Edgar Filing: Horizon Technology Finance Corp - Form 8-K

Horizon Technology Finance Corp Form 8-K August 04, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): August 4, 2015

HORIZON TECHNOLOGY FINANCE

CORPORATION

(Exact name of registrant as specified in its charter)

Delaware 814-00802 27-2114934

(State or other jurisdiction

(Commission File Number) (I.R.S. Employer Identification No.)

of incorporation)

312 Farmington Avenue

Farmington, CT 06032

(Address of principal executive offices and zip code)

Edgar Filing: Horizon Technology Finance Corp - Form 8-K

Registrant's telephone number, including area code: (860) 676-8654

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Edgar Filing: Horizon Technology Finance Corp - Form 8-K

Item 8.01 Other Events

On August 4, 2015, Horizon Technology Finance Corporation (the "Company") announced that Christopher B. Woodward, lead independent director and a member of the Company's audit committee, passed away on July 30, 2015. Mr. Woodward had been a valuable member of the Company's board of directors since July 2010 and will be greatly missed.

Edmund V. Mahoney has been appointed to the Company's audit committee to fill the vacancy created by Mr. Woodward's passing.

A copy of the press release is attached hereto as Exhibit 99.1

Item 9.01 Financial Statements and Exhibits

- (d) Exhibits.
- 99.1 Press release of the Company dated August 4, 2015.

2

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

> **HORIZON TECHNOLOGY**

Date: August 4, 2015

FINANCE

CORPORATION

/s/ Robert

By: Pomeroy,

D.

Robert D.

Pomeroy,

Jr.

Chief

Executive

Officer

3

Exhibit Index

Exhibit No. Description

99.1 Press release of the Company dated August 4, 2015

4