## Edgar Filing: Raptor Pharmaceutical Corp - Form 4

Raptor Pharmaceutical Corp Form 4 September 02, 2015

September 0	02, 2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	STATES					DMMISSION	OMB Number:	3235-0287			
subject to			Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNE SECURITIES					ERSHIP OF	Expires: Estimated a		
Section Form 4 of Form 5 obligation may con See Instr 1(b).	Filed pu Filed pu ons tinue. Section 176 ruction	(a) of the P	ublic U	6(a) of th tility Hol	ne Securi ding Co	mpar	•	Act of 1934, 1935 or Section )	burden hour response	rs per 0.5	
(Print or Type)	Responses)										
1. Name and Address of Reporting Person <u>*</u> Anderson Raymond			2. issuer raine una riener or riading					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(Last) (First) (Middle)				ransaction			(Check all applicable)			
	OR CEUTICAL COR N LANDING, SI	P., 7	(Month/I 08/31/2	Day/Year) 015			-	_X_ Director Officer (give t below)		Owner r (specify	
			Filed(Month/Day/Year) A				Ĺ	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
NOVATO,	CA 94949						i	Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-J	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	tion Date 2A. Deemed (y/Year) Execution Date, if any (Month/Day/Year)			(A)				OwnershipInForm:BDirect (D)O	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	08/31/2015			М	8,084	А	\$ 2.02	14,779 <u>(1)</u>	D		
Common Stock	08/31/2015			S	8,084	D	\$ 12.5176 (2)	6,695 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock options (right to buy)	\$ 2.02	08/31/2015		М	8,084	<u>(3)</u>	03/09/2020	Common Stock	8,084	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Anderson Raymond C/O RAPTOR PHARMACEUTICAL CORP. 7 HAMILTON LANDING, SUITE 100 NOVATO, CA 94949	Х					
Signatures						
/s/ Mark Jones, Raptor Pharmaceutical Corp., Attorney-in-Fact			09/02/20	)15		
**Signature of Reporting Person			Date			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 5021 Restricted Stock Units ("RSUs"). The Reporting Person is entitled to one (1) share of common stock for each one (1) RSU upon the vesting thereof. The RSUs will vest in full on the first anniversary of the date of grant.

This transaction was executed in multiple trades at prices ranging from \$12.50 to \$12.63. The price reported above reflects the weighted(2) average sale price. The reporting person hereby undertakes to provide, upon request, to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(3) Fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.