## Edgar Filing: SINGH PRITHIPAL - Form 4

| Form 4  | HIPAL  |  |   |   |  |  |                              |  |  |          |  |  |
|---|--|--|---|---|--|--|------------------------------|--|--|----------|--|--|
| Form 4<br>August 02, 20<br>FORM<br>Check this<br>if no long<br>subject to<br>Section 10<br>Form 4 or<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | $\begin{array}{c} 4 \\ \text{UNITED} \\ \end{array}$ | MENT OF<br>Arrsuant to S<br>(a) of the I | Was<br>F CHAN<br>Section 10<br>Public Ut  | hington,<br>GES IN<br>SECUR<br>6(a) of the<br>ility Hold                  | D.C. 205<br>BENEFI<br>ITIES<br>e Securitio | <b>49</b><br>C <b>IAI</b><br>es Ex<br>pany | <b>OW</b><br>chang<br>Act of | COMMISSION<br>NERSHIP OF<br>e Act of 1934,<br>f 1935 or Sectio<br>40   | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response                          | rs per   |  |  |
| (Print or Type R  | esponses)  |  |   |   |  |  |                              |  |  |          |  |  |
| SINGH PRITHIPAL Sym   |  |  | Symbol  | 2. Issuer Name <b>and</b> Ticker or Trading<br>ymbol<br>ABAXIS INC [ABAX] |  |  |                              | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |          |  |  |
| (1  |  |  | <ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>07/31/2018</li></ul> |   |  |  |                              | _X_ Director   | (Check all applicable)<br>Director 10% Owner<br>Officer (give title Other (specify<br>below) |          |  |  |
|   | (Street)   |  |   | ndment, Da<br>th/Day/Year   | -  |  |                              | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by 0   |  | -        |  |  |
| UNION CIT   | Y, CA 94587  |  |   |   |  |  |                              | Form filed by M<br>Person  | Nore than One Re   | porting  |  |  |
| (City)  | (State)  | (Zip)                                    | Table   | e I - Non-D   | erivative S                                | ecurit                                     | ies Acq                      | uired, Disposed of   | f, or Beneficial   | ly Owned |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Da<br>(Month/Day/Year                 | r) Execution<br>any                      |   | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V                          | on(A) or Dis<br>(D)<br>(Instr. 3, 4        | sposed                                     | of                           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                         |          |  |  |
| Common<br>Stock   | 07/31/2018   |  |   | D   | 26,500                                     | D  | \$ 83<br>(1)                 | 0  | D  |          |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Transaction of Derivative<br>Code Securities |                     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                 | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |   |
|---|---|---|---|---------------------------------------|--|---------------------|--|-----------------|---|---|
|   |   |   |   | Code V                                | (A) (D)                                      | Date<br>Exercisable | Expiration<br>Date   | Title           | Amount<br>or<br>Number<br>of<br>Shares                              |   |
| Restricted<br>Stock<br>Units                        | \$ 0  | 07/31/2018                              |   | D                                     | 5,500  | (2)                 | (3)  | Common<br>Stock | 5,500   | 2 |

## **Reporting Owners**

| Reporting Owner Name / Addro   | ess        | Relationships |         |       |  |  |  |  |  |
|--|------------|---------------|---------|-------|--|--|--|--|--|
|  | Director   | 10% Owner     | Officer | Other |  |  |  |  |  |
| SINGH PRITHIPAL<br>C/O ABAXIS, INC.<br>3240 WHIPPLE ROAD<br>UNION CITY, CA 94587 | Х          |               |         |       |  |  |  |  |  |
| Signatures   |            |               |         |       |  |  |  |  |  |
| /s/ Prithipal<br>Singh   | 08/02/2018 |               |         |       |  |  |  |  |  |

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the Agreement and Plan of Merger (the "Merger Agreement") dated May 15, 2018, by and among Abaxis, Inc., a California corporation (the "Issuer"), Zoetis Inc., a Delaware corporation ("Parent"), and Zeus Merger Sub, Inc., a California corporation and an indirect wholly owned subsidiary of Parent ("Merger Sub"), on July 31, 2018, Merger Sub merged with and into the Issuer (the

(1) Indirect whole substantial of rateful (Merger Sub), on July 31, 2010, Merger Sub interger sub interger with and into the issuer (the "Merger"), with the Issuer continuing as the surviving corporation and a wholly owned subsidiary of Parent. In connection with the Merger, these shares were cancelled and converted into the right to receive \$83.00 in cash, without interest, per share, subject to the terms and conditions of the Merger Agreement (the "Merger Consideration").

Pursuant to the terms of the Merger Agreement, at the effective time of the Merger (the "Effective Time"), each of these unvested restricted stock units vested automatically in accordance with their existing terms and were cancelled and automatically converted into the

- (2) right to receive the Merger Consideration in respect of each share of Issuer common stock underlying such awards. Each of these vested restricted stock units converted into the right to receive the Merger Consideration in respect of each share of Issuer common stock underlying such awards.
- (3) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.