#### WELLS FARGO & COMPANY/MN

Form 4

March 18, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SLOAN TIMOTHY J Issuer Symbol WELLS FARGO & (Check all applicable) COMPANY/MN [WFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify \_X\_\_ Officer (give title (Month/Day/Year) below) 333 S GRAND AVE 03/15/2014 Senior Executive VP & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90071 Person

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Securi	ties A	cquired	, Disposed of, or B	eneficially O	wned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities AcqueonDisposed of (D) (Instr. 3, 4 and 5)	iired (A	A) or	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock, \$1 2/3 Par Value	03/15/2014		M	284,251.2197 (1)	A	\$ 0	599,124.2197	D	
Common Stock, \$1 2/3 Par Value	03/15/2014		F	148,323.2197	D	\$ 47.4	450,801	D	
Common Stock, \$1 2/3 Par Value	03/15/2014		M	53,871.2147 (2)	A	\$ 0	504,672.2147	D	

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

## Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

Common Stock, \$1 2/3 Par Value	03/15/2014	F	28,110.2147	D	\$ 47.4	476,562	D
Common Stock, \$1 2/3 Par Value	03/15/2014	M	8,231.0959 (3)	A	\$ 0	484,793.0959	D
Common Stock, \$1 2/3 Par Value	03/15/2014	F	4,295.0959	D	\$ 47.4	480,498	D
Common Stock, \$1 2/3 Par Value	03/15/2014	M	1,501.9165 (4)	A	\$ 0	481,999.9165	D
Common Stock, \$1 2/3 Par Value	03/15/2014	F	783.9165	D	\$ 47.4	481,216	D
Common Stock, \$1 2/3 Par Value	03/15/2014	M	1,878.6558 (5)	A	\$ 0	483,094.6558	D
Common Stock, \$1 2/3 Par Value	03/15/2014	F	980.6558	D	\$ 47.4	482,114	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	orDeriv Acqu Dispo	wative Securities vired (A) or osed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
	Security (6)	03/15/2014		Code V	` ′	(D) 284,251.2197	Date Exercisable	Expiration Date	Title	Ar Nu Sh

### Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

2011 Performance Shares							Common Stock, \$1 2/3 Par Value
Restricted Share Right	(8)	03/15/2014	M	53,871.2147	<u>(9)</u>	<u>(9)</u>	Common Stock, \$1 2/3 Par Value
Restricted Share Right	<u>(8)</u>	03/15/2014	M	8,231.0959	(10)	(10)	Common Stock, \$1 2/3 Par Value
Restricted Share Right	(8)	03/15/2014	M	1,501.9165	<u>(11)</u>	(11)	Common Stock, \$1 2/3 Par Value
Restricted Share Right	(8)	03/15/2014	M	1,878.6558	(12)	(12)	Common Stock, \$1 2/3 Par Value

## **Reporting Owners**

Renorting Owner Name / Address	Relationships

Director 10% Owner Officer Other

SLOAN TIMOTHY J 333 S GRAND AVE LOS ANGELES, CA 90071

Senior Executive VP & CFO

## **Signatures**

Timothy J. Sloan, by Anthony R. Augliera, as Attorney-in-Fact

03/18/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent common stock of Wells Fargo & Company (the "Company") acquired on March 15, 2014 upon settlement of a

  (1) Performance Share award granted on February 22, 2011 in connection with the attainment of pre-established performance goals over the three-year performance period ended December 31, 2013 (as previously disclosed on a Form 4 filed on March 4, 2014).
- Number of shares represents a Restricted Share Right ("RSR") vesting on 3/15/2014. Original grant date was 2/23/2010. This vesting represents 30% of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (3) Number of shares represents a RSR vesting on 3/15/2014. Original grant date was 2/22/2011. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- Number of shares represents a RSR vesting on 3/15/2014. Original grant date was 2/28/2012. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).

Reporting Owners 3

#### Edgar Filing: WELLS FARGO & COMPANY/MN - Form 4

- Number of shares represents a RSR vesting on 3/15/2014. Original grant date was 3/8/2013. This vesting represents one-third of the original amount of RSRs granted (plus dividend equivalents reinvested in additional RSRs).
- (6) Each Performance Share represented a contingent right to receive one share of Company common stock upon vesting based on the attainment of pre-established performance goals.
- Represents the maximum number of 2011 Performance Shares earned based on the Company's attainment of pre-established performance goals for the three-year performance period ended December 31, 2013, as provided under the terms of a Performance Share award granted on February 22, 2011, which is exempt under Rule 16b-3(d). The 2011 Performance Shares will be settled in shares of common stock of the Company on March 15, 2014. As a condition to receiving the award, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.
- (8) Each RSR represents a contingent right to receive one share of Wells Fargo & Company common stock.
- (9) These RSRs vest in four installments: 5% on 11/1/2010, 25% on 3/15/2013, 30% on 3/15/2014, and 40% on 3/15/2015.
- These RSRs vest in three installments: one-third on 3/15/2012, 3/15/2013, and 3/15/2014. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2010 annual incentive compensation award.
- These RSRs vest in three installments: one-third on 3/15/2013, 3/15/2014, and 3/15/2015. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2011 annual incentive compensation award.
- These RSRs vest in three installments: one-third on 3/15/2014, 3/15/2015, and 3/15/2016. As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting. These RSRs were granted to the reporting person as part of the reporting person's 2012 annual incentive compensation award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.