Edgar Filing: Duke Energy CORP - Form 4

Duke Energy COl	RP									
Form 4										
December 23, 201	14									
FORM 4									PPROVAL	
	UNITED	STATES		RITIES A shington			COMMISSION	NOMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or	F CHAN	IGES IN SECUI	Expires: Estimated burden hou response	urs per						
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	a) of the H	Public U	tility Hol	ding Co		nge Act of 1934, of 1935 or Sectio 940	·		
(Print or Type Respor	nses)									
1. Name and Address of Reporting Person <u>*</u> RHODES JAMES T			Symbol	r Name an		-	5. Relationship of Reporting Person(s) to Issuer			
			Duke E	nergy CC	RP [DU	JK]	(Check all applicable)			
				f Earliest T Day/Year)	ransactior	1	_X_ Director10% Owner			
550 S. TRYON S	12/22/2	2014			Officer (give titleOther (specify below) below)					
()	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
CHARLOTTE, N	NC 28202						Person	More than One K	epotung	
(City) (S	State)	(Zip)	Tab	le I - Non-l	Derivativo	e Securities A	cquired, Disposed o	of, or Beneficia	lly Owned	
	nsaction Date th/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) or d of (D) 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report on	a separate line	e for each cla	ass of secu				or indirectly.			
r · · · ·					-	-	spond to the colle	ction of	SEC 1474	
					infor requi	mation cont red to respo ays a curre	ained in this form ond unless the for ntly valid OMB co	are not rm	(9-02)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof	Expiration Date	Underlying Securities	Derivat
Security	or Exercise		any	Code	Derivative	(Month/Day/Year)	(Instr. 3 and 4)	Securit
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securities			(Instr. :

	Derivativ Security	e			Acqui (A) or Dispo of (D) (Instr. and 5)	sed 3, 4,					
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Director Savings Plan Restricted Stock Unit Deferrals	ш	12/22/2014	А		257		(2)	<u>(3)</u>	Common Stock	257	\$ 83.

Reporting Owners

Reporting Owner Name / Address		Relationsh	nips	
	Director	10% Owner	Officer	Other
RHODES JAMES T 550 S. TRYON STREET CHARLOTTE, NC 28202	Х			
Signatures				
/s/ David S. Maltz, Attorney-in Rhodes	-fact for J	ames T.		12/23/2014
**Signature of Reporting	g Person			Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) Generally payable upon reporting person's termination of service.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.