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TRI-CONTINENTAL CORP
Form N-PX
August 31, 2004

U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-266

Tri-Continental Corporation
(Exact name of registrant as specified charter)

100 Park Avenue, New York, NY 10017
(Address of principal executive offices) (Zip code)

Lawrence P. Vogel, Treasurer
100 Park Avenue, New York, NY 10017
(Name and address of agent for service)

Registrant's telephone number, including area code: 212-850-1864
Toll Free: 800-221-2450

Date of fiscal year end: December 31, 2004

Date of reporting period: July 1, 2003 - June 30, 2004

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

Tri-Continental Corporation

DELL COMPUTER CORPORATION

DELL

Meeting Date: 07/18/2003

Issuer: 247025

SEDOL:

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| Proposal Number | Proposal | Proposal Type | Vote Cast | For or M |
|-----------------|---|---------------|-----------|----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | MICHAEL A. MILES | Management | Withheld | Ag |
| | ALEX J. MANDL | Management | Withheld | Ag |
| | MORTON L. TOPFER | Management | Withheld | Ag |
| 02 | ELIMINATION OF CLASSIFIED BOARD | Management | For | F |
| 03 | CHANGE OF COMPANY NAME TO DELL INC. | Management | For | F |
| 04 | APPROVAL OF EXECUTIVE ANNUAL INCENTIVE BONUS PLAN | Management | For | F |

FOREST LABORATORIES, INC. FRX Meeting Date: 08/11/2003

Issuer: 345838

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or M |
|-----------------|--|---------------|-----------|----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | HOWARD SOLOMON | Management | Withheld | Ag |
| | WILLIAM J. CANDEE, III | Management | Withheld | Ag |
| | GEORGE S. COHAN | Management | Withheld | Ag |
| | DAN L. GOLDWASSER | Management | Withheld | Ag |
| | LESTER B. SALANS | Management | Withheld | Ag |
| | KENNETH E. GOODMAN | Management | Withheld | Ag |
| | PHILLIP M. SATOW | Management | Withheld | Ag |
| 02 | RATIFICATION OF AMENDMENT OF THE COMPANYS CERTIFICATE OF INCORPORATION TO AUTHORIZE ADDITIONAL SHARES OF THE COMPANY S COMMON STOCK. | Management | Against | Ag |
| 03 | RATIFICATION OF BDO SEIDMAN, LLP AS INDEPENDENT AUDITORS. | Management | For | F |

BMC SOFTWARE, INC. BMC Meeting Date: 08/21/2003

Issuer: 055921

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|---------------------|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | For | F |
| | B. GARLAND CUPP | Management | For | F |
| | ROBERT E. BEAUCHAMP | Management | For | F |
| | JON E. BARFIELD | Management | For | F |

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| | | | | | |
|----|---|--------------------|------------|-----|---|
| | | JOHN W. BARTER | Management | For | F |
| | | MELDON K. GAFNER | Management | For | F |
| | | L.W. GRAY | Management | For | F |
| | | KATHLEEN A. O'NEIL | Management | For | F |
| | | GEORGE F. RAYMOND | Management | For | F |
| | | TOM C. TINSLEY | Management | For | F |
| 02 | PROPOSAL TO RATIFY THE BOARD S APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS OF THE COMPANY FOR FISCAL YEAR ENDING MARCH 31, 2004. | | Management | For | F |

Tri-Continental Corporation

 NATIONAL SEMICONDUCTOR CORPORATION NSM Meeting Date: 09/26/2003

Issuer: 637640

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|--|-----------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | BRIAN L. HALLA | Management | Withheld | Ag |
| | | STEVEN R. APPLETON | Management | Withheld | Ag |
| | | GARY P. ARNOLD | Management | Withheld | Ag |
| | | RICHARD J. DANZIG | Management | Withheld | Ag |
| | | ROBERT J. FRANKENBERG | Management | Withheld | Ag |
| | | E. FLOYD KVAMME | Management | Withheld | Ag |
| | | MODESTO A. MAIDIQUE | Management | Withheld | Ag |
| | | EDWARD R. MCCRACKEN | Management | Withheld | Ag |
| 02 | TO APPROVE KPMG LLP AS AUDITORS OF THE COMPANY. | | Management | For | F |
| 03 | TO APPROVE THE ADOPTION OF THE 2003 EMPLOYEES STOCK PURCHASE PLAN. | | Management | Against | Ag |

 ORACLE CORPORATION ORCL Meeting Date: 10/13/2003

Issuer: 68389X

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | LAWRENCE J. ELLISON | Management | Withheld | Ag |
| | | DONALD L. LUCAS | Management | Withheld | Ag |
| | | MICHAEL J. BOSKIN | Management | Withheld | Ag |

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| | | | | | |
|----|--|----------------------|-------------|----------|----|
| | | JEFFREY O. HENLEY | Management | Withheld | Ag |
| | | JACK F. KEMP | Management | Withheld | Ag |
| | | JEFFREY BERG | Management | Withheld | Ag |
| | | SAFRA CATZ | Management | Withheld | Ag |
| | | HECTOR GARCIA-MOLINA | Management | Withheld | Ag |
| | | JOSEPH A. GRUNDFEST | Management | Withheld | Ag |
| | | H. RAYMOND BINGHAM | Management | Withheld | Ag |
| 02 | PROPOSAL FOR THE APPROVAL OF THE ADOPTION OF THE FISCAL YEAR 2004 EXECUTIVE BONUS PLAN | | Management | For | F |
| 03 | PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS OF THE COMPANY FOR THE FISCAL YEAR ENDING MAY 31, 2004 | | Management | For | F |
| 04 | PROPOSAL FOR THE APPROVAL OF THE AMENDED AND RESTATED 1993 DIRECTORS STOCK PLAN | | Management | Against | Ag |
| 05 | PROPOSAL TO ADOPT THE CHINA BUSINESS PRINCIPLES FOR RIGHTS OF WORKERS IN CHINA | | Shareholder | Abstain | Ag |

Tri-Continental Corporation

 THE PROCTER & GAMBLE COMPANY PG Meeting Date: 10/14/2003

Issuer: 742718

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|----------------------|---------------|-----------|-----------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | NORMAN R. AUGUSTINE | Management | Withheld | Ag |
| | | A.G. LAFLEY | Management | Withheld | Ag |
| | | JOHNATHAN A. RODGERS | Management | Withheld | Ag |
| | | JOHN F. SMITH, JR. | Management | Withheld | Ag |
| | | MARGARET C. WHITMAN | Management | Withheld | Ag |
| 02 | RATIFY APPOINTMENT OF INDEPENDENT AUDITORS | | Management | For | F |
| 03 | APPROVE THE PROCTER & GAMBLE 2003 NON-EMPLOYEE DIRECTORS STOCK PLAN | | Management | Against | Ag |
| 04 | SHAREHOLDER PROPOSAL NO. 1 BOARD OF DIRECTORS TERMS | | Shareholder | For | Ag |
| 05 | SHAREHOLDER PROPOSAL NO. 2 LABEL GENETICALLY ENGINEERED FOODS | | Shareholder | Abstain | Ag |

 PARKER-HANNIFIN CORPORATION PH Meeting Date: 10/22/2003

Issuer: 701094

SEDOL:

| Proposal | | Proposal | Vote | For or |
|----------|--|----------|------|--------|
|----------|--|----------|------|--------|

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| Number | Proposal | Type | Cast | Mg |
|--------|---|------------|----------|----|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | DUANE E. COLLINS | Management | Withheld | Ag |
| | ROBERT J. KOHLHEPP | Management | Withheld | Ag |
| | GIULIO MAZZALUPI | Management | Withheld | Ag |
| | KLAUS-PETER MULLER | Management | Withheld | Ag |
| | ALLAN L. RAYFIELD | Management | Withheld | Ag |
| 02 | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT PUBLIC ACCOUNTING FIRM FOR FY04. | Management | For | F |
| 03 | APPROVAL OF THE PARKER-HANNIFIN CORPORATION 2003 STOCK INCENTIVE PLAN. | Management | Against | Ag |

 TEVA PHARMACEUTICAL INDUSTRIES LIMIT TEVA Meeting Date: 10/27/2003

Issuer: 881624

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | TO APPOINT PROF. GABRIELA SHALEV AS A STATUTORY INDEPENDENT DIRECTOR FOR A THREE-YEAR TERM, REPLACING MR. ORI SLONIM WHOSE TERM ENDS ON OCTOBER 17, 2003. | Management | For | F |
| 02 | TO APPROVE AN INCREASE IN THE REMUNERATION OF THE DIRECTORS OF THE COMPANY (OTHER THAN THE CHAIRMAN). SUCH ANNUAL REMUNERATION IS TO BE SET AT NIS 100,000 WITH AN ADDITIONAL NIS 3,000 PER MEETING. DIRECTORS WHO ARE ALSO COMMITTEE CHAIRPERSONS SHALL RECEIVE ANNUAL REMUNERATION OF NIS 125,000. SUCH REMUNERATION SHALL BE ADJUSTED IN ACCORDANCE WITH THE ISRAELI PRICE INDEX. | Management | For | F |

Tri-Continental Corporation

 KLA-TENCOR CORPORATION KLAC Meeting Date: 11/05/2003

Issuer: 482480

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|----------|---------------|-----------|-----------|
|-----------------|----------|---------------|-----------|-----------|

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| | | | | | |
|----|--|-----------------------|------------|-----|---|
| 01 | DIRECTOR | H. RAYMOND BINGHAM | Management | For | F |
| | | ROBERT T. BOND | Management | For | F |
| | | RICHARD J. ELKUS, JR. | Management | For | F |
| | | MICHAEL E. MARKS | Management | For | F |
| 02 | TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT ACCOUNTANTS OF THE COMPANY FOR THE FISCAL YEAR ENDING JUNE 30, 2004. | | Management | For | F |

CISCO SYSTEMS, INC.

CSCO

Meeting Date: 11/11/2003

Issuer: 17275R

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|--|----------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | CAROL A. BARTZ | Management | Withheld | Again |
| | | LARRY R. CARTER | Management | Withheld | Again |
| | | JOHN T. CHAMBERS | Management | Withheld | Again |
| | | DR. JAMES F. GIBBONS | Management | Withheld | Again |
| | | DR. JOHN L. HENNESSY | Management | Withheld | Again |
| | | RODERICK C. MCGEARY | Management | Withheld | Again |
| | | JAMES C. MORGAN | Management | Withheld | Again |
| | | JOHN P. MORGRIDGE | Management | Withheld | Again |
| | | DONALD T. VALENTINE | Management | Withheld | Again |
| | | STEVEN M. WEST | Management | Withheld | Again |
| | | JERRY YANG | Management | Withheld | Again |
| 02 | TO APPROVE AN AMENDMENT AND RESTATEMENT OF THE EMPLOYEE STOCK PURCHASE PLAN, INCLUDING AN INCREASE OF 100,000,000 SHARES AUTHORIZED FOR ISSUANCE UNDER THE PLAN AND A 5-YEAR EXTENSION OF THE PLAN, AS MORE FULLY SET FORTH IN THE ACCOMPANYING PROXY STATEMENT. | | Management | Against | Again |
| 03 | TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS CISCO S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING JULY 31, 2004. | | Management | For | F |
| 04 | PROPOSAL SUBMITTED BY A SHAREHOLDER THAT THE BOARD PREPARE A REPORT EACH FISCAL YEAR ON CISCO HARDWARE AND SOFTWARE PROVIDED TO GOVERNMENT AGENCIES AND STATE-OWNED COMMUNICATIONS OR INFORMATION TECHNOLOGY ENTITIES IN ANY COUNTRY, AS MORE FULLY SET FORTH IN THE ACCOMPANYING PROXY STATEMENT. | | Shareholder | Abstain | Again |
| 05 | PROPOSAL SUBMITTED BY SHAREHOLDERS THAT THE BOARD S COMPENSATION COMMITTEE PREPARE AND MAKE AVAILABLE BY JANUARY 1, 2004, A REPORT COMPARING TOTAL COMPENSATION OF THE COMPANY S TOP EXECUTIVES AND ITS LOWEST PAID WORKERS IN THE U.S. AND ABROAD AS OF SPECIFIC DATES, AS MORE FULLY SET FORTH IN THE ACCOMPANYING PROXY | | Shareholder | Against | F |

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STATEMENT.

Tri-Continental Corporation

 MICROSOFT CORPORATION MSFT Meeting Date: 11/11/2003

Issuer: 594918

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | WILLIAM H. GATES III | Management | Withheld | Against |
| | STEVEN A. BALLMER | Management | Withheld | Against |
| | JAMES I. CASH JR. | Management | Withheld | Against |
| | RAYMOND V. GILMARTIN | Management | Withheld | Against |
| | A. MCLAUGHLIN KOROLOGOS | Management | Withheld | Against |
| | DAVID F. MARQUARDT | Management | Withheld | Against |
| | CHARLES H. NOSKI | Management | Withheld | Against |
| | HELMUT PANKE | Management | Withheld | Against |
| | WM. G. REED JR. | Management | Withheld | Against |
| | JON A. SHIRLEY | Management | Withheld | Against |
| 02 | ADOPTION OF THE AMENDMENTS TO THE 2001 STOCK PLAN | Management | For | For |
| 03 | ADOPTION OF THE AMENDMENTS TO THE 1999 STOCK OPTION PLAN FOR NON-EMPLOYEE DIRECTORS | Management | For | For |
| 04 | SHAREHOLDER PROPOSAL (THE BOARD RECOMMENDS A VOTE AGAINST THIS PROPOSAL) | Shareholder | Abstain | Against |

 MAXIM INTEGRATED PRODUCTS, INC. MXIM Meeting Date: 11/13/2003

Issuer: 57772K

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | JAMES R. BERGMAN | Management | Withheld | Against |
| | JOHN F. GIFFORD | Management | Withheld | Against |
| | B. KIPLING HAGOPIAN | Management | Withheld | Against |
| | M.D. SAMPELS | Management | Withheld | Against |
| | A.R. FRANK WAZZAN | Management | Withheld | Against |
| 02 | TO RATIFY AND APPROVE AN AMENDMENT TO THE COMPANY S 1996 STOCK INCENTIVE PLAN, AS AMENDED, INCREASING THE NUMBER OF SHARES AVAILABLE FOR | Management | Against | Against |

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| | | | | |
|----|---|------------|---------|-----|
| 03 | ISSUANCE THEREUNDER BY 9,400,000 SHARES FROM 95,200,000 SHARES TO 104,600,000 SHARES. TO RATIFY AND APPROVE AN AMENDMENT TO THE COMPANY S 1987 EMPLOYEE STOCK PARTICIPATION PLAN, AS AMENDED, INCREASING THE NUMBER OF SHARES AVAILABLE FOR ISSUANCE THEREUNDER BY 400,000 SHARES FROM 14,651,567 SHARES TO 15,051,567 SHARES. | Management | Against | Aga |
| 04 | TO RATIFY THE RETENTION OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING JUNE 26, 2004. | Management | For | F |

SUN MICROSYSTEMS, INC.

