

Brian J Walker  
 Form 4  
 February 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Brian J Walker

(Last) (First) (Middle)  
 1010 GRAND BLVD  
 (Street)

KANSAS CITY, MO 64106

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 02/16/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 \_\_\_X\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_X\_\_\_ Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	02/16/2018		M		1,672 A \$ 47.68	8,403.4698	D
Common Stock	02/16/2018		M		959 A \$ 45.58	9,362.4698	D
Common Stock	02/16/2018		S		375 D \$ 76.1515	8,987.4698	D
Common Stock	02/16/2018		S		85 D \$ 76.2	8,902.4698	D
Common Stock	02/16/2018		S		202 D \$ 76.22	8,700.4698	D

Edgar Filing: Brian J Walker - Form 4

Common Stock	02/16/2018	S	147	D	\$ 76.24	8,553.4698	D	
Common Stock	02/16/2018	S	2	D	\$ 76.26	8,551.4698	D	
Common Stock	02/16/2018	S	12	D	\$ 76.27	8,539.4698	D	
Common Stock	02/16/2018	S	564	D	\$ 76.284	7,975.4698	D	
Common Stock	02/16/2018	S	5	D	\$ 76.31	7,970.4698	D	
Common Stock	02/16/2018	S	100	D	\$ 76.32	7,870.4698	D	
Common Stock	02/16/2018	S	102	D	\$ 76.33	7,768.4698	D	
Common Stock	02/16/2018	S	1	D	\$ 76.34	7,767.4698	D	
Common Stock	02/16/2018	S	2	D	\$ 76.36	7,765.4698	D	
Common Stock	02/16/2018	S	60	D	\$ 76.37	7,705.4698	D	
Common Stock	02/16/2018	S	204	D	\$ 76.41	7,501.4698	D	
Common Stock	02/16/2018	S	100	D	\$ 76.45	7,401.4698	D	
Common Stock	02/16/2018	S	434	D	\$ 76.46	6,967.4698	D	
Common Stock	02/16/2018	S	100	D	\$ 76.52	6,867.4698	D	
Common Stock	02/16/2018	S	959	D	\$ 76.4601	5,908.4698	D	
Common Stock	02/16/2018	M	900	A	\$ 40.93	6,808.4698	D	
Common Stock	02/16/2018	F	490	D	\$ 75.27	6,318.4698	D	
Common Stock						245.7561	I	by ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

Edgar Filing: Brian J Walker - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 47.68	02/16/2018		M	1,672	02/05/2018 <sup>(1)</sup> 02/05/2026	Common Stock	1,672
Stock Option (Right to Buy)	\$ 45.58	02/16/2018		M	959	01/01/2016 01/01/2023	Common Stock	959
Stock Option (Right to Buy)	\$ 40.93	02/16/2018		M	900	11/17/2011 11/17/2018	Common Stock	900

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brian J Walker 1010 GRAND BLVD KANSAS CITY, MO 64106			Chief Accounting Officer	

## Signatures

/s/ John C. Pauls, Attorney in Fact for Mr. Walker 02/20/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options will vest 50% on 2/5/2018, 75% on 2/5/2019, and 100% on 2/5/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Brian J Walker - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.