Truett-Hurst, Inc. Form 4 December 11, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Graham James Barrie

(First) (Middle) (Last)

487 WILSON AVENUE

NOVATO. CA 94947

2. Issuer Name and Ticker or Trading

Symbol

Truett-Hurst, Inc. [THST] 3. Date of Earliest Transaction

(Month/Day/Year)

12/09/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State) (Zip)

(Street)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

> anv (Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

Disposed of (D) (Instr. 3, 4 and 5)

4. Securities

5. Amount of Securities Beneficially Owned Following

Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

6. Ownership 7. Nature of

Reported (A) Transaction(s) or

(Instr. 3 and 4) Amount (D) Price

Class A

Stock

1.Title of

Security

(Instr. 3)

Common 12/09/2014 $M^{(1)}$

1,429

(2)Α

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

1,429

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	wative Expiration Date Underlying es (Month/Day/Year) (Instr. 3 and ed of		7. Title and A Underlying S (Instr. 3 and	Securities	8. Pr Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock	(2) (3)	12/09/2013		M	1,429	<u>(3)</u>	(3)	Common Stock	1,429	\$

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Graham James Barrie 487 WILSON AVENUE NOVATO, CA 94947	X						

Signatures

/s/ Barrie
Graham

**Signature of Reporting Person

12/11/2014

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 9, 2013, the Reporting Person was granted 2,857 shares of restricted stock of which fifty percent (50%) or 1,429 shares vested on December 9, 2014. Such shares of restricted stock were previously reported as derivative securities in Table II on the Form 4 filed by the Reporting Person with the Securities Exchange Commission on December 11, 2013 (File No.: 001-35973) and are being reported herein to reflect the settlement of those derivative securities.
- Pursuant to the 2012 Stock Incentive Plan of Truett-Hurst, Inc., each restricted stock award is comprised of shares of Class A common stock subject to a right of repurchase or forfeiture. Once vested, each share of restricted stock is released from such restrictions.
 - On December 9, 2013, the Reporting Person was granted 2,857 shares of restricted stock pursuant to the 2012 Stock Incentive Plan of Truett-Hurst, Inc. The Board of Directors amended the original vesting term of three years to two years and therefore fifty percent (50%)
- or 1,429 shares of such restricted stock award vested on December 9, 2014. Such shares of restricted stock were previously reported as derivative securities in Table II on the Form 4 filed by the Reporting Person with the Securities Exchange Commission on December 11, 2013 (File No.: 001-35973) and are being reported herein to reflect the settlement of those derivative securities. The remaining unvested shares of restricted stock granted to the Reporting Person will vest on December 9, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2