Edgar Filing: LEGLER MITCHELL W - Form 4

| LEGLER MI | TCHELL W | | | | | | | | | | | |
|--|--|----------------|--------------|-----------------------------------|------------------------------|-----------|---------------------------------------|--|--------------------------------------|-------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| January 31, 2 | 012 | | | | | | | | | | | |
| FORM | 1 | | | | | | | | | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | | |
| Check this | | | | 0 | | | | | Expires: | January 31, | | |
| if no longer subject to STATEMENT OF CHANGES IN | | | | | S IN BENEFICIAL OWNERSHIP OF | | | | | 2005 | | |
| Section 16. SECURITIES | | | | | | | Estimated average burden hours per | | | | | |
| | Form 4 or | | | | | | | | response | • | | |
| Form 5 | Filed pu | rsuant to S | Section 16 | b(a) of the | e Securiti | es Ex | chang | ge Act of 1934, | | | | |
| obligation may contin | Section 17 | | | | | | | f 1935 or Sectio | n | | | |
| See Instru | | 30(h) | of the Inv | vestment | Company | Act | of 19 | 40 | | | | |
| 1(b). | | | | | | | | | | | | |
| | , | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1 Name and A | ddress of Reporting | Derson * | 2 I | | 70' 1 7 | | | 5 Pelationship of | f Deporting Der | ron(s) to | | |
| | | | | Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| Symbol | | | | | | | | | | | | |
| STEIN | | | | N MART INC [SMRT] | | | | (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | | Earliest Tra | ansaction | | | | | | | |
| | | | | n/Day/Year) | | | | Officer (give title Other (specify | | | | |
| | MART, INC., 1 | | 01/27/20 |)12 | | | | below) | below) | er (speeny | | |
| RIVERPLAC | CE BOULEVA | KD | | | | | | | | | | |
| (Street) 4. If Ame | | | 4. If Amer | nendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | Filed(Month/Day/Year) Applicable Line) | | | | | | | 0 0 1 0 | | | | |
| LA CIZCONU | | 7 | | | | | | _X_Form filed by Form filed by I | One Reporting Po More than One Ro | | | |
| JACKSONV | TILLE, FL 3220 |)/ | | | | | | Person | | | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecuri | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | | |
| 1.Title of | 2. Transaction Da | ate 2A. Dee | emed | 3. | 4. Securi | | | 5. Amount of | 6. Ownership | 7. Nature of | | |
| Security | (Month/Day/Year | · | on Date, if | | onAcquired | | | Securities | Form: Direct | Indirect | | |
| (Instr. 3) | | any (Month/ | /Day/Year) | Code (Instr. 8) | Disposed (Instr. 3, | | · | Beneficially Owned | (D) or Indirect (I) | Beneficial Ownership | | |
| | | (interiority) | _ u,, 1 cur) | (11041.0) | (| · und | -) | Following | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Reported | | | | |
| | | | | | | (A) or | | Transaction(s) | | | | |
| | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | | |
| Common | 01/27/2012 | | | А | 4,943 | А | \$ 0 | 110,096 | D | | | |
| Stock | 0112112012 | | | Α | (1) | Α | φ0 | 110,090 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | 5. iorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | Amor Unde Secur | le and unt of rlying ities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--------------------------------------|--|---------------------|--------------------|-----------------------|---|---|--|
| | | | | Code V | 7 (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|----------|---------------|---------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| LEGLER MITCHELL W C/O STEIN MART, INC 1200 RIVERPLACE BO JACKSONVILLE, FL 32 | Х | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Mitchell W. Legler | 01/31/20 | 12 | | | | | | |
| **Signature of Reporting Person | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Performance shares acquired pursuant to the Issuer's 2001 Omnibus Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.