### WELLS FARGO & COMPANY/MN

Form 4

January 27, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Hardison Hope A.

(First)

(Street)

(State)

2. Transacti

(Month/Day

2. Issuer Name and Ticker or Trading Symbol

Issuer

below)

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(City)

1.Title of

Security

(Instr. 3)

WELLS FARGO & COMPANY/MN [WFC]

3. Date of Earliest Transaction

(Month/Day/Year) 01/25/2017

10% Owner X\_ Officer (give title Other (specify

Sr. Executive Vice President

6. Individual or Joint/Group Filing(Check

420 MONTGOMERY STREET

4. If Amendment, Date Original

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Indirect (I)

(Instr. 4)

(Instr. 4)

Person

Filed(Month/Day/Year)

(Middle)

SAN FRANCISCO, CA 94104

| (         | (Zip) Table        | e I - Non-D | Perivative Securities Acqu | ired, Disposed of, | or Beneficiall | y Owned      |
|-----------|--------------------|-------------|----------------------------|--------------------|----------------|--------------|
| tion Date | 2A. Deemed         | 3.          | 4. Securities Acquired     | 5. Amount of       | 6.             | 7. Nature of |
| y/Year)   | Execution Date, if | Transactio  | n(A) or Disposed of (D)    | Securities         | Ownership      | Indirect     |
|           | any                | Code        | (Instr. 3, 4 and 5)        | Beneficially       | Form: Direct   | Beneficial   |
|           | (Month/Day/Year)   | (Instr. 8)  |                            | Owned              | (D) or         | Ownership    |

Following Reported (A) Transaction(s) (Instr. 3 and 4) Amount (D) Price

Common Stock, \$1 13,460 A 01/25/2017 M 15,479.408 D 2/3 Par Value

Common Stock, \$1 10,181 D 01/25/2017 F 5,298,408 D 2/3 Par

Common

Value

Through Stock, \$1 11,603,994 Ι 401(k) (1) 2/3 Par Plan Value

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| Common<br>Stock, \$1<br>2/3 Par | 87,963 | I | Through<br>Family<br>Trust |
|---------------------------------|--------|---|----------------------------|
| Value                           |        |   | Trust                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | erivative Expiration Date (Month/Day/Year) equired (A) Disposed of (a) pastr. 3, 4, |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|---|---|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Purchase<br>Option             | \$ 34.39  | 01/25/2017                           |   | M                                      | 13,460  | (2)   | 02/27/2017         | Common<br>Stock, \$1<br>2/3 Par<br>Value                            | 13,460                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

Hardison Hope A. 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104

Sr. Executive Vice President

## **Signatures**

Hope A. Hardison, by Anthony R. Augliera, as
Attorney-in-Fact

01/27/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of December 30, 2016, as if investable cash equivalents held by Plan were fully invested in Wells Fargo & Company common stock.

Reporting Owners 2

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(2) The employee stock options, representing a right to purchase a total of 13,460 shares, became exercisable in three equal annual installments beginning on the first anniversary of the date of grant (2/27/2008).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.