#### Edgar Filing: CITIGROUP INC - Form 3

CITIGROUP INC

Form 3

September 30, 2005

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CITIGROUP INC [C] BANGA AJAY (Month/Day/Year) 09/20/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) CITIGROUP INC. (Check all applicable) **CORPORATE LAW** DEPT.. 425 PARK AVENUE 10% Owner Director 2ND FLOOR \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Co-CEO, Global Consumer Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting NEW YORK, NYÂ 10043 Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Â Common Stock D 87,909.5 Common Stock 2,155.6 I By 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

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Part	1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Right to Buy   07/12/2005   17/02/2005   Stock   47,137.5   \$21.92   D   A			-	Title	Number of		Direct (D) or Indirect (I)	
CRight to Buy   107/12/2006   02/12/2009   Stock   35,350   \$32,05   D   A		11/02/2003	11/02/2008		47,157.5	\$ 21.92	D	Â
CRight to Buy   O7/12/2006 02/12/2009   Stock   16,670   S 32.05   D   A		07/12/2005	02/12/2009		33,330	\$ 32.05	D	Â
CRight to Buy   CRight to Buy   Common (Right to Buy ) Common (Right to Buy ) (Common (Right to Buy ) (Right to		07/12/2006	02/12/2009		16,670	\$ 32.05	D	Â
CRight to Buy   04/18/2005 04/18/2010   Stock   S1,183.9   \$41.45   D   A		09/21/2001	11/02/2008		1,501	\$ 41.32	D	Â
Right to Buy   07/13/2005 02/13/2012   Stock   32,166   \$42.11   D   A		04/18/2005	04/18/2010		57,183.9	\$ 41.45	D	Â
(Right to Buy)         07/13/2006         02/13/2012         Stock         10,722         \$42.11         D         A           Employee Stock Options (Right to Buy)         07/13/2007         02/13/2012         Common Stock         10,722         \$42.11         D         Â           Employee Stock Options (Right to Buy)         01/20/2006         01/18/2011         Common Stock         9,726.5         \$47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2007         01/18/2011         Common Stock         9,726.5         \$47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2008         01/18/2011         Common Stock         9,726.5         \$47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2009         01/18/2011         Common Stock         9,726.5         \$47.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2009         01/20/2010         Common Stock         18,331.5         \$49.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2007         01/20/2010         Common Stock         18,331.5         \$49.5         D         Â           Employee Stock Options (Right to Buy)         07/16/2005	* *	07/13/2005	02/13/2012		32,166	\$ 42.11	D	Â
(Right to Buy)         07/13/2007         02/13/2012         Stock         10,722         \$ 42.11         D         A           Employee Stock Options (Right to Buy)         01/20/2006         01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2008         01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2008         01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2009         01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2009         01/20/2010         Common Stock         18,331.5         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2007         01/20/2010         Common Stock         18,331.5         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/16/2005         01/16/2011         Common Stock         21,444         \$ 49.55         D         Â           Employee Stock Options (Right to Buy)         07/16/2006		07/13/2006	02/13/2012		10,722	\$ 42.11	D	Â
(Right to Buy)         01/20/2006 01/18/2011         Stock         9,726.5         \$ 47.5         D         A           Employee Stock Options (Right to Buy)         01/20/2007 01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2008 01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2009 01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2005 01/20/2010         Common Stock         18,331.5         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2007 01/20/2010         Common Stock         18,331.5         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/16/2005 01/16/2011         Common Stock         21,444         \$ 49.55         D         Â           Employee Stock Options (Right to Buy)         07/16/2006 01/16/2011         Common Stock         21,444         \$ 49.55         D         Â	^ *	07/13/2007	02/13/2012		10,722	\$ 42.11	D	Â
