RANKIN BRUCE T

Form 4

December 17, 2018

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** 

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Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* RANKIN BRUCE T

(First)

(Street)

2. Issuer Name and Ticker or Trading

Issuer

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(Check all applicable)

Member of a Group

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

5. Relationship of Reporting Person(s) to

5875 LANDERBROOK DRIVE 12/13/2018

> 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	${\bf (Zip)} \qquad \qquad {\bf Table~I-Non-Derivative~Securities~Acquired, Disposed~of, or~Beneficially~Owned}$							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	OF Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/13/2018		P	378 (1)	A	\$ 64.0714	30,160	I	Held by Trust for the benefit of Reporting Person.
Class A Common Stock	12/13/2018		P	2 (1)	A	\$ 64.07 (2)	474	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/14/2018		P	378 (1)	A	\$ 63.1619	30,538	I	Held by Trust for the benefit of Reporting

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								Person.
Class A Common Stock	12/14/2018	P	1 (1)	A	\$ 62.7	475	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	12/14/2018	P	2 (1)	A	\$ 63.24 (3)	477	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						42,666	I	proportionate limited partnership interest in shares held by Rankin Associates I, L.P held in trust
Class A Common Stock						25,099	I	proportionate limited partnership interests in shares held by RA II, L.P., held in a trust
Class A Common Stock						46,182	I	proportionate limited partnership interest in shares of Rankin Associates IV, L.P held in trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber	Expiration Date	Underlying Securities	Derivative

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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Instr. 3 and 4)		Security (Instr. 5)	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	747	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	76,330	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	25,099	
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	73,166	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN BRUCE T Member of a Group 5875 LANDERBROOK DRIVE

Reporting Owners 3

#### MAYFIELD HEIGHTS, OH 44124

## **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

12/17/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-13-Weighted Average Share Price represents average price between \$63.61 and \$64.54.
- (3) 2018-Dec-14-Weighted Average Share Price represents average price between \$62.77 and \$63.77.
- (4) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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