### Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

#### SYNCHRONOSS TECHNOLOGIES INC

Form 4

January 25, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

January 31, 2005

0.5

Estimated average burden hours per

Expires:

response...

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Rosenberger Karen Issuer Symbol **SYNCHRONOSS** (Check all applicable) TECHNOLOGIES INC [SNCR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) 200 CROSSING BOULEVARD 01/23/2017 **EVP & Chief Financial Officer** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

#### BRIDGEWATER, NJ 08876

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	01/23/2017		M	84	A	\$ 31.02	36,405	D		
Common Stock	01/23/2017		M	111	A	\$ 32.24	36,516	D		
Common Stock	01/23/2017		M	582	A	\$ 35.19	37,098	D		
Common Stock	01/23/2017		S	777 (1)	D	\$ 39.08	36,321	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisal Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 31.02	01/23/2017		M	84	02/14/2014(2)	02/14/2020	Common Stock	84
Stock Option (Right to Buy)	\$ 32.24	01/23/2017		M	111	02/20/2015(3)	02/20/2021	Common Stock	111
Stock Option (Right to Buy)	\$ 35.19	01/23/2017		M	582	04/01/2015(4)	04/01/2021	Common Stock	582

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Rosenberger Karen 200 CROSSING BOULEVARD BRIDGEWATER, NJ 08876

**EVP & Chief Financial Officer** 

# **Signatures**

/s/ Karen

01/25/2017 Rosenberger \*\*Signature of

Reporting Person

Date

2 Reporting Owners

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan
- The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person (2) completed 12 months of continuous service after February 14, 2013. The option becomes exercisable with respect to an additional 1/4.
- (2) completed 12 months of continuous service after February 14, 2013 The option becomes exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.
- The option became exercisable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after February 20, 2014. The option shall become exercisable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.
- Th option shall become exerciseable with respect to the first 25 percent of the shares subject to the option when the Reporting Person completes 12 months of continuous service after April 1, 2014. The option shall become exerciseable with respect to an additional 1/48th of the shares subject to the option when the Reporting Person completes each month of continuous service thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.