## Edgar Filing: Theravance Biopharma, Inc. - Form 4

Theravance I	Biopharma, Inc	с.									
Form 4											
May 22, 201	5										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL				
	UNITE	D STATES		RITIES A shington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check the				8 /					Expires:	January 31,	
if no long		EMENT O	F CHAN	GES IN	BENEF	ICIA	LOW	NERSHIP OF		2005	
subject to Section 1				SECUR	ITIES				Estimated average burden hours per		
Form 4 o							response	0.5			
Form 5	Filed p	oursuant to S	Section 1	6(a) of the	e Securit	ties E	xchang	e Act of 1934,	·		
obligation may cont		7(a) of the	Public U	tility Holo	ling Con	npan	y Act of	1935 or Sectio	n		
See Instru		30(h)	of the In	vestment	Compar	iy Ac	t of 194	40			
1(b).											
(Print or Type F	Responses)										
1 NT 1 A		D *		_				5 0 1 (* 1 * 6	ъ., с. ъ.		
	ddress of Reporti	ng Person _		r Name <b>and</b>	Ticker or	ker or Trading 5. Relationship of Reporting Person(s) to Issuer					
BLUM LEONARD M Symbol Issuer Theravance Biopharma, Inc. [TBPH]						100001					
			Therava	ince Biop	harma, I	nc. []	IBPHJ	(Chec	k all applicable	:)	
(Last)	(First)	(Middle)		f Earliest Tr	ansaction						
			(Month/D	-				Director		Owner	
	AVANCE BIO		05/20/2	015				XOfficer (give below)	below)	er (specify	
	01 GATEWAY	Y						Sr VP, C	hief Comm. Of	ficer	
BOULEVA	KD										
	(Street)		4. If Ame	ndment, Da	te Origina	.1		6. Individual or Jo	oint/Group Filin	g(Check	
			Filed(Mor	nth/Day/Year	)			Applicable Line)			
	NT							_X_Form filed by C Form filed by N	Ine Reporting Pe Iore than One Re		
SOUTH SA								Person		r8	
FRANCISC	O, CA 94080										
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A. Deen	ned	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea	ar) Execution	n Date, if	Transactio	n(A) or Di	ispose	d of (D)	Securities	Form: Direct	Indirect	
(Instr. 3)		any		Code	(Instr. 3,	4 and	5)	Beneficially	(D) or	Beneficial	
		(Month/L	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
								Reported	(1130. 4)	(1130. 4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Ordinary	05/00/2015						\$	207.107	D		
Shares	05/20/2015			F	673	D	14.24	207,126	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. or/Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Reporting O whet Funde / Fred too		10% Owner	Officer	Other			
BLUM LEONARD M C/O THERAVANCE BIOPHARMA US, INC. 901 GATEWAY BOULEVARD SOUTH SAN FRANCISCO, CA 94080				Sr VP, Chief Comm. Officer				
Signatures								
Leonard M. Blum	05/22/2015							
<u>**</u> Signature of Reporting Person	Date							

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. erif; margin: 0pt 0; text-align: justify">A copy of the opinion of Porter Wright Morris & Arthur LLP relating to the legality of the issuance and sale of the shares in the Offering is attached as Exhibit 5.1 hereto. A copy of the Underwriting Agreement is filed as Exhibit 1.1 and is incorporated herein by reference. The foregoing description of the Offering by the Company and the documentation related thereto does not purport to be complete and is qualified in its entirety by reference to such Exhibits.

#### **Item 8.01 Other Material Information.**

On February 9, 2018, the Company issued a press release announcing the pricing of the Offering described in Item 1.01. A copy of the press release is filed as Exhibit 99.1 and is incorporated herein by reference.

On February 13, 2018, the Company issued a press release announcing the closing of the Offering described in Item 1.01. A copy of the press release is filed as Exhibit 99.2 and is incorporated herein by reference.

## Item 9.01 Financial Statements and Exhibits.

#### (d) Exhibits.

#### Exhibit No. Description

1.1	Underwriting Agreement, dated February 9, 2018, by and between SB Financial Group, Inc. and FIG
	Partners, LLC.
5.1	Opinion of Porter Wright Morris & Arthur LLP.
23.1	Consent of Porter Wright Morris & Arthur LLP (included in Exhibit 5.1 filed herewith).
99.1	Press Release issued by SB Financial Group, Inc. dated February 9, 2018.
99.2	Press Release issued by SB Financial Group, Inc. dated February 13, 2018.

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#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## SB Financial Group, Inc.

Date: February 13, 2018 By:/s/ Anthony V. Cosentino Anthony V. Cosentino

Executive Vice President and Chief Financial Officer

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