AGCO CORP/DE Form 4

October 03, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * SRINIVASAN MALLIKA

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction

(Month/Day/Year) 10/02/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

_X__ Director _X__ 10% Owner __ Other (specify Officer (give title

TRACTORS & FARM EQUIPMENT LTD OLD NO. 35, NEW NO. 77,

NUNGAMBAKKAM HIGH ROAD

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

 $6,579 \stackrel{(5)}{\underline{}}$

D

CHENNAI, K7 600 034

| (City) | (State) | (Zip) Tab | le I - Non- | Derivative S | Securi | ties Acqu | ired, Disposed of | f, or Beneficia | ally Owned |
|--------------------------------------|--------------------------------------|---|--|---|---------|--------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | 4. Securitie or Disposed (Instr. 3, 4 | d of (D |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 10/02/2014 | | P | 167,874 (1) | A | \$ 45.53 (2) | 6,737,950 <u>(3)</u> | I | By Tractors and Farm Equipment Limited |
| Common Stock | | | | | | | 2,803,321 (4) | I | By TAFE Motors and Tractors |

Limited

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 4 | 5. | 6. Date Exerc | cisable and | 7. Tit | le and | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|-------|------------|------------------|-------------|---------|------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transa | ction | Number | Expiration D | ate | Amou | ınt of | Derivative |
| Security | or Exercise | | any | Code | (| of | (Month/Day/ | Year) | Unde | rlying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8 | 8)] | Derivative | e | | Secur | ities | (Instr. 5) |
| | Derivative | | | | | Securities | | | (Instr. | . 3 and 4) | |
| | Security | | | | 1 | Acquired | | | | | |
| | · | | | | (| (A) or | | | | | |
| | | | | | | Disposed | | | | | |
| | | | | | | of (D) | | | | | |
| | | | | | | (Instr. 3, | | | | | |
| | | | | | | 4, and 5) | | | | | |
| | | | | | | ., | | | | | |
| | | | | | | | | | | Amount | |
| | | | | | | | Date | Expiration | | or | |
| | | | | | | | Exercisable Date | Title Nu | Number | | |
| | | | | | | | | Date | | of | |
| | | | | Code | V | (A) (D) | | | | Shares | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SRINIVASAN MALLIKA TRACTORS & FARM EQUIPMENT LTD OLD NO. 35 NEW NO. 77, NUNGAMBAKKAM HIGH ROAD CHENNAI, K7 600 034

X X

Signatures

/s/ Margaret R. Markman, Attorney-in-Fact

10/03/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were purchased pursuant to a Rule 10b5-1 trading plan entered into by Tractors and Farm Equipment Limited ("TAFE") and TAFE Motors and Tractors Limited ("TMTL") on August 29, 2014.
- The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$45.14 to \$45.95. The reporting person undertakes to provide to AGCO Corporation, any security holder of AGCO Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).
- (3) The reporting person is an officer of TAFE and is a beneficial owner of certain equity securities of TAFE. The reporting person disclaims beneficial ownership of the shares reported in Column 5 of the row except to the extent of the reporting person's beneficial ownership of

Reporting Owners 2

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equity securities of TAFE, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

- (4) TMTL is a wholly owned subsidiary of TAFE. The reporting person is an officer of TMTL and is a beneficial owner of certain equity securities of TMTL. The reporting person disclaims beneficial ownership of the shares reported in Column 5 of this row except to the extent of the reporting person's beneficial ownership of equity securities of TMTL, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
- (5) These shares reflect awards under the AGCO Corporation 2006 Long-Term Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.