#### SIMPSON MICHAEL

Form 4 June 14, 2010

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SIMPSON MICHAEL

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First) (Middle) CASTLE A M & CO [CAS]

\_X\_\_ Director

3400 NORTH WOLF ROAD

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

06/11/2010

10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FRANKLIN PARK, IL 60131

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/11/2010		M	10,000	A	\$ 0	104,505	D	
Common Stock	06/11/2010		S	7,348	D	\$ 13.6706 (1)	97,157	D	
Common Stock							10,546	I	See Note
Common Stock							22,781	I	See Note
Common Stock							262,433	I	See Note

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Common Stock	20,992	I	See Note (5)
Common Stock	157,872	I	See Note
Common Stock	1,371.8691	I	Through 401(k) Plan (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	ath/Day/Year) Execution Date, if Transaction any Code S (Month/Day/Year) (Instr. 8) A		iorDeri Secu Acqu	umber of vative crities uired (A) isposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	and s	r. 3, 4, 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 10	06/11/2010		M		10,000	07/27/2001	07/27/2010	Common Stock	10,000

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 6	Director	10% Owner	Officer	Other			
SIMPSON MICHAEL 3400 NORTH WOLF ROAD FRANKLIN PARK, IL 60131	X						

Date

## **Signatures**

\*\*Signature of Reporting Person

(right to buy)

Robert J. Perna, Attorney-in-Fact 06/14/2010

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - This transaction was executed in multiple trades at prices ranging from \$13.5404 to \$13.81. The price reported above reflects the
- (1) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Beneficiary under Trust dated 12/07/1949 United States Trust Co., Trustee
- (3) Beneficiary under Trust dated 7/24/1968 United States Trust Co., Trustee
- (4) Beneficiary under Trust dated 7/26/1972 United States Trust Co. and Patrick J. Herbert, III, Trustee
- (5) Beneficiary under Trust dated 12/23/1976 Northern Trust Co., Trustee
- (6) Beneficiary under Trust dated 12/19/1980 Patrick J. Herbert, III, Trustee
- (7) Between March 31, 2010 and May 31, 2010 the reporting person's share balance of A. M. Castle & Co. stock under the A. M. Castle & Co. 401(k) plan decreased by 0.1509 shares. The information in this report is based on a plan statement dated as of May 31, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.