SUNW

Meeting Date: 11/13/2003

Issuer: 866810

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or |
|-----------------|--|---------------|-----------|--------|
| 01 | DIRECTOR | Management | Withheld | Aga |
| | SCOTT G. MCNEALY | Management | Withheld | Aga |
| | JAMES L. BARKSDALE | Management | Withheld | Aga |
| | L. JOHN DOERR | Management | Withheld | Aga |
| | ROBERT J. FISHER | Management | Withheld | Aga |
| | MICHAEL E. LEHMAN | Management | Withheld | Aga |
| | ROBERT L. LONG | Management | Withheld | Aga |
| | M. KENNETH OSHMAN | Management | Withheld | Aga |
| | NAOMI O. SELIGMAN | Management | Withheld | Aga |
| | LYNN E. TURNER | Management | Withheld | Aga |
| 02 | AMENDMENTS TO THE 1990 EMPLOYEE STOCK PURCHASE PLAN. PROPOSAL TO APPROVE AMENDMENTS TO SUN S 1990 EMPLOYEE STOCK PURCHASE PLAN, INCLUDING AN INCREASE IN THE NUMBER OF SHARES OF COMMON STOCK RESERVED FOR ISSUANCE THEREUNDER BY 170,000,000 SHARES OF COMMON STOCK TO AN AGGREGATE OF 616,400,000 SHARES | Management | Against | Aga |
| 03 | RATIFICATION OF APPOINTMENT OF INDEPENDENT AUDITORS. PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP, AS SUN S INDEPENDENT AUDITORS FOR THE CURRENT FISCAL YEAR | Management | For | F |
| 04 | STOCKHOLDER PROPOSAL ENTITLED CHINA BUSINESS PRINCIPLES FOR RIGHTS OF WORKERS IN CHINA . PROPOSAL REQUESTING IMPLEMENTATION OF PRINCIPLES EMBODIED IN CHINA BUSINESS PRINCIPLES FOR RIGHTS OF WORKERS IN CHINA | Shareholder | Abstain | Aga |

Tri-Continental Corporation

MICRON TECHNOLOGY, INC.

MU

Meeting Date: 11/21/2003

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Issuer: 595112

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | STEVEN R. APPLETON | Management | Withheld | Against |
| | JAMES W. BAGLEY | Management | Withheld | Against |
| | ROBERT A. LOTHROP | Management | Withheld | Against |
| | THOMAS T. NICHOLSON | Management | Withheld | Against |
| | GORDON C. SMITH | Management | Withheld | Against |
| | WILLIAM P. WEBER | Management | Withheld | Against |
| 02 | PROPOSAL BY THE COMPANY TO APPROVE AN AMENDMENT TO THE COMPANY S 1989 EMPLOYEE STOCK PURCHASE PLAN INCREASING THE NUMBER OF SHARES OF COMMON STOCK RESERVED FOR ISSUANCE THEREUNDER BY 5,000,000 AND MAKING CERTAIN OTHER CHANGES AS DESCRIBED IN THE PROXY STATEMENT | Management | Against | Against |
| 03 | PROPOSAL BY THE COMPANY TO APPROVE AN AMENDMENT TO THE COMPANY S 2001 STOCK OPTION PLAN INCREASING THE NUMBER OF SHARES OF COMMON STOCK RESERVED FOR ISSUANCE THEREUNDER BY 17,000,000 | Management | Against | Against |
| 04 | PROPOSAL BY THE COMPANY TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT ACCOUNTANTS FOR FISCAL 2004 | Management | For | For |

JABIL CIRCUIT, INC.

JBL

Meeting Date: 01/13/2004

Issuer: 466313

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | WILLIAM D. MOREAN | Management | Withheld | Against |
| | THOMAS A. SANSONE | Management | Withheld | Against |
| | TIMOTHY L. MAIN | Management | Withheld | Against |
| | LAWRENCE J. MURPHY | Management | Withheld | Against |
| | MEL S. LAVITT | Management | Withheld | Against |
| | STEVEN A. RAYMUND | Management | Withheld | Against |
| | FRANK A. NEWMAN | Management | Withheld | Against |
| | LAURENCE S. GRAFSTEIN | Management | Withheld | Against |
| 02 | TO APPROVE AMENDMENTS TO THE JABIL CIRCUIT, INC. 2002 STOCK INCENTIVE PLAN TO (I) PROVIDE FOR AN INCREASE IN THE AGGREGATE SHARES OF COMMON STOCK THAT MAY BE SUBJECT TO FUTURE AWARDS FROM 1,512,705 TO 11,512,705 SHARES, (II) PROHIBIT THE | Management | Against | Against |

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REPRICING OF OUTSTANDING STOCK OPTIONS, AND (III)
 REQUIRE STOCKHOLDER APPROVAL FOR CERTAIN
 AMENDMENTS TO THE PLAN.

03 TO RATIFY THE SELECTION OF KPMG LLP AS Management For
 INDEPENDENT AUDITORS FOR JABIL.

Tri-Continental Corporation

 NOVARTIS AG NVS Meeting Date: 02/24/2004

Issuer: 66987V

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|---------------|-----------|-----------|
| 01 | APPROVAL OF THE ANNUAL REPORT, THE FINANCIAL STATEMENTS OF NOVARTIS AG AND THE GROUP CONSOLIDATED FINANCIAL STATEMENTS FOR THE YEAR 2003. | Management | Against | Ag |
| 02 | APPROVAL OF THE ACTIVITIES OF THE BOARD OF DIRECTORS. | Management | Against | Ag |
| 03 | APPROPRIATION OF AVAILABLE EARNINGS OF NOVARTIS AG AS PER BALANCE SHEET AND DECLARATION OF DIVIDEND. | Management | For | F |
| 04 | CONVERSION OF GENERAL RESERVES INTO FREE RESERVES. | Management | For | F |
| 05 | REDUCTION OF SHARE CAPITAL. | Management | For | F |
| 06 | FURTHER SHARE REPURCHASE PROGRAM. | Management | For | F |
| 07 | AMENDMENT TO THE ARTICLES OF INCORPORATION. | Management | For | F |
| 8A | ELECTION TO THE BOARD OF DIRECTORS. RE-ELECTION OF PROF. DR. HELMUT SIHLER FOR A THREE-YEAR TERM. | Management | Abstain | Ag |
| 8B | ELECTION TO THE BOARD OF DIRECTORS. RE-ELECTION OF MR. HANS-JORG RUDLOFF FOR A THREE-YEAR TERM. | Management | Abstain | Ag |
| 8C | ELECTION TO THE BOARD OF DIRECTORS. RE-ELECTION OF DR. DANIEL VASELLA FOR A THREE-YEAR TERM. | Management | Abstain | Ag |
| 9 | APPOINTMENT OF THE AUDITORS AND THE GROUP AUDITORS. | Management | For | F |

Tri-Continental Corporation

 DEERE & COMPANY DE Meeting Date: 02/25/2004

Issuer: 244199

SEDOL:

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| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | | Management | For | For |
| | | Management | For | For |
| | | Management | For | For |
| | | Management | For | For |

INTERNATIONAL GAME TECHNOLOGY IGT Meeting Date: 03/02/2004

Issuer: 459902

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| | | Management | Withheld | Against |
| 02 | APPROVAL OF THE AMENDMENT TO THE INTERNATIONAL GAME TECHNOLOGY EMPLOYEE STOCK PURCHASE PLAN. | Management | For | For |
| 03 | RATIFICATION OF APPOINTMENT OF DELOITTE & TOUCHE LLP AS IGT S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2004. | Management | For | For |

BANK OF AMERICA CORPORATION BAC Meeting Date: 03/17/2004

Issuer: 060505

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | ADOPTION OF THE MERGER AGREEMENT | Management | For | For |
| 02 | ADOPTION OF BANK OF AMERICA S AMENDED STOCK PLAN | Management | Against | Against |
| 03 | ADOPTION OF AN AMENDMENT TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF BANK OF AMERICA COMMON STOCK | Management | Against | Against |

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04 APPROVAL OF PROPOSAL TO ADJOURN THE BANK OF AMERICA SPECIAL MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES Management For

Tri-Continental Corporation

HEWLETT-PACKARD COMPANY HPQ Meeting Date: 03/17/2004

Issuer: 428236

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | L.T. BABBIO, JR. | Management | Withheld | Against |
| | P.C. DUNN | Management | Withheld | Against |
| | C.S. FIORINA | Management | Withheld | Against |
| | R.A. HACKBORN | Management | Withheld | Against |
| | G.A. KEYWORTH II | Management | Withheld | Against |
| | R.E. KNOWLING, JR. | Management | Withheld | Against |
| | S.M. LITVACK | Management | Withheld | Against |
| | R.L. RYAN | Management | Withheld | Against |
| | L.S. SALHANY | Management | Withheld | Against |
| 02 | PROPOSAL TO RATIFY ERNST & YOUNG LLP AS HEWLETT-PACKAD COMPANY S INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING OCTOBER 31, 2004. | Management | For | For |
| 03 | APPROVAL OF THE HEWLETT-PACKARD COMPANY 2004 STOCK INCENTIVE PLAN | Management | Against | Against |
| 04 | SHAREOWNER PROPOSAL ENTITLED STOCK OPTION EXPENSING PROPOSAL | Shareholder | For | Against |

TYCO INTERNATIONAL LTD. TYC Meeting Date: 03/25/2004

Issuer: 902124

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|-------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | DENNIS C. BLAIR | Management | Withheld | Against |
| | EDWARD D. BREEN | Management | Withheld | Against |
| | GEORGE W. BUCKLEY | Management | Withheld | Against |
| | BRIAN DUPERREULT | Management | Withheld | Against |
| | BRUCE S. GORDON | Management | Withheld | Against |

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| Proposal Number | Proposal | Management | Withheld | For | Against | Abstain |
|-----------------|---|--------------------|------------|----------|---------|---------|
| | | JOHN A. KROL | Management | Withheld | | |
| | | MACKEY J. MCDONALD | Management | Withheld | | |
| | | H. CARL MCCALL | Management | Withheld | | |
| | | BRENDAN R. O'NEILL | Management | Withheld | | |
| | | SANDRA S. WIJNBERG | Management | Withheld | | |
| | | JEROME B. YORK | Management | Withheld | | |
| 02 | APPOINTMENT OF DELOITTE & TOUCHE LLP AS TYCO S INDEPENDENT AUDITORS AND AUTHORIZATION FOR THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS TO SET THE AUDITORS REMUNERATION. | | | For | | |
| 03 | ADOPTION OF THE AMENDED AND RESTATED BYE-LAWS. | Management | | For | | |
| 04 | APPROVAL OF TYCO 2004 STOCK AND INCENTIVE PLAN. | Management | | Against | | |
| 05 | SHAREHOLDER PROPOSAL REGARDING ENVIRONMENTAL REPORTING. | Shareholder | | Abstain | | |
| 06 | SHAREHOLDER PROPOSAL TO CHANGE TYCO S JURISDICTION OF INCORPORATION FROM BERMUDA TO A U.S. STATE. | Shareholder | | For | | |
| 07 | SHAREHOLDER PROPOSAL ON COMMON SENSE EXECUTIVE COMPENSATION. | Shareholder | | Against | | |

Tri-Continental Corporation

 FEDERAL HOME LOAN MORTGAGE CORPORATI FRE Meeting Date: 03/31/2004

Issuer: 313400

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------------|------------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | | JOAN E. DONOGHUE | Management | For |
| | | MICHELLE ENGLER | Management | For |
| | | RICHARD KARL GOELTZ | Management | For |
| | | GEORGE D. GOULD | Management | For |
| | | HENRY KAUFMAN | Management | For |
| | | JOHN B. MCCOY | Management | For |
| | | SHAUN F. O'MALLEY | Management | For |
| | | RONALD F. POE | Management | For |
| | | STEPHEN A. ROSS | Management | For |
| | | DONALD J. SCHUENKE | Management | For |
| | | CHRISTINA SEIX | Management | For |
| | | RICHARD F. SYRON | Management | For |
| | | WILLIAM J. TURNER | Management | For |
| 02 | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS FOR THE YEAR 2003 | Management | For | For |

 THE GOLDMAN SACHS GROUP, INC. GS Meeting Date: 03/31/2004

Issuer: 38141G

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SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | F |
| | LLOYD C. BLANKFEIN | Management | For | F |
| | LD BROWNE OF MADINGLEY | Management | For | F |
| | CLAES DAHLBACK | Management | For | F |
| | JAMES A. JOHNSON | Management | For | F |
| | LOIS D. JULIBER | Management | For | F |
| 02 | RATIFICATION OF THE APPOINTMENT BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS FOR THE 2004 FISCAL YEAR. | Management | For | F |
| 03 | ANNUAL ELECTION OF ALL DIRECTORS TO THE BOARD OF DIRECTORS. | Shareholder | For | Ag |

Tri-Continental Corporation

 THE BANK OF NEW YORK COMPANY, INC. BK Meeting Date: 04/13/2004

Issuer: 064057

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | MR. BIONDI | Management | Withheld | Ag |
| | MR. DONOFRIO | Management | Withheld | Ag |
| | MR. GRIFFITH | Management | Withheld | Ag |
| | MR. HASSELL | Management | Withheld | Ag |
| | MR. KOGAN | Management | Withheld | Ag |
| | MR. KOWALSKI | Management | Withheld | Ag |
| | MR. LUKE | Management | Withheld | Ag |
| | MR. MALONE | Management | Withheld | Ag |
| | MR. MYNERS | Management | Withheld | Ag |
| | MR. POZEN | Management | Withheld | Ag |
| | MS. REIN | Management | Withheld | Ag |
| | MR. RENYI | Management | Withheld | Ag |
| | MR. RICHARDSON | Management | Withheld | Ag |
| | MR. ROBERTS | Management | Withheld | Ag |
| | MR. SCOTT | Management | Withheld | Ag |
| 02 | RATIFICATION OF AUDITORS | Management | For | F |
| 03 | APPROVAL OF AN AMENDMENT TO THE 2004 MANAGEMENT INCENTIVE COMPENSATION PLAN | Management | For | F |
| 04 | SHAREHOLDER PROPOSAL WITH RESPECT TO POLITICAL | Shareholder | For | Ag |

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| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 05 | CONTRIBUTIONS SHAREHOLDER PROPOSAL WITH RESPECT TO EXECUTIVE COMPENSATION | Shareholder | Against | For |
| 06 | SHAREHOLDER PROPOSAL WITH RESPECT TO THE COMPOSITION OF THE RISK COMMITTEE | Shareholder | For | Against |
| 07 | SHAREHOLDER PROPOSAL WITH RESPECT TO THE COMPANY S RIGHTS PLAN | Shareholder | For | Against |

 WEYERHAEUSER COMPANY WY Meeting Date: 04/13/2004

Issuer: 962166

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|--|--|----------------|
| 01 | DIRECTOR STEVEN R. ROGEL WILLIAM D. RUCKELSHAUS RICHARD H. SINKFIELD JAMES N. SULLIVAN | Management Management Management Management | Withheld Withheld Withheld Withheld | Against |
| 02 | APPROVAL OF THE WEYERHAEUSER COMPANY 2004 LONG TERM INCENTIVE PLAN | Management | Against | Against |
| 03 | PROPOSAL TO AMEND THE COMPANY S RESTATED ARTICLES OF INCORPORATION TO AUTHORIZE ANNUAL ELECTION OF THE BOARD OF DIRECTORS | Shareholder | For | Against |
| 04 | SHAREHOLDER PROPOSAL RELATING TO ACCOUNTING FOR STOCK OPTIONS | Shareholder | For | Against |
| 05 | SHAREHOLDER PROPOSAL RELATING TO EQUITY COMPENSATION | Shareholder | For | Against |
| 06 | SHAREHOLDER PROPOSAL RELATING TO ENVIRONMENTAL REPORTING | Shareholder | Abstain | Against |
| 07 | SHAREHOLDER PROPOSAL RELATING TO OLD GROWTH AND ENDANGERED FORESTS | Shareholder | Abstain | Against |
| 08 | APPROVAL ON AN ADVISORY BASIS, OF THE APPOINTMENT OF AUDITORS | Management | For | For |

Tri-Continental Corporation

 BP P.L.C. BP Meeting Date: 04/15/2004

Issuer: 055622

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------|-----------|----------------|
|-----------------|----------|---------------|-----------|----------------|

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| | | | | | |
|----|--|---------------------|-------------|----------|----|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | LORD BROWNE | Management | Withheld | Ag |
| | | DR B E GROTE | Management | Withheld | Ag |
| | | MR H M P MILES | Management | Withheld | Ag |
| | | SIR ROBIN NICHOLSON | Management | Withheld | Ag |
| | | MR R L OLVER | Management | Withheld | Ag |
| | | SIR IAN PROSSER | Management | Withheld | Ag |
| 07 | TO ELECT MR A BURGMANS AS A DIRECTOR | | Management | Against | Ag |
| 08 | TO REAPPOINT ERNST & YOUNG LLP AS AUDITORS | | Management | For | F |
| 09 | TO AMEND THE ARTICLES OF ASSOCIATION OF THE COMPANY | | Management | For | F |
| 10 | TO GIVE AUTHORITY TO ALLOT SHARES UP TO A SPECIFIED AMOUNT | | Management | For | F |
| 11 | SPECIAL RESOLUTION: TO GIVE AUTHORITY TO ALLOT A LIMITED NUMBER OF SHARES FOR CASH WITHOUT MAKING AN OFFER TO SHAREHOLDERS | | Management | For | F |
| 12 | SPECIAL RESOLUTION: TO GIVE LIMITED AUTHORITY FOR THE PURCHASE OF ITS OWN SHARES BY THE COMPANY | | Management | For | F |
| 13 | TO AUTHORISE THE USE OF TREASURY SHARES FOR EMPLOYEE SHARE SCHEMES | | Management | For | F |
| 14 | TO APPROVE THE DIRECTORS REMUNERATION REPORT | | Management | For | F |
| 15 | TO DETERMINE THE LIMIT FOR THE AGGREGATE REMUNERATION OF THE NON-EXECUTIVE DIRECTORS | | Management | For | F |
| 16 | TO RECEIVE THE DIRECTORS ANNUAL REPORT AND THE ACCOUNTS | | Management | For | F |
| 17 | SPECIAL SHAREHOLDER RESOLUTION: TO INSTRUCT THE COMPANY TO PREPARE A REPORT MAKING CERTAIN DISCLOSURES ON MATTERS OF CONTROL AND RISK IN PROTECTED AND SENSITIVE AREAS | | Shareholder | Abstain | Ag |

CITIGROUP INC.

