**Quast Kevin** Form 4/A April 11, 2018

# FORM 4

# **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Quast Kevin

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

KNIGHT TRANSPORTATION INC

(Check all applicable)

[KNX]

(Last)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

(Month/Day/Year)

09/08/2017

below)

20002 NORTH 19TH AVENUE

(First)

(Street)

EVP & COO of Knight Trans. Inc 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

09/12/2017

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

Issuer

PHOENIX, AZ 85027

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Middle)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

Following Reported Transaction(s)

(A) Code V Amount (D) Price

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and Expiration 7. Title and A 4. Derivative Conversion (Month/Day/Year) Execution Date, if **Transaction**Derivative Underlying Se Securities Security or Exercise Code (Month/Day/Year) (Instr. 3 and 4 any

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Restricted Stock Performance Units (1)	(2)	09/08/2017		D	6,220	09/08/2017 <u>(2)</u>	(2)	Common Stock
Restricted Stock Performance Units (1)	(3)	09/08/2017		D	4,183 (3)	(3)	05/14/2020(3)	Common Stock
Restricted Stock Performance Units (1)	<u>(3)</u>	09/08/2017		D	6,190 (3)	(3)	01/31/2020(3)	Common Stock
Restricted Stock Units	<u>(4)</u>	09/08/2017		D	18,800	<u>(4)</u>	<u>(4)</u>	Common Stock
Restricted Stock Units	<u>(5)</u>	09/08/2017		D	2,000	<u>(5)</u>	<u>(5)</u>	Common Stock
Restricted Stock Units	<u>(6)</u>	09/08/2017		D	4,498	<u>(6)</u>	<u>(6)</u>	Common Stock
Employee Stock Option (Right to Buy) (1)	\$ 14.79	09/08/2017		D	10,000	<u>(7)</u>	02/27/2018	Common Stock
Employee Stock Option (Right to Buy) (1)	\$ 17.29	09/08/2017		D	7,500	(8)	05/21/2018	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 5	Director	10% Owner	Officer	Other		
Quast Kevin 20002 NORTH 19TH AVENUE PHOENIX, AZ 85027			EVP & COO of Knight Trans. Inc			

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# **Signatures**

/s/ Jessica Benford, Attorney-in-Fact

04/11/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This row is added to show disposition of derivative securities pursuant to the Agreement and Plan of Merger, dated April 9, 2017, by and (1) among Swift Transportation Company, Bishop Merger Sub, Inc., and the issuer (the "Merger Agreement"). The derivative securities were assumed and replaced by Swift Transportation Company (renamed Knight-Swift Transportation Holdings Inc.).
  - On March 14, 2014, the reporting person was granted restricted stock units with the amount of shares of common stock awarded to be based on achieving or failing to achieve performance targets measured over a three-year period that ended December 31, 2016. As part of
- (2) the Merger Agreement, the restricted stock units vest on the closing date of the Merger Agreement and the shares issued have a market value of \$40.85 per share on the closing date of the Merger Agreement. Each restricted stock unit represents a contingent right to receive one share of Common Stock of the issuer.
- The number of underlying securities is subject to adjustment based on the level of achievement for specified performance targets measured over a performance period ending on December 31, 2017. The shares underlying this award vested as of September 8, 2017.
  - The remaining restricted stock units for this grant were scheduled to vest as follows: approximately 17% on January 31, 2018,
- (4) approximately 17% on January 31, 2019, approximately 15% on January 31, 2020, and approximately 17% on each of January 31, 2021, 2022, and 2023.
- (5) The remaining restricted stock units for this grant were scheduled to vest on January 31, 2018.
- (6) This restricted stock unit grant vests in five equal annual installments beginning on May 31, 2018.
- (7) This option vested in five equal annual installments beginning on February 28, 2011.
- (8) This option vested in five equal annual installments beginning on May 22, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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