#### Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

#### SYNCHRONOSS TECHNOLOGIES INC

Form 4

February 03, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

**SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Rosenberger Karen

Symbol

Issuer

SYNCHRONOSS

(Zip)

TECHNOLOGIES INC [SNCR]

(Check all applicable)

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

Director \_X\_\_ Officer (give title

10% Owner Other (specify

200 CROSSING BOULEVARD

(Street)

(State)

(Month/Day/Year) 02/01/2017

below) **EVP & Chief Financial Officer** 

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Reneficially Owned

BRIDGEWATER, NJ 08876

		Table 1 - Non-Derivative Securities Acquired, Disposed of, or Deficically Owned									
1.Title of	2. Transaction Date	3.	4. Securities			5. Amount of	6. Ownership	7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	Transactio	ctionAcquired (A) or			Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership		
		•				Following	(Instr. 4)	(Instr. 4)			
							Reported				
					(A)		Transaction(s)				
					or		(Instr. 3 and 4)				
			Code V	Amount	(D)	Price	( ,				
Common Stock	02/01/2017		M	4,386	A	\$0	40,707	D			
Common Stock	02/03/2017		S	2,162	D	\$ 39	38,545	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
2015 Performance Shares	\$ 0	02/01/2017		M	4,386	<u>(1)</u>	(1)	Common Stock	4,386

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Rosenberger Karen 200 CROSSING BOULEVARD BRIDGEWATER, NJ 08876

**EVP & Chief Financial Officer** 

### **Signatures**

/s/ Karen

Rosenberger 02/03/2017

\*\*Signature of Person Date

\*\*Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance Shares awarded February 9, 2015. The participant earned 4386 shares based on the achievement of certain pre-established performance goals during the 2016 fiscal year, all of such shares vested upon issuance.

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