C

Meeting Date: 04/20/2004

Issuer: 172967

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|-----------------|----------|----------------------|---------------|-----------|-----------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | C. MICHAEL ARMSTRONG | Management | Withheld | Ag |
| | | ALAIN J.P. BELDA | Management | Withheld | Ag |
| | | GEORGE DAVID | Management | Withheld | Ag |
| | | KENNETH T. DERR | Management | Withheld | Ag |
| | | JOHN M. DEUTCH | Management | Withheld | Ag |
| | | R. HERNANDEZ RAMIREZ | Management | Withheld | Ag |
| | | ANN DIBBLE JORDAN | Management | Withheld | Ag |
| | | DUDLEY C. MECUM | Management | Withheld | Ag |
| | | RICHARD D. PARSONS | Management | Withheld | Ag |
| | | ANDRALL E. PEARSON | Management | Withheld | Ag |
| | | CHARLES PRINCE | Management | Withheld | Ag |
| | | ROBERT E. RUBIN | Management | Withheld | Ag |
| | | FRANKLIN A. THOMAS | Management | Withheld | Ag |
| | | SANFORD I. WEILL | Management | Withheld | Ag |
| | | ROBERT B. WILLUMSTAD | Management | Withheld | Ag |

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| | | | | |
|----|--|-------------|---------|----|
| 02 | PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITIGROUP S INDEPENDENT AUDITORS FOR 2004. | Management | For | F |
| 03 | STOCKHOLDER PROPOSAL REQUESTING A CURB ON EXECUTIVE COMPENSATION, NO FUTURE STOCK OPTION GRANTS AND NO RENEWALS OR EXTENSIONS OF OPTION PLANS. | Shareholder | Against | F |
| 04 | STOCKHOLDER PROPOSAL REQUESTING A REPORT ON POLITICAL CONTRIBUTIONS. | Shareholder | For | Ag |
| 05 | STOCKHOLDER PROPOSAL REQUESTING A DISCONTINUATION OF ALL RIGHTS, OPTIONS, SAR S AND POSSIBLE SEVERANCE PAYMENTS TO THE TOP 5 OF MANAGEMENT. | Shareholder | Against | F |
| 06 | STOCKHOLDER PROPOSAL REQUESTING THAT THE CHAIRMAN OF THE BOARD HAVE NO MANAGEMENT DUTIES, TITLES OR RESPONSIBILITIES. | Shareholder | For | Ag |

Tri-Continental Corporation

MORGAN STANLEY MWD Meeting Date: 04/20/2004

Issuer: 617446

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | F |
| | | Management | For | F |
| | | Management | For | F |
| | | Management | For | F |
| 02 | TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITORS | Management | For | F |
| 03 | SHAREHOLDER PROPOSAL TO DECLASSIFY THE BOARD OF DIRECTORS | Shareholder | For | Ag |
| 04 | SHAREHOLDER PROPOSAL TO REQUIRE SHAREHOLDER APPROVAL OF GOLDEN PARACHUTE AGREEMENTS | Shareholder | For | Ag |
| 05 | SHAREHOLDER PROPOSAL TO DISCLOSE POLITICAL CONTRIBUTION | Shareholder | For | Ag |

U.S. BANCORP USB Meeting Date: 04/20/2004

Issuer: 902973

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------|-----------|----------------|
|-----------------|----------|---------------|-----------|----------------|

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| | | | | | |
|----|---|------------------------|-------------|---------|----|
| 01 | DIRECTOR | V. BUYNISKI GLUCKMAN | Management | For | F |
| | | ARTHUR D. COLLINS, JR. | Management | For | F |
| | | JERRY W. LEVIN | Management | For | F |
| | | THOMAS E. PETRY | Management | For | F |
| | | RICHARD G. REITEN | Management | For | F |
| 02 | RATIFY SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS FOR THE 2004 FISCAL YEAR. | | Management | For | F |
| 03 | SHAREHOLDER PROPOSAL: COMPENSATION SYSTEM FOR SENIOR EXECUTIVES. | | Shareholder | Against | F |
| 04 | SHAREHOLDER PROPOSAL: SUPPLEMENTAL EXECUTIVE RETIREMENT BENEFITS. | | Shareholder | Against | F |
| 05 | SHAREHOLDER PROPOSAL: SIMPLE MAJORITY VOTING. | | Shareholder | For | Ag |

WACHOVIA CORPORATION WB Meeting Date: 04/20/2004

Issuer: 929903

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | |
|-----------------|---|----------------------|-------------|----------------|----|
| ----- | | | | | |
| 01 | DIRECTOR | JAMES S. BALLOUN | Management | For | F |
| | | JOHN T. CASTEEN, III | Management | For | F |
| | | JOSEPH NEUBAUER | Management | For | F |
| | | LANTY L. SMITH | Management | For | F |
| | | DONA DAVIS YOUNG | Management | For | F |
| 02 | A WACHOVIA PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS AUDITORS FOR THE YEAR 2004. | | Management | For | F |
| 03 | A STOCKHOLDER PROPOSAL, WHICH MANAGEMENT OPPOSES, REGARDING NOMINATING DIRECTORS. | | Shareholder | Against | F |
| 04 | A STOCKHOLDER PROPOSAL, WHICH MANAGEMENT OPPOSES, REGARDING REPORTING POLITICAL INFORMATION. | | Shareholder | For | Ag |
| 05 | A STOCKHOLDER PROPOSAL, WHICH MANAGEMENT OPPOSES, REGARDING SEPARATING THE OFFICES OF CHAIRMAN AND CHIEF EXECUTIVE OFFICER. | | Shareholder | For | Ag |

Tri-Continental Corporation

CARNIVAL CORPORATION CCL Meeting Date: 04/22/2004

Issuer: 143658

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------|-----------|----------------|
| ----- | | | | |

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| | | | | | |
|----|--|-------------------------|------------|----------|----|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | MICKY ARISON | Management | Withheld | Ag |
| | | AMB RICHARD G. CAPEN JR | Management | Withheld | Ag |
| | | ROBERT H. DICKINSON | Management | Withheld | Ag |
| | | ARNOLD W. DONALD | Management | Withheld | Ag |
| | | PIER LUIGI FOSCHI | Management | Withheld | Ag |
| | | HOWARD S. FRANK | Management | Withheld | Ag |
| | | BARONESS HOGG | Management | Withheld | Ag |
| | | A. KIRK LANTERMAN | Management | Withheld | Ag |
| | | MODESTO A. MAIDIQUE | Management | Withheld | Ag |
| | | JOHN P. MCNULTY | Management | Withheld | Ag |
| | | PETER RATCLIFFE | Management | Withheld | Ag |
| | | SIR JOHN PARKER | Management | Withheld | Ag |
| | | STUART SUBOTNICK | Management | Withheld | Ag |
| | | UZI ZUCKER | Management | Withheld | Ag |
| 02 | TO APPOINT PRICEWATERHOUSECOOPERS AS INDEPENDENT AUDITORS FOR CARNIVAL PLC AND TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS FOR CARNIVAL CORPORATION. | | Management | For | F |
| 03 | TO AUTHORIZE THE AUDIT COMMITTEE OF CARNIVAL PLC TO AGREE THE REMUNERATION OF THE INDEPENDENT AUDITORS. | | Management | For | F |
| 04 | TO RECEIVE THE ACCOUNTS AND REPORTS FOR CARNIVAL PLC FOR THE FINANCIAL PERIOD ENDED NOVEMBER 30, 2003. | | Management | For | F |
| 05 | TO APPROVE THE DIRECTORS REMUNERATION REPORT OF CARNIVAL PLC. | | Management | For | F |
| 06 | TO APPROVE LIMITS ON THE AUTHORITY TO ALLOT SHARES BY CARNIVAL PLC. | | Management | For | F |
| 07 | TO APPROVE THE DISAPPLICATION OF PRE-EMPTION RIGHTS FOR CARNIVAL PLC SHARES. | | Management | For | F |

JOHNSON & JOHNSON

JNJ

Meeting Date: 04/22/2004

Issuer: 478160

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg | |
|-----------------|----------|--------------------|------------|-----------|---|
| 01 | DIRECTOR | | For | F | |
| | | GERARD N. BURROW | Management | For | F |
| | | MARY S. COLEMAN | Management | For | F |
| | | JAMES G. CULLEN | Management | For | F |
| | | ROBERT J. DARRETTA | Management | For | F |
| | | M. JUDAH FOLKMAN | Management | For | F |
| | | ANN D. JORDAN | Management | For | F |
| | | ARNOLD G. LANGBO | Management | For | F |
| | | SUSAN L. LINDQUIST | Management | For | F |
| | | LEO F. MULLIN | Management | For | F |
| | | STEVEN S REINEMUND | Management | For | F |
| | | DAVID SATCHER | Management | For | F |

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| | | | | | |
|----|---|-------------------|-------------|---------|----|
| | | HENRY B. SCHACHT | Management | For | F |
| | | WILLIAM C. WELDON | Management | For | F |
| 02 | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS AS INDEPENDENT AUDITORS | | Management | For | F |
| 03 | PROPOSAL ON CHARITABLE CONTRIBUTIONS | | Shareholder | Abstain | Ag |

Tri-Continental Corporation

 NOBLE CORPORATION NE Meeting Date: 04/22/2004

Issuer: G65422

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or M |
|-----------------|---|-------------------|---------------|-----------|----------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | MICHAEL A. CAWLEY | Management | Withheld | Ag |
| | | LUKE R. CORBETT | Management | Withheld | Ag |
| | | JACK E. LITTLE | Management | Withheld | Ag |
| 02 | APPROVAL OF THE APPOINTMENT OF INDEPENDENT AUDITORS FOR 2004. | | Management | For | F |

 PFIZER INC. PFE Meeting Date: 04/22/2004

Issuer: 717081

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or M |
|-----------------|----------|------------------------|---------------|-----------|----------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | MICHAEL S. BROWN | Management | Withheld | Ag |
| | | M. ANTHONY BURNS | Management | Withheld | Ag |
| | | ROBERT N. BURT | Management | Withheld | Ag |
| | | W. DON CORNWELL | Management | Withheld | Ag |
| | | WILLIAM H. GRAY III | Management | Withheld | Ag |
| | | CONSTANCE J. HORNER | Management | Withheld | Ag |
| | | WILLIAM R. HOWELL | Management | Withheld | Ag |
| | | STANLEY O. IKENBERRY | Management | Withheld | Ag |
| | | GEORGE A. LORCH | Management | Withheld | Ag |
| | | HENRY A. MCKINNELL | Management | Withheld | Ag |
| | | DANA G. MEAD | Management | Withheld | Ag |
| | | FRANKLIN D. RAINES | Management | Withheld | Ag |
| | | RUTH J. SIMMONS | Management | Withheld | Ag |
| | | WILLIAM C. STEERE, JR. | Management | Withheld | Ag |

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| 02 | | JEAN-PAUL VALLES | Management | Withheld | Ag |
|----|--|------------------|-------------|----------|----|
| | A PROPOSAL TO APPROVE THE APPOINTMENT OF KPMG LLP AS INDEPENDENT AUDITORS FOR 2004. | | Management | For | F |
| 03 | A PROPOSAL TO APPROVE THE PFIZER INC. 2004 STOCK PLAN. | | Management | Against | Ag |
| 04 | SHAREHOLDER PROPOSAL REQUESTING REVIEW OF THE ECONOMIC EFFECTS OF THE HIV/AIDS, TB AND MALARIA PANDEMICS ON THE COMPANY S BUSINESS STRATEGY. | | Shareholder | Abstain | Ag |
| 05 | SHAREHOLDER PROPOSAL RELATING TO POLITICAL CONTRIBUTIONS. | | Shareholder | Abstain | Ag |
| 06 | SHAREHOLDER PROPOSAL RELATING TO AN ANNUAL REPORT ON CORPORATE RESOURCES DEVOTED TO SUPPORTING POLITICAL ENTITIES OR CANDIDATES. | | Shareholder | For | Ag |
| 07 | SHAREHOLDER PROPOSAL SEEKING TO IMPOSE TERM LIMITS ON DIRECTORS. | | Shareholder | Against | F |
| 08 | SHAREHOLDER PROPOSAL REQUESTING A REPORT ON INCREASING ACCESS TO PFIZER PRODUCTS. | | Shareholder | Abstain | Ag |
| 09 | SHAREHOLDER PROPOSAL ON STOCK OPTIONS. | | Shareholder | Against | F |
| 10 | SHAREHOLDER PROPOSAL ON IN VITRO TESTING. | | Shareholder | Abstain | Ag |

Tri-Continental Corporation

 WENDY'S INTERNATIONAL, INC. WEN Meeting Date: 04/22/2004

Issuer: 950590

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | JANET HILL | Management | Withheld | Ag |
| | PAUL D. HOUSE | Management | Withheld | Ag |
| | JOHN R. THOMPSON | Management | Withheld | Ag |
| | J. RANDOLPH LEWIS | Management | Withheld | Ag |
| 02 | RATIFICATION OF THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT PUBLIC ACCOUNTANTS OF THE COMPANY FOR THE CURRENT YEAR. | Management | For | F |
| 03 | APPROVAL OF THE COMPANY S 2003 STOCK INCENTIVE PLAN. | Management | Against | Ag |

 WYETH WYE Meeting Date: 04/22/2004

Issuer: 983024

SEDOL:

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| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|--------------------|---|---------------------|------------------|--------------|--------------|
| 01 | DIRECTOR | C.L. ALEXANDER, JR. | Management | For | F |
| | | F.A. BENNACK, JR. | Management | For | F |
| | | R.L. CARRION | Management | For | F |
| | | R. ESSNER | Management | For | F |
| | | J.D. FEERICK | Management | For | F |
| | | R. LANGER | Management | For | F |
| | | J.P. MASCOTTE | Management | For | F |
| | | M.L. POLAN | Management | For | F |
| | | I.G. SEIDENBERG | Management | For | F |
| | | W.V. SHIPLEY | Management | For | F |
| | | J.R. TORELL III | Management | For | F |
| 02 | RATIFICATION OF PRINCIPAL INDEPENDENT PUBLIC ACCOUNTANTS | | Management | For | F |
| 03 | ADOPTION OF THE STOCKHOLDER PROPOSAL ON ACCESS TO AND AFFORDABILITY OF PRESCRIPTION DRUGS | | Shareholder | Abstain | Ag |
| 04 | ADOPTION OF STOCKHOLDER PROPOSAL ON ANIMAL TESTING | | Shareholder | Abstain | Ag |

