#### SEELBACH SCOTT W

Form 4

November 02, 2018

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

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Check this box if no longer

subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* SEELBACH SCOTT W

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First)

(Street)

5875 LANDERBROOK DRIVE

(Middle)

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year) 11/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Sec	urities Ac	equired, Dispose	d of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/01/2018		Р	1 (1)	A	\$ 62.18 (2)	188	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018		P	1 (1)	A	\$ 62.18 (2)	188	I	Child's proportionate interest in shares held by Rankin Associates VI

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Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (2)	188	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (2)	188	I	Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	1 (1)	A	\$ 62.18 (2)	188	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						10,293	I	Spouse's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						7,326	I	Held in trust fbo Reporting Person's spouse. (3)
Class A Common Stock						566	I	Minor child's trust's proportionate interests in shares held by Rankin Associates II.
Class A Common Stock						563	I	Reporting Person?s spouse is co-trustee of a Trust fbo minor child.
Class A Common Stock						722	D	
						1,321	I	

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Class A Common Stock			Reporting Person?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	407	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.
Class A Common Stock	722	I	Reporting Person?s spouse is co-trustee of a Trust fbo minor child. (3)
Class A Common Stock	648	I	Minor child's trust?s proportionate interests in shares held by Rankin Associates II.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Underlying Securities	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		(Instr. 5)
	Derivative				Securities	}		
	Security				Acquired			
					(A) or			
					Disposed			
					of (D)			
					(Instr 3			

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4, and 5)

		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	10,293
Class B Common Stock	(4)					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	10,756
Class B Common Stock	(4)					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	566
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	563
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	722
Class B Common Stock	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,321
Class B Common Stock	(4)					<u>(4)</u>	<u>(4)</u>	Class A Common Stock	407

Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	722
Class B Common Stock	<u>(4)</u>		<u>(4)</u>	<u>(4)</u>	Class A Common Stock	648

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SEELBACH SCOTT W 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

11/02/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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