

AMBROSEO JOHN
Form 4
February 01, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
AMBROSEO JOHN

2. Issuer Name and Ticker or Trading Symbol
COHERENT INC [COHR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/30/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
Pres. and CEO

P.O. BOX 54980

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SANTA CLARA, CA 95056-0980

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-------------|---|--------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Stock | 01/30/2013 | | M | | 20,000 | A | \$ 32.95 | 157,250 | I | Family Trust |
| Common Stock | 01/30/2013 | | S | | 20,000 | D | \$ 55.5318 (1) | 137,250 | I | Family Trust |
| Common Stock | 01/31/2013 | | M | | 101,853 | A | \$ 32.95 | 239,103 | I | Family Trust |
| Common Stock | 01/31/2013 | | S | | 101,853 | D | \$ 55.5463 (2) | 137,250 (3) | I | Family Trust |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---------|----------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount Number Shares |
| Non Qualified Stock Option (right to buy) | \$ 32.95 | 01/30/2013 | | M | 20,000 | 04/15/2009 10/03/2013 | Common Stock | 20,000 | |
| Non Qualified Stock Option (right to buy) | \$ 32.95 | 01/31/2013 | | M | 101,853 | 04/15/2009 04/15/2009 | Common Stock | 101,853 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------|-------|
| | Director | 10% Owner | Officer | Other |
| AMBROSEO JOHN P.O. BOX 54980 SANTA CLARA, CA 95056-0980 | X | | Pres. and CEO | |

Signatures

John Ambroseo 02/01/2013
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Represents the weighted average sales price for the shares. The range of prices for such sales was \$55.50 to \$55.82. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (2) Represents the weighted average sales price for the shares. The range of prices for such sales was \$55.50 to \$55.91. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (3) These shares have previously been listed as directly owned by Mr. Ambroseo, however beginning September 2010 newly issued shares have been deposited by him with a family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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