

Hourican Kevin
Form 3
June 08, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Hourican Kevin

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

06/04/2018

3. Issuer Name **and** Ticker or Trading Symbol
CVS HEALTH Corp [CVS]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
EVP & President, CVS Pharmacy

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

ONE CVS DRIVE

(Street)

WOONSOCKET,Â RIÂ 02895

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock	7,709	D	Â
Common Stock (restricted)	1,232 ⁽¹⁾	D	Â
Common Stock (restricted)	399 ⁽²⁾	D	Â
Common Stock (restricted)	840 ⁽³⁾	D	Â
Common Stock (restricted)	2,444 ⁽⁴⁾	D	Â
Common Stock (restricted)	2,146 ⁽⁵⁾	D	Â
Common Stock (restricted)	3,523 ⁽⁶⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Edgar Filing: Hourican Kevin - Form 3

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option	04/01/2014 ⁽⁷⁾	04/01/2020	Common Stock	4,426	\$ 54.53	D	Â
Stock Option	04/01/2015 ⁽⁸⁾	04/01/2021	Common Stock	9,442	\$ 74.29	D	Â
Stock Option	04/01/2016 ⁽⁹⁾	04/01/2022	Common Stock	17,822	\$ 102.26	D	Â
Stock Option	04/01/2017 ⁽¹⁰⁾	04/01/2023	Common Stock	17,611	\$ 104.82	D	Â
Stock Option	04/03/2018 ⁽¹¹⁾	04/03/2024	Common Stock	30,169	\$ 78.05	D	Â
Stock Option	04/01/2019 ⁽¹²⁾	04/01/2025	Common Stock	87,575	\$ 62.21	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hourican Kevin ONE CVS DRIVE WOONSOCKET, RI 02895	Â	Â	Â EVP & President, CVS Pharmacy	Â

Signatures

/s/ Kevin P. Hourican 06/08/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of remaining Restricted Stock Units awarded pursuant to Issuer's 2017 Incentive Compensation Plan and its Performance-Based Restricted Stock Unit Plan. Restrictions lapse in three equal installments, commencing 2/28/2019.
- (2) Consists of remaining Restricted Stock Units awarded pursuant to Issuer's Performance-Based Restricted Stock Unit Plan, under its 2010 Incentive Compensation Plan. Restrictions lapse 2/28/2019.
- (3) Consists of remaining Restricted Stock Units awarded pursuant to Issuer's Performance-Based Restricted Stock Unit Plan, under its 2010 Incentive Compensation Plan. Restrictions lapse in two equal installments on 2/28/2019 and 2/28/2020.

Edgar Filing: Hourican Kevin - Form 3

- (4) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 4/1/2019.
- (5) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 4/1/2020.
- (6) Consists of Restricted Stock Units awarded pursuant to Issuer's 2010 Incentive Compensation Plan. Restrictions lapse 4/3/2021.
- (7) Remaining options became exercisable in four equal annual installments beginning on 4/1/2014.
- (8) Remaining options became exercisable in four equal annual installments beginning on 4/1/2015.
- (9) Option became exercisable in four equal annual installments beginning on 4/1/2016.
- (10) Option became exercisable in four equal annual installments beginning on 4/1/2017.
- (11) Option became exercisable in four equal annual installments beginning on 4/3/2018.
- (12) Option becomes exercisable in four equal annual installments beginning on 4/1/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.