Edgar Filing: Patel Prakash R - Form 4

Patel Prakash	R										
Form 4											
September 06											
FORM	UNITE		SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						PPROVAL 3235-0287		
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	er STATE 5. Filed pu s Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						burden hou response	Estimated average burden hours per response 0.5		
(Print or Type R	esponses)										
Detal Durles de D			Symbol	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
А				Anthem, Inc. [ANTM]				(Check all applicable)			
(M				3. Date of Earliest Transaction (Month/Day/Year) 09/04/2018				Director 10% Owner X Officer (give title Other (specify below) below) EVP & President, DBG			
(Street)			4. If Amen	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
INDIANAPO	DLIS, IN 46204	4	Filed(Mont	h/Day/Year)				Applicable Line) _X_ Form filed by Form filed by M Person	One Reporting Po More than One Ro		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executi any		3. Transactic Code (Instr. 8) Code V	4. Securi onAcquirec Disposed (Instr. 3,	ties l (A) o l of (D	or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Common Stock	09/04/2018			А	1,186 (1)	А	\$0	1,186	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S ()
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 263.7	09/04/2018		А	4,993	(2)	09/04/2028	Common Stock	4,993	

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting o when runne / runness	Director	10% Owner	Officer	Other			
Patel Prakash R 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46204			EVP & President, DBG				
Signatures							
/s/Kathleen S Kiefer Attorney	7						

/s/ Kathleen S. Kiefer, Attorney 09/06/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents restricted share units. Restrictions lapse as follows: 395 shares each on 9/4/2019 and 9/4/2020, and 396 shares on 9/4/2021.

The option represents a right to purchase a total of 4,993 shares, and is exercisable in three annual installments, with two installments of 1,664 shares each and one installment of 1,665 shares, beginning on September 4, 2019, which is the one-year anniversary of the option grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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