KING KEVIN S Form 4 October 09, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FIDELITY SOUTHERN CORP

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

1(b).

(Last)

(City)

1550

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

KING KEVIN S

(First)

(Middle)

(Month/Day/Year) 3490 PIEDMONT ROAD, SUITE 10/05/2018

Symbol

[LION]

(Street)

(State)

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ATLANTA, GA 30305

1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Month/Day/Year)

(Zip)

4. Securities Acquired (A) or TransactionDisposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

or Price (D)

5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) or Indirect Following

Beneficial Ownership (Instr. 4) (I)

7. Nature

of Indirect

Transaction(s) (Instr. 4) (Instr. 3 and 4)

**Fidelity** Southern

Corporation - Common

10/05/2018

 $A^{(1)}$ 

Code V

525.9629 A

Amount

2,374.12 D

Reported

Ι

**Fidelity** Southern Corporation

Stock

- Common Stock

39,629.6956 I

4,624

1

By Sep

Ira

# Edgar Filing: KING KEVIN S - Form 4

Fidelity Southern Corporati - Commo								By Spou Drip	
Fidelity Southern Corporati - Commo Stock						2,52	3 I	By Spour Ira D	
Reminder: I	Report on a sep	parate line for each cla	ass of securities benef	ficially owr	ned directly	or indirectly.			
				inform requir	nation con ed to resp ys a curre	spond to the co tained in this fo ond unless the ently valid OMB	rm are not form	SEC 1474 (9-02)	
			ative Securities Acq outs, calls, warrants				ned		
1. Title of Derivative Security (Instr. 3)	rivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date curity or Exercise any Code of (Month/Day/Year)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 15.56					01/23/2015(4)	01/23/2019	Fidelity Southern Corporation - Common Stock	6,000
Stock Option (Right to Buy)	\$ 17.87					01/21/2017(5)	01/21/2021	Fidelity Southern Corporation - Common Stock	10,000
Stock Option (Right to Buy)	\$ 22.91					01/19/2018(6)	01/19/2022	Fidelity Southern Corporation - Common Stock	10,000

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Stock Option (Right to Buy)	\$ 23.75	01/18/2019 <u>(7)</u> 01	Fidelity Southern 1/18/2023 Corporation 10,000 - Common Stock
Stock Option (Right to Buy)	\$ 16.82 (8)	03/19/2016 03	Fidelity Southern 3/19/2020 Corporation 10,000 - Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
KING KEVIN S 3490 PIEDMONT ROAD SUITE 1550 ATLANTA, GA 30305	X				

# **Signatures**

Elna Kolarich, Attorney in Fact for Kevin S.
King
10/09/2018

\*\*Signature of Reporting Person

#### Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares awarded for service as a Director during the previous quarter.
- (2) Purchased 29.4801 shares at various times and prices through the Fidelity Southern Corporation Dividend Reinvestment Plan in 2004
- (3) Purchased 29.5487 shares by spouse IRA DRIP at various times and prices during 2004.
- (4) Exercisable: 1/3 on 1/23/2015; 1/3 on 1/23/2016; 1/3 on 1/23/2017
- (5) Exercisable: 1/3 on 1/21/2017; 1/3 on 1/21/2018; 1/3 on 1/21/2019
- (6) Exercisable: 1/3 on 1/19/2018, 1/3 on 1/19/2019, 1/3 on 1/19/2020
- (7) Exercisable: 1/3 on 1/18/2019, 1/3 on 1/18/2020, 1/3 on 1/18/2021
- (8) Exercisable: 1/3 on 3/19/16, 1/3 on 3/19/17, 1/3 on 3/19/18

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3