### Edgar Filing: WELLS FARGO & COMPANY/MN - Form 3

#### WELLS FARGO & COMPANY/MN

Form 3 May 23, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WELLS FARGO & COMPANY/MN [WFC] Shrewsberry John R. (Month/Day/Year) 05/15/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **45 FREMONT STREET** (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) SAN Form filed by More than One Senior Executive VP & CFO FRANCISCO, Â CAÂ 94105 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock, \$1 2/3 Par Value 14 Common Stock, \$1 2/3 Par Value 6,352.6166 I Through 401(k) Plan Common Stock, \$1 2/3 Par Value Ι 109,538 **Through Family Trust** Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Purchase Option	(1)	02/28/2016	Common Stock, \$1 2/3 Par Value	33,560	\$ 32.245	D	Â
Employee Stock Purchase Option	(2)	02/27/2017	Common Stock, \$1 2/3 Par Value	56,060	\$ 34.39	D	Â
Employee Stock Purchase Option	(3)	02/26/2018	Common Stock, \$1 2/3 Par Value	92,230	\$ 31.4	D	Â
Phantom Stock Units	(4)	(4)	Common Stock, \$1 2/3 Par Value	21,135.9756	\$ <u>(5)</u>	D	Â
Restricted Share Right	(6)	(6)	Common Stock, \$1 2/3 Par Value	20,055.4473	\$ <u>(7)</u>	D	Â
Restricted Share Right	(8)	(8)	Common Stock, \$1 2/3 Par Value	10,849.4116	\$ <u>(7)</u>	D	Â
Restricted Share Right	(9)	(9)	Common Stock, \$1 2/3 Par Value	47,885.0403	\$ <u>(7)</u>	D	Â
Restricted Share Right	(10)	(10)	Common Stock, \$1 2/3 Par Value	22,064	\$ <u>(7)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Keiationsnips				
	Director	10% Owner	Officer	Other	
Shrewsberry John R. 45 FREMONT STREET SAN FRANCISCO, CA 94105	Â	Â	Senior Executive VP & CFO	Â	

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## **Signatures**

John R. Shrewsberry, by Anthony R. Augliera, as Attorney-in-Fact

05/23/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The employee stock options granted on 2/28/2006, representing a right to purchase a total of 33,560 shares, became exercisable in three equal annual installments beginning on the first anniversary of the date of grant.
- The employee stock options granted on 2/27/2007, representing a right to purchase a total of 56,060 shares, became exercisable in three equal annual installments beginning on the first anniversary of the date of grant.
- (3) The employee stock options granted on 2/26/2008, representing a right to purchase a total of 92,230 shares, became exercisable in three equal annual installments beginning on the first anniversary of the date of grant.
- (4) Deferred compensation shares payable in installments based upon executive's election. Supplemental 401(k) plan shares payable upon retirement.
- (5) Conversion price is 1-for-1. Includes shares held through supplemental 401(k) plan and deferred compensation plan.
- These Restricted Share Rights ("RSRs") vest in three installments: one-third on 3/15/2014, 3/15/2015, and 3/15/2016. These RSRs were granted to the reporting person as part of the reporting person's 2012 annual incentive compensation award.
- (7) Each RSR represents a contingent right to receive one share of Wells Fargo & Company common stock.
- (8) These RSRs vest in three installments: one-third on 3/15/2013, 3/15/2014, and 3/15/2015. These RSRs were granted to the reporting person as part of the reporting person's 2011 annual incentive compensation award.
- (9) These RSRs vest in three installments: 30% on 3/15/2013, 30% on 3/15/2014, and 40% on 3/15/2015.
- (10) These RSRs vest in three installments: one-third on 3/15/2015, 3/15/2016, and 3/15/2017. These RSRs were granted to the reporting person as part of the reporting person's 2013 annual incentive compensation award.

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#### **Remarks:**

#### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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