Tri-Continental Corporation

DOMINION RESOURCES, INC. D Meeting Date: 04/23/2004

Issuer: 25746U

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|--------------------|-----------------------|-------------------------|------------------|--------------|--------------|
| 01 | DIRECTOR | SUSAN B. ALLEN | Management | Withheld | Ag |
| | | PETER W. BROWN | Management | Withheld | Ag |
| | | RONALD J. CALISE | Management | Withheld | Ag |
| | | THOS. E. CAPPS | Management | Withheld | Ag |
| | | GEORGE A. DAVIDSON, JR. | Management | Withheld | Ag |
| | | JOHN W. HARRIS | Management | Withheld | Ag |
| | | ROBERT S. JEPSON, JR. | Management | Withheld | Ag |
| | | BENJAMIN J. LAMBERT III | Management | Withheld | Ag |
| | | RICHARD L. LEATHERWOOD | Management | Withheld | Ag |
| | | MARGARET A. MCKENNA | Management | Withheld | Ag |
| | | KENNETH A. RANDALL | Management | Withheld | Ag |
| | | FRANK S. ROYAL | Management | Withheld | Ag |
| | | S. DALLAS SIMMONS | Management | Withheld | Ag |
| | | ROBERT H. SPILMAN | Management | Withheld | Ag |
| | | DAVID A. WOLLARD | Management | Withheld | Ag |
| 02 | RETENTION OF AUDITORS | | Management | For | F |
| 03 | SHAREHOLDER PROPOSAL | | Shareholder | Against | F |

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MERRILL LYNCH & CO., INC. MER Meeting Date: 04/23/2004

Issuer: 590188

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | Mg |
|-----------------|--|---------------------|------------|----------------|----|
| 01 | DIRECTOR | Management | For | | F |
| | | DAVID K. NEWBIGGING | Management | For | F |
| | | JOSEPH W. PRUEHER | Management | For | F |
| 02 | RATIFY APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITOR | Management | For | | F |
| 03 | INSTITUTE CUMULATIVE VOTING | Shareholder | Against | | F |
| 04 | INDEPENDENT CHAIRMAN SEPARATE FROM CHIEF EXECUTIVE OFFICER | Shareholder | For | | Ag |

ROWAN COMPANIES, INC. RDC Meeting Date: 04/23/2004

Issuer: 779382

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | Mg |
|-----------------|----------|--------------------|------------|----------------|----|
| 01 | DIRECTOR | Management | Withheld | | Ag |
| | | WILLIAM T. FOX III | Management | Withheld | Ag |
| | | SIR GRAHAM HEARNE | Management | Withheld | Ag |
| | | H.E. LENTZ | Management | Withheld | Ag |
| | | P. DEXTER PEACOCK | Management | Withheld | Ag |

AMERICAN EXPRESS COMPANY AXP Meeting Date: 04/26/2004

Issuer: 025816

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | Mg |
|-----------------|----------|---------------|------------|----------------|----|
| 01 | DIRECTOR | Management | For | | F |
| | | D.F. AKERSON | Management | For | F |
| | | C. BARSHEFSKY | Management | For | F |

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| | | | | | |
|----|--|------------------|-------------|---------|----|
| | | W.G. BOWEN | Management | For | F |
| | | U.M. BURNS | Management | For | F |
| | | K.I. CHENAULT | Management | For | F |
| | | P.R. DOLAN | Management | For | F |
| | | V.E. JORDAN, JR. | Management | For | F |
| | | J. LESCHLY | Management | For | F |
| | | R.A. MCGINN | Management | For | F |
| | | E.D. MILLER | Management | For | F |
| | | F.P. POPOFF | Management | For | F |
| | | R.D. WALTER | Management | For | F |
| 02 | PROPOSAL TO RATIFY SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS. | | Management | For | F |
| 03 | SHAREHOLDER PROPOSAL TO ESTABLISH SIX-YEAR TERM LIMITS FOR DIRECTORS. | | Shareholder | Against | F |
| 04 | SHAREHOLDER PROPOSAL REQUESTING A SEPARATE ANNUAL REPORT DESCRIBING THE COMPANY S POLITICAL CONTRIBUTIONS. | | Shareholder | For | Ag |

Tri-Continental Corporation

INTERNATIONAL BUSINESS MACHINES CORP IBM Meeting Date: 04/27/2004

Issuer: 459200

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | |
|-----------------|--|----------------|------------|----------------|----|
| 01 | DIRECTOR | Management | Withheld | Ag | |
| | | C. BLACK | Management | Withheld | Ag |
| | | K.I. CHENAULT | Management | Withheld | Ag |
| | | C. GHOSN | Management | Withheld | Ag |
| | | N.O. KEOHANE | Management | Withheld | Ag |
| | | C.F. KNIGHT | Management | Withheld | Ag |
| | | L.A. NOTO | Management | Withheld | Ag |
| | | S.J. PALMISANO | Management | Withheld | Ag |
| | | J.B. SLAUGHTER | Management | Withheld | Ag |
| | | J.E. SPERO | Management | Withheld | Ag |
| | | S. TAUREL | Management | Withheld | Ag |
| | | C.M. VEST | Management | Withheld | Ag |
| | | L.H. ZAMBRANO | Management | Withheld | Ag |
| 02 | RATIFICATION OF APPOINTMENT OF INDEPENDENT ACCOUNTANTS (PRICEWATERHOUSECOOPERS LLP) (PAGE 22) | Management | For | F | |
| 03 | RATIFICATION OF APPOINTMENT OF INDEPENDENT ACCOUNTANTS FOR THE BUSINESS CONSULTING SERVICES UNIT (ERNST & YOUNG LLP) (PAGE 22) | Management | For | F | |
| 04 | APPROVAL OF LONG-TERM INCENTIVE PERFORMANCE TERMS FOR CERTAIN EXECUTIVES (PAGE 23) | Management | Against | Ag | |
| 05 | STOCKHOLDER PROPOSAL ON: CUMULATIVE VOTING (PAGE 25) | Shareholder | Against | F | |
| 06 | STOCKHOLDER PROPOSAL ON: PENSION AND RETIREMENT MEDICAL (PAGE 25) | Shareholder | Against | F | |

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| | | | | |
|----|--|-------------|---------|----|
| 07 | STOCKHOLDER PROPOSAL ON: EXECUTIVE COMPENSATION (PAGE 26) | Shareholder | Against | F |
| 08 | STOCKHOLDER PROPOSAL ON: EXPENSING STOCK OPTIONS (PAGE 28) | Shareholder | For | Ag |
| 09 | STOCKHOLDER PROPOSAL ON: DISCLOSURE OF EXECUTIVE COMPENSATION (PAGE 29) | Shareholder | Against | F |
| 10 | STOCKHOLDER PROPOSAL ON: CHINA BUSINESS PRINCIPLES (PAGE 30) | Shareholder | Abstain | Ag |
| 11 | STOCKHOLDER PROPOSAL ON: POLITICAL CONTRIBUTIONS (PAGE 31) | Shareholder | For | Ag |
| 12 | STOCKHOLDER PROPOSAL ON: A REVIEW OF EXECUTIVE COMPENSATION POLICIES (PAGE 32) | Shareholder | Against | F |

Tri-Continental Corporation

L-3 COMMUNICATIONS HOLDINGS, INC. LLL Meeting Date: 04/27/2004

Issuer: 502424

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | | Management | Withheld | Ag |
| | | Management | Withheld | Ag |
| | | Management | Withheld | Ag |
| 02 | APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS. | Management | For | F |
| 03 | APPROVAL OF AMENDMENT TO THE 1999 LONG TERM PERFORMANCE PLAN. | Management | Against | Ag |
| 04 | RATIFICATION OF THE TERMS OF PERFORMANCE-BASED COMPENSATION UNDER THE 1999 LONG TERM PERFORMANCE PLAN. | Management | Against | Ag |

NOBLE ENERGY, INC. NBL Meeting Date: 04/27/2004

Issuer: 655044

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|----------|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | | Management | Withheld | Ag |
| | | Management | Withheld | Ag |

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| Proposal Number | Proposal | Management | Vote | For or Against |
|-----------------|---|---------------------|------------|----------------|
| | | CHARLES D. DAVIDSON | Management | Withheld |
| | | KIRBY L. HEDRICK | Management | Withheld |
| | | DALE P. JONES | Management | Withheld |
| | | BRUCE A. SMITH | Management | Withheld |
| 02 | PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY S INDEPENDENT AUDITOR. | | Management | For |
| 03 | PROPOSAL TO APPROVE THE AMENDMENT TO THE 1988 NONQUALIFIED STOCK OPTION PLAN FOR NON-EMPLOYEE DIRECTORS TO INCREASE THE NUMBER OF SHARES OF COMMON STOCK OF THE COMPANY THAT MAY BE AWARDED BY STOCK OPTION GRANTS FROM 550,000 TO 750,000. | | Management | Against |
| 04 | PROPOSAL TO APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS APPLICABLE TO FUTURE GRANTS OF RESTRICTED STOCK AND PERFORMANCE UNITS UNDER THE 2004 LONG-TERM INCENTIVE PLAN FOR PURPOSES OF SECTION 162(M) OF THE INTERNAL REVENUE CODE. | | Management | Against |

 PRAXAIR, INC. PX Meeting Date: 04/27/2004

Issuer: 74005P

SEDOL:

| Proposal Number | Proposal | Management | Vote | For or Against |
|-----------------|--|-------------------------|------------|----------------|
| 01 | DIRECTOR | ALEJANDRO ACHAVAL | Management | For |
| | | RONALD L. KUEHN, JR. | Management | For |
| | | H. MITCHELL WATSON, JR. | Management | For |
| 02 | PROPOSAL TO AMEND THE LONG TERM INCENTIVE PLAN. | | Management | For |
| 03 | PROPOSAL TO AMEND THE CERTIFICATE OF INCORPORATION. | | Management | For |
| 04 | PROPOSAL TO APPROVE A STOCKHOLDER PROTECTION RIGHTS AGREEMENT. | | Management | Against |

Tri-Continental Corporation

 WELLS FARGO & COMPANY WFC Meeting Date: 04/27/2004

Issuer: 949746

SEDOL:

| Proposal Number | Proposal | Management | Vote | For or Against |
|-----------------|----------|------------|------------|----------------|
| 01 | DIRECTOR | | Management | Withheld |

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| | | | | |
|----|--|-------------|----------|----|
| | J.A. BLANCHARD III | Management | Withheld | Ag |
| | SUSAN E. ENGEL | Management | Withheld | Ag |
| | ENRIQUE HERNANDEZ, JR. | Management | Withheld | Ag |
| | ROBERT L. JOSS | Management | Withheld | Ag |
| | REATHA CLARK KING | Management | Withheld | Ag |
| | RICHARD M. KOVACEVICH | Management | Withheld | Ag |
| | RICHARD D. MCCORMICK | Management | Withheld | Ag |
| | CYNTHIA H. MILLIGAN | Management | Withheld | Ag |
| | PHILIP J. QUIGLEY | Management | Withheld | Ag |
| | DONALD B. RICE | Management | Withheld | Ag |
| | JUDITH M. RUNSTAD | Management | Withheld | Ag |
| | STEPHEN W. SANGER | Management | Withheld | Ag |
| | SUSAN G. SWENSON | Management | Withheld | Ag |
| | MICHAEL W. WRIGHT | Management | Withheld | Ag |
| 02 | PROPOSAL TO APPROVE THE COMPANY S SUPPLEMENTAL 401(K) PLAN. | Management | For | F |
| 03 | PROPOSAL TO RATIFY APPOINTMENT OF KPMG LLP AS INDEPENDENT AUDITORS FOR 2004. | Management | For | F |
| 04 | STOCKHOLDER PROPOSAL REGARDING EXPENSING STOCK OPTIONS. | Shareholder | For | Ag |
| 05 | STOCKHOLDER PROPOSAL REGARDING RESTRICTED STOCK. | Shareholder | For | Ag |
| 06 | STOCKHOLDER PROPOSAL REGARDING EXECUTIVE COMPENSATION AND PREDATORY LENDING. | Shareholder | Against | F |
| 07 | STOCKHOLDER PROPOSAL REGARDING POLITICAL CONTRIBUTIONS. | Shareholder | For | Ag |

CHEVRONTEXACO CORPORATION

CVX

Meeting Date: 04/28/2004

Issuer: 166764

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | S.H. ARMACOST | Management | Withheld | Ag |
| | R.E. DENHAM | Management | Withheld | Ag |
| | R.J. EATON | Management | Withheld | Ag |
| | S. GINN | Management | Withheld | Ag |
| | C.A. HILLS | Management | Withheld | Ag |
| | F.G. JENIFER | Management | Withheld | Ag |
| | J.B. JOHNSTON | Management | Withheld | Ag |
| | S. NUNN | Management | Withheld | Ag |
| | D.J. O'REILLY | Management | Withheld | Ag |
| | P.J. ROBERTSON | Management | Withheld | Ag |
| | C.R. SHOEMATE | Management | Withheld | Ag |
| | C. WARE | Management | Withheld | Ag |
| 02 | RATIFICATION OF INDEPENDENT ACCOUNTANTS | Management | For | F |
| 03 | SHOW SUPPORT FOR THE STOCKHOLDER RIGHTS PLAN POLICY | Management | For | F |
| 04 | APPROVAL OF THE LONG-TERM INCENTIVE PLAN | Management | Against | Ag |
| 05 | HIV/AIDS, TUBERCULOSIS, AND MALARIA | Shareholder | Abstain | Ag |
| 06 | POLITICAL DISCLOSURE | Shareholder | For | Ag |
| 07 | DIRECTOR ELECTION VOTE THRESHOLD | Shareholder | Against | F |
| 08 | REPORT ON ECUADOR | Shareholder | Abstain | Ag |

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09 RENEWABLE ENERGY Shareholder Abstain Aga

Tri-Continental Corporation

 CLEAR CHANNEL COMMUNICATIONS, INC. CCU Meeting Date: 04/28/2004

Issuer: 184502

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | ALAN D. FELD | Management | Withheld | Against |
| | THOMAS O. HICKS | Management | Withheld | Against |
| | PERRY J. LEWIS | Management | Withheld | Against |
| | L. LOWRY MAYS | Management | Withheld | Against |
| | MARK P. MAYS | Management | Withheld | Against |
| | RANDALL T. MAYS | Management | Withheld | Against |
| | B.J. MCCOMBS | Management | Withheld | Against |
| | PHYLLIS B. RIGGINS | Management | Withheld | Against |
| | THEODORE H. STRAUSS | Management | Withheld | Against |
| | J.C. WATTS | Management | Withheld | Against |
| | JOHN H. WILLIAMS | Management | Withheld | Against |
| 02 | RATIFICATION OF THE SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS FOR THE YEAR ENDING DECEMBER 31, 2004. | Management | For | For |

 GENERAL ELECTRIC COMPANY GE Meeting Date: 04/28/2004

Issuer: 369604

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---------------------|---------------|-----------|----------------|
| 0A | DIRECTOR | Management | Withheld | Against |
| | JAMES I. CASH, JR. | Management | Withheld | Against |
| | DENNIS D. DAMMERMAN | Management | Withheld | Against |
| | ANN M. FUDGE | Management | Withheld | Against |
| | CLAUDIO X. GONZALEZ | Management | Withheld | Against |
| | JEFFREY R. IMMELT | Management | Withheld | Against |
| | ANDREA JUNG | Management | Withheld | Against |
| | ALAN G. LAFLEY | Management | Withheld | Against |
| | KENNETH G. LANGONE | Management | Withheld | Against |
| | RALPH S. LARSEN | Management | Withheld | Against |

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| | | | | | |
|----|--|-----------------------|-------------|----------|----|
| | | ROCHELLE B. LAZARUS | Management | Withheld | Ag |
| | | SAM NUNN | Management | Withheld | Ag |
| | | ROGER S. PENSKE | Management | Withheld | Ag |
| | | ROBERT J. SWIERINGA | Management | Withheld | Ag |
| | | DOUGLAS A. WARNER III | Management | Withheld | Ag |
| | | ROBERT C. WRIGHT | Management | Withheld | Ag |
| 0B | INDEPENDENT AUDITOR | | Management | For | F |
| 0C | REVENUE MEASUREMENT ADDED TO EXECUTIVE OFFICER PERFORMANCE GOALS | | Management | For | F |
| 01 | CUMULATIVE VOTING | | Shareholder | Against | F |
| 02 | ANIMAL TESTING | | Shareholder | Abstain | Ag |
| 03 | NUCLEAR RISK | | Shareholder | Abstain | Ag |
| 04 | REPORT ON PCB CLEANUP COSTS | | Shareholder | Abstain | Ag |
| 05 | OFFSHORE SOURCING | | Shareholder | Abstain | Ag |
| 06 | SUSTAINABILITY INDEX | | Shareholder | Abstain | Ag |
| 07 | COMPENSATION COMMITTEE INDEPENDENCE | | Shareholder | Against | F |
| 08 | PAY DISPARITY | | Shareholder | Abstain | Ag |
| 09 | END STOCK OPTIONS AND BONUSES | | Shareholder | Against | F |
| 10 | LIMIT OUTSIDE DIRECTORSHIPS | | Shareholder | Against | F |
| 11 | INDEPENDENT BOARD CHAIRMAN | | Shareholder | For | Ag |
| 12 | EXPLORE SALE OF COMPANY | | Shareholder | Against | F |
| 13 | HOLDING STOCK FROM STOCK OPTIONS | | Shareholder | Against | F |
| 14 | BOARD INDEPENDENCE | | Shareholder | For | Ag |
| 15 | POLITICAL CONTRIBUTIONS | | Shareholder | For | Ag |

Tri-Continental Corporation

ALTRIA GROUP, INC.

