Edgar Filing: McGraw Deirdre Davey - Form 4

McGraw De	eirdre Davey										
Form 4											
September	17, 2012								0145.45		
FORM		статрс	SECU	DITIES	AND EV		NCE CO	MMISSION		PPROVAL	
Washington, D.C. 20549						JMIMISSION	OMB Number:	3235-0287			
Check the check							Expires:	January 31, 2005			
subject to Section 16. Form 4 or					I BENEI RITIES	FICL	AL OWN	ERSHIP OF		nated average en hours per	
Form 5 obligation may con <i>See</i> Inst 1(b).	ons Section 17(a) of the 1	Public U	tility Ho	lding Co	mpar	-	Act of 1934, 1935 or Section	·		
(Print or Type	Responses)										
McGraw Deirdre Davey S			Symbol					5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)				
(Mont			(Month/I	hui/Day/Teal)				Director 10% Owner _X Officer (give title Other (specify below) below)			
	RIPRISE FINAN GENERAL COU		09/14/2	2012				· · · · · · · · · · · · · · · · · · ·	Comm/Cmnity	Rltns	
				Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MINNEAF	OLIS, MN 55474							Form filed by M Form filed by M Person			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivativ	e Secu	rities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date any (Month/Day/Year)		Date, if	Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	n: Beneficial ect (D) Ownership ndirect (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	09/14/2012			М	8,183	А	\$ 32.5871	15,530	D		
Common Stock	09/14/2012			S	5,716	D	\$ 59.8635 (1)	9,814	D		
Common Stock								2,963.47	Ι	By 401(k) Plan (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 32.5871	09/14/2012		М	8,183	01/24/2009	01/23/2015	Common Stock	8,183

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
McGraw Deirdre Davey 1098 AMERIPRISE FINANCIAL CENTER GENERAL COUNSEL'S OFFICE MINNEAPOLIS, MN 55474			EVP Corp Comm/Cmnity Rltns				
Signatures							
/s/ Susan M. Beltz for Deirdre Davey McGraw	09/17/20	12					
<u>**</u> Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the weighted average price of 5,716 shares of common stock of Ameriprise Financial, Inc. sold by the reporting person in multiple transactions on September 14, 2012 with sale prices ranging from \$59.851 to \$59.88 per share. The reporting person undertakes

(1) Intuitible transactions on oppender 14, 2012 with sale prices tanging from \$55,501 to \$55,501 per state. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(2)

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Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise Financial 401(k) plan as of September 13, 2012. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.