Horizon Technology Finance Corp Form 8-K September 28, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 8-K **CURRENT REPORT** Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 Date of Report (Date of earliest event reported): September 28, 2015 HORIZON TECHNOLOGY FINANCE **CORPORATION** (Exact name of registrant as specified in its charter) 814-00802 27-2114934 **Delaware** (State or other jurisdiction (Commission File Number) (I.R.S. Employer Identification No.) of incorporation) 312 Farmington Avenue Farmington, CT 06032 (Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (860) 676-8654

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8 Other Events Item 8.01 Other Events

On September 28, 2015, Horizon Technology Finance Corporation (the "Company") announced that its Board of Directors has authorized the repurchase of up to \$5 million of its common stock at prices below the Company's net asset value per share as reported in its most recent financial statements.

A copy of the press release announcing such repurchase authorization is attached hereto as Exhibit 99.1 and is incorporated by reference herein.

Section 9 Financial Statements and Exhibits Item 9.01 Financial Statements and Exhibits

- (d) Exhibits.
- 99.1 Press release of the Company dated September 28, 2015.

2

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: September 28, 2015 HORIZON TECHNOLOGY FINANCE CORPORATION

By: <u>/s/ Robert D. Pomeroy, Jr.</u> Robert D. Pomeroy, Jr. *Chief Executive Officer*

3

Exhibit Index

Exhibit No. Description

99.1 Press release of the Company dated September 28, 2015

4