MO

Meeting Date: 04/29/2004

Issuer: 02209S

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|----------------------|---------------|-----------|-----------|
| 01 | DIRECTOR | | Management | For | F |
| | | ELIZABETH E. BAILEY | Management | For | F |
| | | MATHIS CABIALAVETTA | Management | For | F |
| | | LOUIS C. CAMILLERI | Management | For | F |
| | | J. DUDLEY FISHBURN | Management | For | F |
| | | ROBERT E. R. HUNTLEY | Management | For | F |
| | | THOMAS W. JONES | Management | For | F |
| | | LUCIO A. NOTO | Management | For | F |
| | | JOHN S. REED | Management | For | F |
| | | CARLOS SLIM HELU | Management | For | F |
| | | STEPHEN M. WOLF | Management | For | F |
| 02 | RATIFICATION OF THE SELECTION OF INDEPENDENT AUDITORS | | Management | For | F |
| 03 | STOCKHOLDER PROPOSAL NO. 1 | | Shareholder | Abstain | Ag |
| 04 | STOCKHOLDER PROPOSAL NO. 2 | | Shareholder | Abstain | Ag |
| 05 | STOCKHOLDER PROPOSAL NO. 3 | | Shareholder | For | Ag |
| 06 | STOCKHOLDER PROPOSAL NO. 4 | | Shareholder | Abstain | Ag |
| 07 | STOCKHOLDER PROPOSAL NO. 5 | | Shareholder | Abstain | Ag |

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08

STOCKHOLDER PROPOSAL NO. 6

Shareholder

For

Ag

CAPITAL ONE FINANCIAL CORPORATION

COF

Meeting Date: 04/29/2004

Issuer: 14040H

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | W. RONALD DIETZ | Management | Withheld | Ag |
| | LEWIS HAY, III | Management | Withheld | Ag |
| | MAYO A. SHATTUCK, III | Management | Withheld | Ag |
| 02 | RATIFICATION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS OF THE CORPORATION FOR 2004. | Management | For | F |
| 03 | APPROVAL OF CAPITAL ONE S 2004 STOCK INCENTIVE PLAN. | Management | Against | Ag |

AETNA INC.

AET

Meeting Date: 04/30/2004

Issuer: 00817Y

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | F |
| | BETSY Z. COHEN | Management | For | F |
| | BARBARA H. FRANKLIN | Management | For | F |
| | JEFFREY E. GARTEN | Management | For | F |
| | EARL G. GRAVES | Management | For | F |
| | GERALD GREENWALD | Management | For | F |
| | ELLEN M. HANCOCK | Management | For | F |
| | MICHAEL H. JORDAN | Management | For | F |
| | JACK D. KUEHLER | Management | For | F |
| | EDWARD J. LUDWIG | Management | For | F |
| | JOSEPH P. NEWHOUSE | Management | For | F |
| | JUDITH RODIN | Management | For | F |
| | JOHN W. ROWE, M.D. | Management | For | F |
| | RONALD A. WILLIAMS | Management | For | F |
| | R. DAVID YOST | Management | For | F |
| 02 | APPROVAL OF KPMG LLP AS INDEPENDENT AUDITORS | Management | For | F |
| 03 | SHAREHOLDER PROPOSAL ON CUMULATIVE VOTING | Shareholder | Against | F |
| 04 | SHAREHOLDER PROPOSAL ON EXECUTIVE COMPENSATION | Shareholder | Against | F |

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Tri-Continental Corporation

 APARTMENT INVESTMENT AND MANAGEMENT AIV Meeting Date: 04/30/2004

Issuer: 03748R

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | TERRY CONSIDINE | Management | Withheld | Against |
| | PETER K. KOMPANIEZ | Management | Withheld | Against |
| | JAMES N. BAILEY | Management | Withheld | Against |
| | RICHARD S. ELLWOOD | Management | Withheld | Against |
| | J. LANDIS MARTIN | Management | Withheld | Against |
| | THOMAS L. RHODES | Management | Withheld | Against |
| 02 | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP TO SERVE AS INDEPENDENT AUDITORS FOR THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2004. | Management | For | For |
| 03 | TO APPROVE THE SALE OF HIGH PERFORMANCE UNITS. | Management | For | For |
| 04 | TO URGE THE COMPENSATION AND HUMAN RESOURCES COMMITTEE OF THE BOARD TO ESTABLISH A POLICY TO SEEK STOCKHOLDER APPROVAL OF CERTAIN EXECUTIVE SEVERANCE ARRANGEMENTS. | Shareholder | For | Against |

 OCCIDENTAL PETROLEUM CORPORATION OXY Meeting Date: 04/30/2004

Issuer: 674599

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | RONALD W. BURKLE | Management | For | For |
| | JOHN S. CHALSLY | Management | For | For |
| | EDWARD P. DJEREJIAN | Management | For | For |
| | R. CHAD DREIER | Management | For | For |
| | JOHN E. FEICK | Management | For | For |
| | RAY R. IRANI | Management | For | For |
| | DALE R. LAURANCE | Management | For | For |
| | IRVIN W. MALONEY | Management | For | For |
| | RODOLFO SEGOVIA | Management | For | For |
| | AZIZ D. SYRIANI | Management | For | For |
| | ROSEMARY TOMICH | Management | For | For |
| | WALTER L. WEISMAN | Management | For | For |
| 02 | THE RATIFICATION OF THE SELECTION OF KPMG AS | Management | For | For |

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| | | | | |
|----|--|-------------|---------|---|
| 03 | INDEPENDENT AUDITORS. APPROVAL OF AMENDMENT TO THE RESTRICTED STOCK PLAN FOR NON-EMPLOYEE DIRECTORS. | Management | For | F |
| 04 | DISCONTINUANCE OF OPTIONS, RIGHTS AND SEVERANCE PAYMENTS. | Shareholder | Against | F |

Tri-Continental Corporation

THE SERVICEMASTER COMPANY SVM Meeting Date: 04/30/2004

Issuer: 81760N

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|--------------------|---|------------------|--------------|--------------|
| ----- | | | | |
| 01 | DIRECTOR | Management | Withheld | Ag |
| | LORD GRIFFITHS | Management | Withheld | Ag |
| | SIDNEY E. HARRIS | Management | Withheld | Ag |
| | JAMES D. MCLENNAN | Management | Withheld | Ag |
| 02 | APPROVE THE SERVICEMASTER 2004 EMPLOYEE STOCK PURCHASE PLAN. | Management | Against | Ag |
| 03 | RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITORS. | Management | For | F |
| 04 | SHAREHOLDER PROPOSAL REGARDING THE RIGHTS AGREEMENT. | Shareholder | For | Ag |

MBNA CORPORATION KRB Meeting Date: 05/03/2004

Issuer: 55262L

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|--------------------|--|------------------|--------------|--------------|
| ----- | | | | |
| 01 | DIRECTOR | Management | Withheld | Ag |
| | JAMES H. BERICK | Management | Withheld | Ag |
| | BENJAMIN R. CIVILETTI | Management | Withheld | Ag |
| | BRUCE L. HAMMONDS | Management | Withheld | Ag |
| | WILLIAM L. JEWS | Management | Withheld | Ag |
| | RANDOLPH D. LERNER | Management | Withheld | Ag |
| | STUART L. MARKOWITZ | Management | Withheld | Ag |
| | WILLIAM B. MILSTEAD | Management | Withheld | Ag |
| 02 | RATIFICATION OF APPOINTMENT OF INDEPENDENT AUDITORS | Management | For | F |
| 03 | STOCKHOLDER PROPOSAL (ITEM 3 IN PROXY STATEMENT) | Shareholder | For | Ag |

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04

STOCKHOLDER PROPOSAL (ITEM 4 IN PROXY STATEMENT)

Shareholder

For

Ag

CONOCOPHILLIPS

COP

Meeting Date: 05/05/2004

Issuer: 20825C

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | F |
| | DAVID L. BOREN | Management | For | F |
| | JAMES E. COPELAND, JR. | Management | For | F |
| | KENNETH M. DUBERSTEIN | Management | For | F |
| | RUTH R. HARKIN | Management | For | F |
| | WILLIAM R. RHODES | Management | For | F |
| | J. STAPLETON ROY | Management | For | F |
| 02 | RATIFICATION OF APPOINTMENT OF INDEPENDENT AUDITORS | Management | For | F |
| 03 | AUTHORIZE THE 2004 OMNIBUS STOCK AND PERFORMANCE INCENTIVE PLAN | Management | Against | Ag |
| 04 | OFFICER & DIRECTOR COMPENSATION | Shareholder | Against | F |
| 05 | COMMONSENSE EXECUTIVE COMPENSATION | Shareholder | Against | F |
| 06 | ANWR DRILLING | Shareholder | Abstain | Ag |

Tri-Continental Corporation

GENERAL DYNAMICS CORPORATION

GD

Meeting Date: 05/05/2004

Issuer: 369550

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---------------|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | F |
| | N.D. CHABRAJA | Management | For | F |
| | J.S. CROWN | Management | For | F |
| | L. CROWN | Management | For | F |
| | W.P. FRICKS | Management | For | F |
| | C.H. GOODMAN | Management | For | F |
| | J.L. JOHNSON | Management | For | F |
| | G.A. JOULWAN | Management | For | F |
| | P.G. KAMINSKI | Management | For | F |
| | J.M. KEANE | Management | For | F |
| | L.L. LYLES | Management | For | F |

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| | | | | | |
|----|---|-----------------|-------------|---------|----|
| | | C.E. MUNDY, JR. | Management | For | F |
| | | R. WALMSLEY | Management | For | F |
| 02 | APPROVAL OF THE EQUITY COMPENSATION PLAN. | | Management | For | F |
| 03 | SHAREHOLDER PROPOSAL WITH REGARD TO POISON PILLS. | | Shareholder | For | Ag |
| 04 | SHAREHOLDER PROPOSAL WITH REGARD TO FOREIGN MILITARY SALES. | | Shareholder | Abstain | Ag |

 PEPSICO, INC. PEP Meeting Date: 05/05/2004

Issuer: 713448

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|--|------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | | Management | For | F |
| | | J.F. AKERS | Management | For | F |
| | | R.E. ALLEN | Management | For | F |
| | | R.L. HUNT | Management | For | F |
| | | A.C. MARTINEZ | Management | For | F |
| | | I.K. NOOYI | Management | For | F |
| | | F.D. RAINES | Management | For | F |
| | | S.S. REINEMUND | Management | For | F |
| | | S.P. ROCKEFELLER | Management | For | F |
| | | J.J. SCHIRO | Management | For | F |
| | | F.A. THOMAS | Management | For | F |
| | | C.M. TRUDELL | Management | For | F |
| | | S.D. TRUJILLO | Management | For | F |
| | | D. VASELLA | Management | For | F |
| 02 | APPROVAL OF AUDITORS | | Management | For | F |
| 03 | APPROVAL OF THE 2004 EXECUTIVE INCENTIVE COMPENSATION PLAN | | Management | For | F |
| 04 | SHAREHOLDER PROPOSAL (PROXY STATEMENT P.22) | | Shareholder | Against | F |
| 05 | SHAREHOLDER PROPOSAL (PROXY STATEMENT P.23) | | Shareholder | Abstain | Ag |

Tri-Continental Corporation

 AMERICAN TOWER CORPORATION AMT Meeting Date: 05/06/2004

Issuer: 029912

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | | Management | For | F |

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| | | | | | |
|----|--|-----------------------|------------|-----|---|
| | | RAYMOND P. DOLAN | Management | For | F |
| | | CAROLYN F. KATZ | Management | For | F |
| | | FRED R. LUMMIS | Management | For | F |
| | | PAMELA D.A. REEVE | Management | For | F |
| | | JAMES D. TAICLET, JR. | Management | For | F |
| 02 | RATIFICATION OF THE SELECTION OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITORS FOR 2004. | | Management | For | F |

 FREEPORT-MCMORAN COPPER & GOLD INC. FCX Meeting Date: 05/06/2004

Issuer: 35671D

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|--|--------------|---------------|-----------|----------------|
| 01 | DIRECTOR | | Management | Withheld | Against |
| | | MR. ALLISON | Management | Withheld | Against |
| | | MR. CLIFFORD | Management | Withheld | Against |
| | | MR. MOFFETT | Management | Withheld | Against |
| | | MR. RANKIN | Management | Withheld | Against |
| | | MR. WHARTON | Management | Withheld | Against |
| 02 | RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS. | | Management | For | For |
| 03 | APPROVAL OF THE PROPOSED 2004 DIRECTOR COMPENSATION PLAN. | | Management | Against | Against |
| 04 | STOCKHOLDER PROPOSAL REGARDING FINANCIAL SUPPORT OF INDONESIAN GOVERNMENT SECURITY PERSONNEL | | Shareholder | Abstain | Against |

 ILLINOIS TOOL WORKS INC. ITW Meeting Date: 05/07/2004

Issuer: 452308

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|----------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | | Management | For | For |
| | | WILLIAM F. ALDINGER | Management | For | For |
| | | MICHAEL J. BIRCK | Management | For | For |
| | | MARVIN D. BRAILSFORD | Management | For | For |
| | | JAMES R. CANTALUPO | Management | For | For |
| | | SUSAN CROWN | Management | For | For |
| | | DON H. DAVIS, JR. | Management | For | For |
| | | W. JAMES FARRELL | Management | For | For |
| | | ROBERT C. MCCORMACK | Management | For | For |
| | | ROBERT S. MORRISON | Management | For | For |
| | | HAROLD B. SMITH | Management | For | For |

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02 RATIFICATION OF THE APPOINTMENT OF DELOITTE & Management For
TOUCHE LLP.

