- (2) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014
- (3) Options will vest 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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