

NEWMONT MINING CORP /DE/

Form 3

June 07, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Kitlen John

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/01/2016

3. Issuer Name and Ticker or Trading Symbol

NEWMONT MINING CORP /DE/ [NEM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ X Officer ☐ Other

(give title below) (specify below)

VP, Controller & CAO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ X Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

6363 SOUTH FIDDLER'S GREEN CIRCLE

(Street)

GREENWOOD VILLAGE,Â COÂ 80111

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock, \$1.60 par value

19,568 ⁽¹⁾

D

Â

Common Stock, \$1.60 par value

100

I

By Daughter in a Uniform Transfer to Minor Account

Common Stock, \$1.60 par value

617 ⁽²⁾

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kitlen John 6363 SOUTH FIDDLER'S GREEN CIRCLE GREENWOOD VILLAGE, CO 80111	Â	Â	Â VP, Controller & CAO	Â

Signatures

/s/ David Kristoff, as attorney-in-fact for John Kitlen 06/07/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 19,568 restricted stock units representing a right to receive one share of the Issuer's common stock in accordance with the terms and conditions of the Reporting Person's restricted stock unit agreements. Restricted stock units generally vest in three equal installments beginning on the first anniversary of the date of grant. Restricted stock units held by the Reporting Person will vest as follows: 2,333 units on February 22, 2017; 3,066 units on February 24, 2017; 1,995 units on February 26, 2017; 1,886 units on April 25, 2017; 2,333 units on February 22, 2018; 1,849 units on February 24, 2018; 1,886 units on April 25, 2018; 2,334 units on February 22, 2019; and 1,886 units on April 25, 2019.

(2) The reported shareholding is based on the Company's estimation of the share value correlated with the number of units in the fund. As of June 1, 2016, the reporting person held 617 shares or 2,267 units of Newmont Mining common stock in his 401(k) Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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