Tri-Continental Corporation

MASCO CORPORATION MAS Meeting Date: 05/11/2004

Issuer: 574599

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or |
|-----------------|--|---------------|-----------|--------|
| 01 | DIRECTOR | Management | For | F |
| | PETER A. DOW* | Management | For | F |
| | ANTHONY F. EARLEY, JR.* | Management | For | F |
| | WAYNE B. LYON* | Management | For | F |
| | DAVID L. JOHNSTON** | Management | For | F |
| | J. MICHAEL LOSH** | Management | For | F |
| 02 | PROPOSAL TO APPROVE THE MASCO CORPORATION 2004 RESTRICTED STOCK AWARD PROGRAM. | Management | For | F |
| 03 | RATIFICATION OF THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS FOR THE COMPANY FOR THE YEAR 2004. | Management | For | F |

RADIAN GROUP INC. RDN Meeting Date: 05/11/2004

Issuer: 750236

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or |
|-----------------|--|---------------|-----------|--------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | JAMES W. JENNINGS | Management | Withheld | Ag |
| | ROY J. KASMAR | Management | Withheld | Ag |
| | HERBERT WENDER | Management | Withheld | Ag |
| | JAN NICHOLSON | Management | Withheld | Ag |
| 02 | TO AMEND AND RESTATE RADIAN S EQUITY COMPENSATION PLAN. | Management | For | F |
| 03 | TO ADOPT RADIAN S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION. | Management | For | F |
| 04 | TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS RADIAN S INDEPENDENT AUDITORS FOR THE YEAR ENDING DECEMBER 31, 2004. | Management | For | F |

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 TAIWAN SEMICONDUCTOR MFG. CO. LTD. TSM Meeting Date: 05/11/2004

Issuer: 874039

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 05 | TO ACCEPT 2003 BUSINESS REPORT AND FINANCIAL STATEMENTS. | Management | For | For |
| 06 | TO APPROVE THE PROPOSAL FOR DISTRIBUTION OF 2003 PROFITS. | Management | For | For |
| 07 | TO APPROVE THE CAPITALIZATION OF 2003 DIVIDENDS AND EMPLOYEE PROFIT SHARING. | Management | Against | Against |

Tri-Continental Corporation

 LABORATORY CORP. OF AMERICA HOLDINGS LH Meeting Date: 05/12/2004

Issuer: 50540R

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | THOMAS P. MAC MAHON | Management | For | For |
| | JEAN-LUC BELINGARD | Management | For | For |
| | WENDY E. LANE | Management | For | For |
| | R.E. MITTELSTAEDT, JR. | Management | For | For |
| | ANDREW G. WALLACE, M.D. | Management | For | For |
| | M. KEITH WEIKEL | Management | For | For |
| | CRAIG M. WATSON | Management | For | For |
| 02 | APPROVAL OF THE AMENDMENTS TO THE 1997 EMPLOYEE STOCK PURCHASE PLAN (THE ESPP AMENDMENT) | Management | For | For |
| 03 | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS LABORATORY CORPORATION OF AMERICA HOLDINGS INDEPENDENT ACCOUNTANTS FOR 2004. | Management | For | For |

 ST. JUDE MEDICAL, INC. STJ Meeting Date: 05/12/2004

Issuer: 790849

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SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | MICHAEL A. ROCCA | Management | For | For |
| | DAVID A. THOMPSON | Management | For | For |
| | STEFAN K. WIDENSOHLER | Management | For | For |
| 02 | PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY S INDEPENDENT AUDITORS FOR 2004. | Management | For | For |
| 03 | PROPOSAL TO APPROVE THE COMPANY S MANAGEMENT INCENTIVE COMPENSATION PLAN. | Management | For | For |
| 04 | PROPOSAL TO INCREASE THE COMPANY S AUTHORIZED SHARES OF COMMON STOCK TO 500 MILLION SHARES. | Management | For | For |
| 05 | SHAREHOLDER PROPOSAL TO ADOPT THE COMMONSENSE EXECUTIVE COMPENSATION PROPOSAL. | Shareholder | Against | For |

TRIBUNE COMPANY TRB Meeting Date: 05/12/2004

Issuer: 896047

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | JEFFREY CHANDLER | Management | Withheld | Against |
| | WILLIAM A. OSBORN | Management | Withheld | Against |
| | KATHRYN C. TURNER | Management | Withheld | Against |
| 02 | RATIFICATION OF INDEPENDENT PUBLIC ACCOUNTANTS. | Management | For | For |
| 03 | APPROVAL OF AMENDMENTS TO THE TRIBUNE COMPANY 1997 INCENTIVE COMPENSATION PLAN. | Management | Against | Against |

Tri-Continental Corporation

UNIVISION COMMUNICATIONS INC. UVN Meeting Date: 05/12/2004

Issuer: 914906

SEDOL:

| Proposal | Proposal | Proposal | Vote | For or Against |
|----------|----------|----------|------|----------------|
|----------|----------|----------|------|----------------|

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| Number | Proposal | Type | Cast | Mg |
|--------|--|------------|----------|----|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | A. JERROLD PERENCHIO | Management | Withheld | Ag |
| | FERNANDO AGUIRRE | Management | Withheld | Ag |
| | HAROLD GABA | Management | Withheld | Ag |
| | ALAN F. HORN | Management | Withheld | Ag |
| | JOHN G. PERENCHIO | Management | Withheld | Ag |
| | RAY RODRIGUEZ | Management | Withheld | Ag |
| | MCHENRY T. TICHENOR, JR | Management | Withheld | Ag |
| 02 | PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS FOR FISCAL 2004. | Management | Against | Ag |
| 03 | PROPOSAL TO ADOPT THE 2004 PERFORMANCE AWARD PLAN. | Management | Against | Ag |

AMGEN INC. AMGN Meeting Date: 05/13/2004

Issuer: 031162

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | MR. FRANK J. BIONDI, JR | Management | Withheld | Ag |
| | MR. JERRY D. CHOATE | Management | Withheld | Ag |
| | MR. FRANK C. HERRINGER | Management | Withheld | Ag |
| | DR. GILBERT S. OMENN | Management | Withheld | Ag |
| 02 | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS OF THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2004. | Management | For | F |
| 3A | STOCKHOLDER PROPOSAL #1 (EEO-1 REPORT). | Shareholder | Abstain | Ag |
| 3B | STOCKHOLDER PROPOSAL #2 (STOCK OPTION EXPENSING). | Shareholder | For | Ag |

DUKE ENERGY CORPORATION DUK Meeting Date: 05/13/2004

Issuer: 264399

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|---------------------|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | For | F |
| | PAUL M. ANDERSON | Management | For | F |
| | ANN M. GRAY | Management | For | F |
| | MICHAEL E.J. PHELPS | Management | For | F |

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| | | | | |
|----|--|--------------------------|------------|--------|
| 02 | JAMES T. RHODES RATIFICATION OF DELOITTE & TOUCHE LLP AS DUKE ENERGY S INDEPENDENT AUDITORS FOR 2004. | Management Management | For For | F F |
| 03 | A SHAREHOLDER PROPOSAL RELATING TO DECLASSIFICATION OF DUKE ENERGY S BOARD OF DIRECTORS, IF PROPERLY PRESENTED AT THE ANNUAL MEETING. | Shareholder | For | Ag |

Tri-Continental Corporation

| | | |
|------------------|-----|--------------------------|
| LEAR CORPORATION | LEA | Meeting Date: 05/13/2004 |
|------------------|-----|--------------------------|

Issuer: 521865

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vot Cast | For or M |
|--------------------|--|--|--|--------------------------|
| 01 | DIRECTOR <div style="margin-left: 40px;"> LARRY W. MCCURDY ROY E. PARROTT RICHARD F. WALLMAN </div> | Management Management Management Management | Withheld Withheld Withheld Withheld | Aga Aga Aga Aga |
| 02 | RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT AUDITORS FOR 2004. | Management | For | F |
| 03 | STOCKHOLDER PROPOSAL REGARDING OUR SHAREHOLDER RIGHTS PLAN. | Shareholder | For | Aga |

| | | |
|----------------|-----|--------------------------|
| PARTNERRE LTD. | PRE | Meeting Date: 05/13/2004 |
|----------------|-----|--------------------------|

Issuer: G6852T

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|--------------------|--|--|---------------------------------|-----------------------|
| 01 | DIRECTOR <div style="margin-left: 40px;"> VITO H. BAUMGARTNER JEAN-PAUL MONTUPET JOHN A. ROLLWAGEN LUCIO STANCA </div> | Management Management Management Management Management | For For For For For | F F F F F |
| 02 | TO APPROVE AN INCREASE IN THE MAXIMUM NUMBER OF DIRECTORS FROM TEN TO ELEVEN, WITH THE MINIMUM NUMBER OF DIRECTORS SET AT THREE, AND FOR THE BOARD TO BE AUTHORIZED TO FILL ANY VACANCIES AS AND WHEN THEY DEEM EXPEDIENT. | Management | For | F |
| 03 | TO AMEND THE BYE-LAWS OF THE COMPANY TO REMOVE | Management | For | F |

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THE EXEMPTION WHICH EXCLUDES SWISS REINSURANCE COMPANY AND ITS AFFILIATES FROM THE PROHIBITION ON OBTAINING OR EXERCISING MORE THAN 9.9% OF THE VOTING RIGHTS ATTACHED TO THE COMPANY S ISSUED AND OUTSTANDING SHARES.

| | | | | |
|----|---|------------|---------|----|
| 04 | TO INCREASE THE NUMBER OF COMMON SHARES RESERVED FOR ISSUANCE UNDER THE PARTNERRE LTD. EMPLOYEE INCENTIVE PLAN FROM 3,500,000 COMMON SHARES TO 5,000,000 COMMON SHARES. | Management | For | F |
| 05 | TO REAPPOINT THE FIRM OF DELOITTE & TOUCHE TO SERVE AS INDEPENDENT AUDITORS OF THE COMPANY UNTIL THE COMPANY S 2005 ANNUAL GENERAL MEETING AND TO REFER TO THE BOARD OF DIRECTORS THE AUTHORITY TO DETERMINE THE REMUNERATION OF DELOITTE & TOUCHE. | Management | For | F |
| 06 | OTHER - IN THEIR DISCRETION UPON SUCH OTHER MATTERS, INCLUDING WITHHOLDING A QUORUM, IF NECESSARY, AS MAY PROPERLY COME BEFORE THE ANNUAL GENERAL MEETING. | Management | Against | Ag |

Tri-Continental Corporation

PULTE HOMES, INC. PHM Meeting Date: 05/13/2004

Issuer: 745867

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or M |
|-----------------|--|---------------|-----------|----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | RICHARD J. DUGAS, JR.* | Management | Withheld | Ag |
| | DAVID N. MCCAMMON* | Management | Withheld | Ag |
| | WILLIAM J. PULTE* | Management | Withheld | Ag |
| | FRANCIS J. SEHN* | Management | Withheld | Ag |
| | MICHAEL E. ROSSI** | Management | Withheld | Ag |
| 02 | A PROPOSAL TO ADOPT THE PULTE HOMES, INC. 2004 STOCK INCENTIVE PLAN. | Management | Against | Ag |
| 03 | A PROPOSAL BY A SHAREHOLDER REQUESTING THAT PULTE HOMES, INC. ADOPT A POLICY THAT THE SELECTION OF ITS INDEPENDENT AUDITOR BE SUBMITTED TO THE SHAREHOLDERS FOR RATIFICATION AT ANNUAL MEETINGS. | Shareholder | For | Ag |

TRANSOCEAN INC. RIG Meeting Date: 05/13/2004

Issuer: G90078

SEDOL:

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| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | ROBERT L. LONG | Management | Withheld | Against |
| | MARTIN B. MCNAMARA | Management | Withheld | Against |
| | ROBERT M. SPRAGUE | Management | Withheld | Against |
| | J. MICHAEL TALBERT | Management | Withheld | Against |
| 02 | APPROVAL OF THE AMENDMENT OF OUR LONG-TERM INCENTIVE PLAN AS DESCRIBED IN THE PROXY STATEMENT. | Management | For | For |
| 03 | APPROVAL OF THE APPOINTMENT OF ERNST & YOUNG LLP TO SERVE AS INDEPENDENT AUDITORS. | Management | For | For |

ANTHEM, INC. ATH Meeting Date: 05/17/2004

Issuer: 03674B

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|-------------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | VICTOR S. LISS | Management | For | For |
| | JAMES W. MCDOWELL, JR. | Management | For | For |
| | GEORGE A. SCHAEFER, JR. | Management | For | For |
| | JACKIE M. WARD | Management | For | For |

WATSON PHARMACEUTICALS, INC. WPI Meeting Date: 05/17/2004

Issuer: 942683

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | ALLEN CHAO, PH.D. | Management | Withheld | Against |
| | MICHEL J. FELDMAN | Management | Withheld | Against |
| | FRED G. WEISS | Management | Withheld | Against |
| 02 | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITOR FOR THE 2004 FISCAL YEAR. | Management | For | For |
| 03 | STOCKHOLDER PROPOSAL ON EXECUTIVE COMPENSATION | Shareholder | Against | For |

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Tri-Continental Corporation

 DEAN FOODS COMPANY DF Meeting Date: 05/18/2004

Issuer: 242370

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | ALAN J. BERNON | Management | Withheld | Against |
| | GREGG L. ENGLS | Management | Withheld | Against |
| | JOSEPH S. HARDIN, JR. | Management | Withheld | Against |
| | RONALD KIRK | Management | Withheld | Against |
| | JOHN S. LLEWELLYN, JR. | Management | Withheld | Against |
| 02 | PROPOSAL TO RATIFY DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITOR. | Management | For | For |

 ADVANCE AUTO PARTS, INC. AAP Meeting Date: 05/19/2004

Issuer: 00751Y

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | LAWRENCE P. CASTELLANI | Management | Withheld | Against |
| | JOHN C. BROUILLARD | Management | Withheld | Against |
| | GILBERT T. RAY | Management | Withheld | Against |
| | JOHN M. ROTH | Management | Withheld | Against |
| | CARLOS A. SALADRIGAS | Management | Withheld | Against |
| | WILLIAM L. SALTER | Management | Withheld | Against |
| | FRANCESCA SPINELLI | Management | Withheld | Against |
| | NICHOLAS F. TAUBMAN | Management | Withheld | Against |
| 02 | APPROVAL OF AN AMENDMENT TO OUR CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF OUR COMMON STOCK TO 200 MILLION SHARES. | Management | For | For |
| 03 | APPROVAL OF THE 2004 LONG-TERM INCENTIVE PLAN. | Management | Against | Against |
| 04 | RATIFICATION OF THE APPOINTMENT BY OUR AUDIT COMMITTEE OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT PUBLIC ACCOUNTANTS FOR 2004. | Management | For | For |

 AMERICAN INTERNATIONAL GROUP, INC. AIG Meeting Date: 05/19/2004

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Issuer: 026874

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | M. AIDINOFF | Management | Withheld | Against |
| | P. CHIA | Management | Withheld | Against |
| | M. COHEN | Management | Withheld | Against |
| | W. COHEN | Management | Withheld | Against |
| | M. FELDSTEIN | Management | Withheld | Against |
| | E. FUTTER | Management | Withheld | Against |
| | M. GREENBERG | Management | Withheld | Against |
| | C. HILLS | Management | Withheld | Against |
| | F. HOENEMEYER | Management | Withheld | Against |
| | R. HOLBROOKE | Management | Withheld | Against |
| | D. KANAK | Management | Withheld | Against |
| | H. SMITH | Management | Withheld | Against |
| | M. SULLIVAN | Management | Withheld | Against |
| | E. TSE | Management | Withheld | Against |
| | F. ZARB | Management | Withheld | Against |
| 02 | ADOPT CHIEF EXECUTIVE OFFICER ANNUAL COMPENSATION PLAN | Management | For | For |
| 03 | ADOPT A DIRECTOR STOCK PLAN | Management | For | For |
| 04 | RATIFICATION OF INDEPENDENT ACCOUNTANTS | Management | For | For |
| 05 | SHAREHOLDER PROPOSAL I DESCRIBED IN THE PROXY STATEMENT | Shareholder | For | Against |
| 06 | SHAREHOLDER PROPOSAL II DESCRIBED IN THE PROXY STATEMENT | Shareholder | Abstain | Against |
| 07 | SHAREHOLDER PROPOSAL III DESCRIBED IN THE PROXY STATEMENT | Shareholder | Abstain | Against |

Tri-Continental Corporation

INTEL CORPORATION

INTC

Meeting Date: 05/19/2004

Issuer: 458140

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | CRAIG R. BARRETT | Management | Withheld | Against |
| | CHARLENE BARSHEFSKY | Management | Withheld | Against |
| | E. JOHN P. BROWNE | Management | Withheld | Against |

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| | | | | | |
|----|--|-------------------|-------------|----------|----|
| | | ANDREW S. GROVE | Management | Withheld | Ag |
| | | D. JAMES GUZY | Management | Withheld | Ag |
| | | REED E. HUNDT | Management | Withheld | Ag |
| | | PAUL S. OTELLINI | Management | Withheld | Ag |
| | | DAVID S. POTTRUCK | Management | Withheld | Ag |
| | | JANE E. SHAW | Management | Withheld | Ag |
| | | JOHN L. THORNTON | Management | Withheld | Ag |
| | | DAVID B. YOFFIE | Management | Withheld | Ag |
| 02 | RATIFICATION OF SELECTION OF INDEPENDENT AUDITORS | | Management | For | F |
| 03 | APPROVAL OF THE 2004 EQUITY INCENTIVE PLAN | | Management | Against | Ag |
| 04 | STOCKHOLDER PROPOSAL REQUESTING THE EXPENSING OF STOCK OPTIONS | | Shareholder | For | Ag |
| 05 | STOCKHOLDER PROPOSAL REQUESTING THE USE OF PERFORMANCE-VEShareholder STOCK | | Shareholder | Against | F |
| 06 | STOCKHOLDER PROPOSAL REQUESTING THE USE OF PERFORMANCE-BAShareholder STOCK OPTIONS | | Shareholder | For | Ag |

 TARGET CORPORATION TGT Meeting Date: 05/19/2004

Issuer: 87612E

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | |
|-----------------|--|-------------------|------------|----------------|----|
| 01 | DIRECTOR | Management | Withheld | Ag | |
| | | CALVIN DARDEN | Management | Withheld | Ag |
| | | MICHELE J. HOOPER | Management | Withheld | Ag |
| | | ANNE M. MULCAHY | Management | Withheld | Ag |
| | | STEPHEN W. SANGER | Management | Withheld | Ag |
| | | WARREN R. STALEY | Management | Withheld | Ag |
| 02 | APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS. | Management | For | F | |
| 03 | APPROVAL OF THE TARGET CORPORATION LONG-TERM INCENTIVE PLAN. | Management | Against | Ag | |

Tri-Continental Corporation

 MEDIMMUNE, INC. MEDI Meeting Date: 05/20/2004

Issuer: 584699

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------|-----------|----------------|
|-----------------|----------|---------------|-----------|----------------|

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| | | | | | |
|----|--|----------------------|------------|----------|----|
| 01 | DIRECTOR | WAYNE T. HOCKMEYER | Management | Withheld | Ag |
| | | DAVID M. MOTT | Management | Withheld | Ag |
| | | DAVID BALTIMORE | Management | Withheld | Ag |
| | | M. JAMES BARRETT | Management | Withheld | Ag |
| | | MELVIN D. BOOTH | Management | Withheld | Ag |
| | | JAMES H. CAVANAUGH | Management | Withheld | Ag |
| | | BARBARA H. FRANKLIN | Management | Withheld | Ag |
| | | GORDON S. MACKLIN | Management | Withheld | Ag |
| | | ELIZABETH H.S. WYATT | Management | Withheld | Ag |
| 02 | TO APPROVE THE 2004 STOCK INCENTIVE PLAN | | Management | Against | Ag |
| 03 | TO APPROVE AND RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS FOR 2004 | | Management | For | F |

 TEVA PHARMACEUTICAL INDUSTRIES LIMIT TEVA Meeting Date: 05/20/2004

Issuer: 881624

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | TO RECEIVE AND DISCUSS THE COMPANY S CONSOLIDATED BALANCE SHEET AS OF DECEMBER 31, 2003 AND THE CONSOLIDATED STATEMENTS. | Management | For | F |
| 02 | TO APPROVE THE BOARD OF DIRECTORS RECOMMENDATION THAT THE CASH DIVIDEND FOR THE YEAR ENDED DECEMBER 31, 2003, WHICH WAS PAID IN FOUR INSTALLMENTS AND AGGREGATED NIS 1.44 (APPROXIMATELY US\$0.322) PER ORDINARY SHARE, BE DECLARED FINAL. | Management | For | F |
| 3A | TO ELECT ABRAHAM E. COHEN TO SERVE FOR A THREE-YEAR TERM. | Management | Against | Ag |
| 3B | TO ELECT LESLIE DAN TO SERVE FOR A THREE-YEAR TERM. | Management | Against | Ag |
| 3C | TO ELECT PROF. MEIR HETH TO SERVE FOR A THREE-YEAR TERM. | Management | Against | Ag |
| 3D | TO ELECT PROF. MOSHE MANY TO SERVE FOR A THREE-YEAR TERM. | Management | Against | Ag |
| 3E | TO ELECT DOV SHAFIR TO SERVE FOR A THREE-YEAR TERM. | Management | Against | Ag |
| 04 | TO APPROVE THE PURCHASE OF DIRECTOR S AND OFFICER S LIABILITY INSURANCE FOR THE DIRECTORS AND OFFICERS OF THE COMPANY. | Management | For | F |
| 05 | TO APPOINT KESSELMAN & KESSELMAN, A MEMBER OF PRICEWATERHOUSECOOPERS INTERNATIONAL LTD., AS THE COMPANY S INDEPENDENT AUDITORS FOR THE YEAR ENDING DECEMBER 31, 2004 AND AUTHORIZE THE AUDIT COMMITTEE TO DETERMINE THEIR COMPENSATION. | Management | For | F |

Tri-Continental Corporation

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 THE HARTFORD FINANCIAL SVCS GROUP, I HIG Meeting Date: 05/20/2004

Issuer: 416515

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | RAMANI AYER | Management | Withheld | Against |
| | RONALD E. FERGUSON | Management | Withheld | Against |
| | EDWARD J. KELLY, III | Management | Withheld | Against |
| | PAUL G. KIRK, JR. | Management | Withheld | Against |
| | THOMAS M. MARRA | Management | Withheld | Against |
| | GAIL J. MCGOVERN | Management | Withheld | Against |
| | ROBERT W. SELANDER | Management | Withheld | Against |
| | CHARLES B. STRAUSS | Management | Withheld | Against |
| | H. PATRICK SWYGERT | Management | Withheld | Against |
| | GORDON I. ULMER | Management | Withheld | Against |
| | DAVID K. ZWIENER | Management | Withheld | Against |
| 02 | RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT AUDITORS OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2004. | Management | For | For |
| 03 | PROPOSAL BY SHAREHOLDER RELATING TO EXECUTIVE COMPENSATION. | Shareholder | Against | For |

Tri-Continental Corporation

 TIME WARNER INC.

Meeting Date: 05/21/2004

Issuer: 887317

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|-----------------------|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | JAMES L. BARKSDALE | Management | Withheld | Against |
| | STEPHEN F. BOLLENBACH | Management | Withheld | Against |
| | STEPHEN M. CASE | Management | Withheld | Against |
| | FRANK J. CAUFIELD | Management | Withheld | Against |
| | ROBERT C. CLARK | Management | Withheld | Against |
| | MILES R. GILBURNE | Management | Withheld | Against |
| | CARLA A. HILLS | Management | Withheld | Against |
| | REUBEN MARK | Management | Withheld | Against |
| | MICHAEL A. MILES | Management | Withheld | Against |

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| | | | | | |
|----|---|-------------------------|-------------|----------|----|
| | | KENNETH J. NOVACK | Management | Withheld | Ag |
| | | RICHARD D. PARSONS | Management | Withheld | Ag |
| | | R.E. TURNER | Management | Withheld | Ag |
| | | FRANCIS T. VINCENT, JR. | Management | Withheld | Ag |
| 02 | RATIFICATION OF AUDITORS. | | Management | For | F |
| 03 | STOCKHOLDER PROPOSAL REGARDING CHINA BUSINESS PRINCIPLES. | | Shareholder | Abstain | Ag |
| 04 | STOCKHOLDER PROPOSAL REGARDING REPORT ON PAY DISPARITY. | | Shareholder | Abstain | Ag |

 FANNIE MAE FNM Meeting Date: 05/25/2004

Issuer: 313586

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|-----------------|---------------|-----------|-----------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | S.B. ASHLEY | Management | Withheld | Ag |
| | | K.M. DUBERSTEIN | Management | Withheld | Ag |
| | | T.P. GERRITY | Management | Withheld | Ag |
| | | T. HOWARD | Management | Withheld | Ag |
| | | A. KOROLOGOS | Management | Withheld | Ag |
| | | F.V. MALEK | Management | Withheld | Ag |
| | | D.B. MARRON | Management | Withheld | Ag |
| | | D.H. MUDD | Management | Withheld | Ag |
| | | A.M. MULCAHY | Management | Withheld | Ag |
| | | J.K. PICKETT | Management | Withheld | Ag |
| | | L. RAHL | Management | Withheld | Ag |
| | | F.D. RAINES | Management | Withheld | Ag |
| | | H.P. SWYGERT | Management | Withheld | Ag |
| 02 | RATIFICATION OF THE SELECTION OF AUDITORS | | Management | For | F |
| 03 | APPROVAL OF AN AMENDMENT TO THE FANNIE MAE EMPLOYEE STOCK PURCHASE PLAN | | Management | For | F |
| 04 | STOCKHOLDER PROPOSAL TO REINSTATE CUMULATIVE VOTING | | Shareholder | Against | F |

Tri-Continental Corporation

 GILEAD SCIENCES, INC. GILD Meeting Date: 05/25/2004

Issuer: 375558

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|-----------------|----------|--|---------------|-----------|-----------|
|-----------------|----------|--|---------------|-----------|-----------|

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| | | | | | |
|----|--|---------------------|------------|----------|----|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | PAUL BERG | Management | Withheld | Ag |
| | | ETIENNE F. DAVIGNON | Management | Withheld | Ag |
| | | JAMES M. DENNY | Management | Withheld | Ag |
| | | JOHN C. MARTIN | Management | Withheld | Ag |
| | | GORDON E. MOORE | Management | Withheld | Ag |
| | | NICHOLAS G. MOORE | Management | Withheld | Ag |
| | | GEORGE P. SHULTZ | Management | Withheld | Ag |
| | | GAYLE E. WILSON | Management | Withheld | Ag |
| 02 | TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS OF GILEAD FOR THE FISCAL YEAR ENDING DECEMBER 31, 2004. | | Management | For | F |
| 03 | TO APPROVE THE ADOPTION OF GILEAD S 2004 EQUITY INCENTIVE PLAN. | | Management | Against | Ag |
| 04 | TO APPROVE AN AMENDMENT TO GILEAD S RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE AUTHORIZED NUMBER OF SHARES OF GILEAD COMMON STOCK FROM 500,000,000 TO 700,000,000 SHARES. | | Management | For | F |

J.P. MORGAN CHASE & CO.

JPM

Meeting Date: 05/25/2004

Issuer: 46625H

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Against |
|-----------------|---|-------------------------|---------------|-----------|----------------|
| 01 | MERGER PROPOSAL | | Management | For | F |
| 02 | DIRECTOR | | Management | Withheld | Ag |
| | | HANS W. BECHERER | Management | Withheld | Ag |
| | | FRANK A. BENNACK, JR. | Management | Withheld | Ag |
| | | JOHN H. BIGGS | Management | Withheld | Ag |
| | | LAWRENCE A. BOSSIDY | Management | Withheld | Ag |
| | | ELLEN V. FUTTER | Management | Withheld | Ag |
| | | WILLIAM H. GRAY, III | Management | Withheld | Ag |
| | | WILLIAM B. HARRISON, JR | Management | Withheld | Ag |
| | | HELENE L. KAPLAN | Management | Withheld | Ag |
| | | LEE R. RAYMOND | Management | Withheld | Ag |
| | | JOHN R. STAFFORD | Management | Withheld | Ag |
| 03 | APPOINTMENT OF EXTERNAL AUDITOR | | Management | For | F |
| 04 | RE-APPROVAL OF KEY EXECUTIVE PERFORMANCE PLAN | | Management | Against | Ag |
| 05 | ADJOURNMENT OF MEETING, IF NECESSARY, TO SOLICIT ADDITIONAL PROXIES | | Management | For | F |
| 06 | DIRECTOR TERM LIMIT | | Shareholder | Against | F |
| 07 | CHARITABLE CONTRIBUTIONS | | Shareholder | Against | F |
| 08 | POLITICAL CONTRIBUTIONS | | Shareholder | For | Ag |
| 09 | SEPARATION OF CHAIRMAN AND CEO | | Shareholder | For | Ag |
| 10 | DERIVATIVE DISCLOSURE | | Shareholder | Against | F |
| 11 | AUDITOR INDEPENDENCE | | Shareholder | Against | F |
| 12 | DIRECTOR COMPENSATION | | Shareholder | Against | F |
| 13 | PAY DISPARITY | | Shareholder | Against | F |

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Tri-Continental Corporation

 ROYAL CARIBBEAN CRUISES LTD. RCL Meeting Date: 05/25/2004

Issuer: V7780T

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|--|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | TOR B. ARNEBERG | Management | Withheld | Against |
| | WILLIAM L. KIMSEY | Management | Withheld | Against |
| | GERT W. MUNTHE | Management | Withheld | Against |
| | THOMAS J. PRITZKER | Management | Withheld | Against |
| 02 | APPROVAL OF THE AMENDED AND RESTATED 2000 STOCK AWARD PLAN. | Management | Against | Against |
| 03 | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT ACCOUNTANTS FOR 2004. | Management | For | For |

 WEATHERFORD INTERNATIONAL LTD. WFT Meeting Date: 05/25/2004

Issuer: G95089

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | For | For |
| | PHILIP BURGUIERES | Management | For | For |
| | NICHOLAS F. BRADY | Management | For | For |
| | DAVID J. BUTTERS | Management | For | For |
| | BERNARD J. DUROC-DANNER | Management | For | For |
| | SHELDON B. LUBAR | Management | For | For |
| | WILLIAM E. MACAULAY | Management | For | For |
| | ROBERT B. MILLARD | Management | For | For |
| | ROBERT K. MOSES, JR. | Management | For | For |
| | ROBERT A. RAYNE | Management | For | For |
| 02 | APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS FOR THE YEAR ENDING DECEMBER 31, 2004, AND AUTHORIZATION OF THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS TO SET ERNST & YOUNG LLP S REMUNERATION. | Management | For | For |

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BANK OF AMERICA CORPORATION

BAC

Meeting Date: 05/26/2004

Issuer: 060505

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|---|---------------|-----------|----------------|
| 01 | DIRECTOR | Management | Withheld | Against |
| | WILLIAM BARNET, III | Management | Withheld | Against |
| | CHARLES W. COKER | Management | Withheld | Against |
| | JOHN T. COLLINS | Management | Withheld | Against |
| | GARY L. COUNTRYMAN | Management | Withheld | Against |
| | PAUL FULTON | Management | Withheld | Against |
| | CHARLES K. GIFFORD | Management | Withheld | Against |
| | DONALD E. GUINN | Management | Withheld | Against |
| | JAMES H. HANCE, JR. | Management | Withheld | Against |
| | KENNETH D. LEWIS | Management | Withheld | Against |
| | WALTER E. MASSEY | Management | Withheld | Against |
| | THOMAS J. MAY | Management | Withheld | Against |
| | C. STEVEN MCMILLAN | Management | Withheld | Against |
| | EUGENE M. MCQUADE | Management | Withheld | Against |
| | PATRICIA E. MITCHELL | Management | Withheld | Against |
| | EDWARD L. ROMERO | Management | Withheld | Against |
| | THOMAS M. RYAN | Management | Withheld | Against |
| | O. TEMPLE SLOAN, JR. | Management | Withheld | Against |
| | MEREDITH R. SPANGLER | Management | Withheld | Against |
| | JACKIE M. WARD | Management | Withheld | Against |
| 02 | RATIFICATION OF INDEPENDENT PUBLIC ACCOUNTANTS | Management | For | For |
| 03 | STOCKHOLDER PROPOSAL - ANNUAL MEETING DATE | Shareholder | Against | For |
| 04 | STOCKHOLDER PROPOSAL - NOMINATION OF DIRECTORS | Shareholder | Against | For |
| 05 | STOCKHOLDER PROPOSAL - CHARITABLE CONTRIBUTIONS | Shareholder | Abstain | Against |
| 06 | STOCKHOLDER PROPOSAL - MUTUAL FUND TRADING POLICIES | Shareholder | Against | For |
| 07 | STOCKHOLDER PROPOSAL - PRIVACY AND INFORMATION SECURITY | Shareholder | Abstain | Against |

Tri-Continental Corporation

CROWN CASTLE INTERNATIONAL CORP.

CCI

Meeting Date: 05/26/2004

Issuer: 228227

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against |
|-----------------|----------|---------------|-----------|----------------|
|-----------------|----------|---------------|-----------|----------------|

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| | | | | | |
|----|---|-------------------------|-------------|----------|----|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | RANDALL A. HACK | Management | Withheld | Ag |
| | | EDWARD C. HUTCHESON, JR | Management | Withheld | Ag |
| | | J. LANDIS MARTIN | Management | Withheld | Ag |
| 02 | APPROVAL OF COMPANY S 2004 STOCK INCENTIVE PLAN. | | Management | Against | Ag |
| 03 | THE RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE COMPANY S INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS FOR 2004. | | Management | For | F |
| 04 | STOCKHOLDER PROPOSAL REGARDING MACBRIDE PRINCIPLES. | | Shareholder | Against | F |

 EXXON MOBIL CORPORATION XOM Meeting Date: 05/26/2004

Issuer: 30231G

SEDOL:

| Proposal Number | Proposal | | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|---------------------|---------------|-----------|-----------|
| 01 | DIRECTOR | | Management | Withheld | Ag |
| | | M.J. BOSKIN | Management | Withheld | Ag |
| | | J.R. HOUGHTON | Management | Withheld | Ag |
| | | W.R. HOWELL | Management | Withheld | Ag |
| | | R.C. KING | Management | Withheld | Ag |
| | | P.E. LIPPINCOTT | Management | Withheld | Ag |
| | | H.J. LONGWELL | Management | Withheld | Ag |
| | | H.A. MCKINNELL, JR. | Management | Withheld | Ag |
| | | M.C. NELSON | Management | Withheld | Ag |
| | | L.R. RAYMOND | Management | Withheld | Ag |
| | | W.V. SHIPLEY | Management | Withheld | Ag |
| | | R.W. TILLERSON | Management | Withheld | Ag |
| 02 | RATIFICATION OF INDEPENDENT AUDITORS (PAGE 27). | | Management | For | F |
| 03 | APPROVAL OF 2004 NON-EMPLOYEE DIRECTOR RESTRICTED STOCK PLAN (PAGE 28). | | Management | For | F |
| 04 | POLITICAL CONTRIBUTIONS (PAGE 30). | | Shareholder | Abstain | Ag |
| 05 | POLITICAL CONTRIBUTIONS REPORT (PAGE 32). | | Shareholder | For | Ag |
| 06 | MEDIA RESPONSE ON EQUATORIAL GUINEA (PAGE 34). | | Shareholder | Abstain | Ag |
| 07 | BOARD CHAIRMAN AND CEO (PAGE 37). | | Shareholder | For | Ag |
| 08 | EXECUTIVE COMPENSATION (PAGE 39). | | Shareholder | Against | F |
| 09 | EQUITY COMPENSATION REPORT (PAGE 40). | | Shareholder | Abstain | Ag |
| 10 | AMENDMENT OF EEO POLICY (PAGE 42). | | Shareholder | Abstain | Ag |
| 11 | CLIMATE SCIENCE REPORT (PAGE 44). | | Shareholder | Abstain | Ag |

Tri-Continental Corporation

 SYNOPSIS, INC. SNPS Meeting Date: 05/26/2004

Issuer: 871607

SEDOL:

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| Proposal Number | Proposal | Proposal Type | Vote Cast | For or M |
|-----------------|---|---------------|-----------|----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | AART J. DE GEUS | Management | Withheld | Ag |
| | ANDY D. BRYANT | Management | Withheld | Ag |
| | CHI-FOON CHAN | Management | Withheld | Ag |
| | BRUCE R. CHIZEN | Management | Withheld | Ag |
| | DEBORAH A. COLEMAN | Management | Withheld | Ag |
| | A. RICHARD NEWTON | Management | Withheld | Ag |
| | SASSON SOMEKH | Management | Withheld | Ag |
| | ROY VALLEE | Management | Withheld | Ag |
| | STEVEN C. WALSKE | Management | Withheld | Ag |
| 02 | PROPOSAL TO APPROVE AN AMENDMENT TO THE COMPANY S 1994 NON- EMPLOYEE DIRECTORS STOCK OPTION PLAN TO EXTEND THE TERM OF SUCH PLAN BY FIVE YEARS. | Management | Against | Ag |
| 03 | PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS INDEPENDENT AUDITORS OF SYNOPSIS FOR FISCAL 2004. | Management | For | F |

WAL-MART STORES, INC. WMT Meeting Date: 06/04/2004

Issuer: 931142

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | JAMES W. BREYER | Management | Withheld | Ag |
| | M. MICHELE BURNS | Management | Withheld | Ag |
| | THOMAS M. COUGHLIN | Management | Withheld | Ag |
| | DAVID D. GLASS | Management | Withheld | Ag |
| | ROLAND A. HERNANDEZ | Management | Withheld | Ag |
| | DAWN G. LEPORE | Management | Withheld | Ag |
| | JOHN D. OPIE | Management | Withheld | Ag |
| | J. PAUL REASON | Management | Withheld | Ag |
| | H. LEE SCOTT, JR. | Management | Withheld | Ag |
| | JACK C. SHEWMAKER | Management | Withheld | Ag |
| | JOSE H. VILLARREAL | Management | Withheld | Ag |
| | JOHN T. WALTON | Management | Withheld | Ag |
| | S. ROBSON WALTON | Management | Withheld | Ag |
| | CHRISTOPHER J. WILLIAMS | Management | Withheld | Ag |
| 02 | APPROVAL OF THE ASDA COLLEAGUE SHARE OWNERSHIP PLAN 1999 | Management | Against | Ag |
| 03 | APPROVAL OF THE ASDA SHARES/SAVE PLAN 2000 | Management | Against | Ag |
| 04 | APPROVAL OF THE WAL-MART STORES, INC. 2004 ASSOCIATE STOCK PURCHASE PLAN | Management | For | F |
| 05 | RATIFICATION OF THE APPOINTMENT OF INDEPENDENT ACCOUNTANTS | Management | For | F |
| 06 | A SHAREHOLDER PROPOSAL REGARDING AN INDEPENDENT CHAIRMAN | Shareholder | For | Ag |

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|----|--|-------------|---------|----|
| 07 | A SHAREHOLDER PROPOSAL REGARDING A SUSTAINABILITY REPORT | Shareholder | Abstain | Ag |
| 08 | A SHAREHOLDER PROPOSAL REGARDING EQUITY COMPENSATION | Shareholder | Abstain | Ag |
| 09 | A SHAREHOLDER PROPOSAL REGARDING GENETICALLY ENGINEERED FOOD PRODUCTS | Shareholder | Abstain | Ag |
| 10 | A SHAREHOLDER PROPOSAL REGARDING AN EQUAL EMPLOYMENT OPPORTUNITY REPORT | Shareholder | Abstain | Ag |
| 11 | A SHAREHOLDER PROPOSAL REGARDING SHAREHOLDER APPROVAL OF PARTICIPATION IN THE OFFICER DEFERRED COMPENSATION PLAN | Shareholder | Against | F |

Tri-Continental Corporation

 PRUDENTIAL FINANCIAL, INC. PRU Meeting Date: 06/08/2004

Issuer: 744320

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | For | F |
| | ARTHUR F. RYAN | Management | For | F |
| | GASTON CAPERTON | Management | For | F |
| | GILBERT F. CASELLAS | Management | For | F |
| | KARL J. KRAPEK | Management | For | F |
| | IDA F.S. SCHMERTZ | Management | For | F |
| 02 | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS FOR THE YEAR ENDING DECEMBER 31, 2004. | Management | For | F |
| 03 | A SHAREHOLDER PROPOSAL REGARDING CHARITABLE CONTRIBUTIONS | Shareholder | Abstain | Ag |
| 04 | A SHAREHOLDER PROPOSAL REGARDING THE ANNUAL ELECTION \OF DIRECTORS. | Shareholder | For | Ag |

 BIOGEN IDEC INC. Meeting Date: 06/16/2004

Issuer: 09062X

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|-------------|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | For | F |
| | ALAN BELZER | Management | For | F |

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| | | | | | |
|----|--|-----------------|------------|-----|---|
| | | MARY L. GOOD | Management | For | F |
| | | JAMES C. MULLEN | Management | For | F |
| | | BRUCE R. ROSS | Management | For | F |
| 02 | TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY S INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2004. | | Management | For | F |

| | | |
|----------------|------|--------------------------|
| AUTODESK, INC. | ADSK | Meeting Date: 06/17/2004 |
|----------------|------|--------------------------|

Issuer: 052769

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | Mg |
|-----------------|---|------------------------|------------|----------------|----|
| 01 | DIRECTOR | | | | |
| | | CAROL A. BARTZ | Management | For | F |
| | | MARK A. BERTELSEN | Management | For | F |
| | | CRAWFORD W. BEVERIDGE | Management | For | F |
| | | J. HALLAM DAWSON | Management | For | F |
| | | MICHAEL J. FISTER | Management | For | F |
| | | PER-KRISTIAN HALVORSEN | Management | For | F |
| | | STEVEN SCHEID | Management | For | F |
| | | MARY ALICE TAYLOR | Management | For | F |
| | | LARRY W. WANGBERG | Management | For | F |
| 02 | PROPOSAL TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE INDEPENDENT AUDITORS OF AUTODESK, INC. FOR THE FISCAL YEAR ENDING JANUARY 31, 2005. | Management | For | | F |
| 03 | TO CONSIDER A STOCKHOLDER PROPOSAL IF PROPERLY PRESENTED AT THE MEETING. | Shareholder | Against | | F |

Tri-Continental Corporation

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|-----------------------|-----|--------------------------|
| MICHAELS STORES, INC. | MIK | Meeting Date: 06/17/2004 |
|-----------------------|-----|--------------------------|

Issuer: 594087

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Against | Mg |
|-----------------|----------|----------------------|------------|----------------|----|
| 01 | DIRECTOR | | | | |
| | | CHARLES J. WYLY, JR. | Management | Withheld | Ag |
| | | SAM WYLY | Management | Withheld | Ag |
| | | RICHARD E. HANLON | Management | Withheld | Ag |

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|----|---|-------------------|------------|----------|----|
| | | RICHARD C. MARCUS | Management | Withheld | Ag |
| | | LIZ MINYARD | Management | Withheld | Ag |
| | | CECE SMITH | Management | Withheld | Ag |
| 02 | RATIFICATION OF THE AUDIT COMMITTEE S SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT AUDITORS FOR FISCAL 2004. | | Management | Against | Ag |
| 03 | ADOPTION OF THE AMENDMENT TO THE RESTATED CERTIFICATE OF INCORPORATION. | | Management | For | F |

 ONYX PHARMACEUTICALS, INC. ONXX Meeting Date: 06/23/2004

Issuer: 683399

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | NICOLE VITULLO | Management | Withheld | Ag |
| 02 | TO APPROVE AN AMENDMENT TO THE COMPANY S 1996 EQUITY INCENTIVE PLAN TO INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THE PLAN BY 600,000 SHARES. | Management | Against | Ag |
| 03 | TO APPROVE AN AMENDMENT TO THE COMPANY S 1996 NON-EMPLOYEE DIRECTORS STOCK OPTION PLAN TO INCREASE THE NUMBER OF SHARES OF COMMON STOCK SUBJECT TO THE ANNUAL OPTION GRANT TO EACH NON-EMPLOYEE DIRECTOR UNDER THE PLAN TO 10,000 SHARES FROM 5,000 SHARES. | Management | Against | Ag |
| 04 | TO RATIFY SELECTION OF ERNST & YOUNG LLP AS INDEPENDENT AUDITORS OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2004. | Management | For | F |

 EBAY INC. EBAY Meeting Date: 06/24/2004

Issuer: 278642

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|--|---------------|-----------|-----------|
| 01 | DIRECTOR | Management | Withheld | Ag |
| | PHILIPPE BOURGUIGNON | Management | Withheld | Ag |
| | THOMAS J. TIERNEY | Management | Withheld | Ag |
| | MARGARET C. WHITMAN | Management | Withheld | Ag |
| 02 | APPROVAL OF AMENDMENTS TO OUR 1999 GLOBAL EQUITY INCENTIVE PLAN, INCLUDING AN AMENDMENT TO INCREASE BY 6,000,000 THE NUMBER OF SHARES OF | Management | Against | Ag |

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|----|--|-------------|---------|----|
| | COMMON STOCK THAT MAY BE ISSUED UNDER OUR 1999 PLAN. | | | |
| 03 | APPROVAL OF AN AMENDMENT TO OUR 2001 EQUITY INCENTIVE PLAN TO INCREASE BY 18,000,000 THE NUMBER OF SHARES OF COMMON STOCK THAT MAY BE ISSUED UNDER OUR 2001 PLAN. | Management | Against | Ag |
| 04 | APPROVAL OF AN AMENDMENT TO OUR CERTIFICATE OF INCORPORATION TO INCREASE THE AUTHORIZED NUMBER OF SHARES OF COMMON STOCK FROM 900,000,000 TO 1,790,000,000 SHARES. | Management | For | F |
| 05 | RATIFICATION OF SELECTION OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT AUDITORS FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2004. | Management | For | F |
| 06 | STOCKHOLDER PROPOSAL REQUESTING THE EXPENSING OF STOCK OPTIONS. | Shareholder | For | Ag |

Tri-Continental Corporation

 ANTHEM, INC. ATH Meeting Date: 06/28/2004

Issuer: 03674B

SEDOL:

| Proposal Number | Proposal | Proposal Type | Vote Cast | For or Mg |
|-----------------|---|---------------|-----------|-----------|
| 01 | TO APPROVE THE ISSUANCE OF SHARES OF ANTHEM, INC. COMMON STOCK PURSUANT TO THE AMENDED AND RESTATED AGREEMENT AND PLAN OF MERGER, EFFECTIVE AS OF OCTOBER 26, 2003, AMONG ANTHEM, ANTHEM HOLDING CORP. AND WELLPOINT, AS MORE FULLY DESCRIBED IN THE ACCOMPANYING JOINT PROXY STATEMENT/PROSPECTUS. | Management | For | F |
| 02 | TO APPROVE THE AMENDMENT OF ANTHEM S ARTICLES OF INCORPORATION, AS MORE FULLY DESCRIBED IN THE ACCOMPANYING JOINT PROXY STATEMENT/PROSPECTUS. | Management | For | F |

TRI-CONTINENTAL CORPORATION

Pursuant to this requirement of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized on the 31st day of August, 2004.

Signature

Title

 /s/ Brian T. Zino

 Chief Executive Officer
 (Principal Executive Officer)

Brian T. Zino