(Right to Buy)         01/20/2007 01/18/2011         Stock         9,726.5         \$ 47.5         D         A           Employee Stock Options (Right to Buy)         01/20/2008 01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         01/20/2009 01/18/2011         Common Stock         9,726.5         \$ 47.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2005 01/20/2010         Common Stock         18,331.5         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/20/2007 01/20/2010         Common Stock         18,331.5         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/16/2005 01/16/2011         Common Stock         18,337         \$ 49.5         D         Â           Employee Stock Options (Right to Buy)         07/16/2005 01/16/2011         Common Stock         21,444         \$ 49.55         D         Â           Employee Stock Options (Right to Buy)         07/16/2006 01/16/2011         Common Stock         21,444         \$ 49.55         D         Â		01/20/2006	01/18/2011		9,726.5	\$ 47.5	D	Â
(Right to Buy)       01/20/2008 01/18/2011       Stock       9,726.5       \$ 47.5       D       A         Employee Stock Options (Right to Buy)       01/20/2009 01/18/2011       Common Stock       9,726.5       \$ 47.5       D       Â         Employee Stock Options (Right to Buy)       07/20/2005 01/20/2010       Common Stock       18,331.5       \$ 49.5       D       Â         Employee Stock Options (Right to Buy)       07/20/2007 01/20/2010       Common Stock       18,331.5       \$ 49.5       D       Â         Employee Stock Options (Right to Buy)       07/20/2007 01/20/2010       Common Stock       18,337       \$ 49.5       D       Â         Employee Stock Options (Right to Buy)       07/16/2005 01/16/2011       Common Stock       21,444       \$ 49.55       D       Â         Employee Stock Options (Right to Buy)       07/16/2006 01/16/2011       Common Stock       21,444       \$ 49.55       D       Â		01/20/2007	01/18/2011		9,726.5	\$ 47.5	D	Â
(Right to Buy)       01/20/2009 01/18/2011       Stock       9,726.5       \$ 47.5       D       A         Employee Stock Options (Right to Buy)       07/20/2005 01/20/2010       Common Stock       18,331.5       \$ 49.5       D       Â         Employee Stock Options (Right to Buy)       07/20/2006 01/20/2010       Common Stock       18,331.5       \$ 49.5       D       Â         Employee Stock Options (Right to Buy)       07/20/2007 01/20/2011       Common Stock       18,337       \$ 49.5       D       Â         Employee Stock Options (Right to Buy)       07/16/2005 01/16/2011       Common Stock       21,444       \$ 49.55       D       Â         Employee Stock Options (Right to Buy)       07/16/2006 01/16/2011       Common Stock       21,444       \$ 49.55       D       Â	* *	01/20/2008	01/18/2011		9,726.5	\$ 47.5	D	Â
(Right to Buy)       07/20/2005 01/20/2010 Stock       18,331.5 \$49.5       D A         Employee Stock Options (Right to Buy)       07/20/2006 01/20/2010 Common Stock       18,331.5 \$49.5       D Â         Employee Stock Options (Right to Buy)       07/20/2007 01/20/2010 Common Stock       18,337 \$49.5       D Â         Employee Stock Options (Right to Buy)       07/16/2005 01/16/2011 Common Stock       21,444 \$49.55       D Â         Employee Stock Options (Right to Buy)       07/16/2006 01/16/2011 Common Stock       5 361 \$49.55       D Â		01/20/2009	01/18/2011		9,726.5	\$ 47.5	D	Â
(Right to Buy)       07/20/2006 01/20/2010 Stock       18,331.5 \$49.5 D       A         Employee Stock Options (Right to Buy)       07/20/2007 01/20/2010 Stock       18,337 Stock       \$49.5 D       A         Employee Stock Options (Right to Buy)       07/16/2005 01/16/2011 Stock       Common Stock       21,444 \$49.55 D       A         Employee Stock Options (Right to Buy)       07/16/2006 01/16/2011 Common Stock       5 361 \$49.55 D       A	* *	07/20/2005	01/20/2010		18,331.5	\$ 49.5	D	Â
(Right to Buy)  O//20/2007 01/20/2010 Stock  Employee Stock Options (Right to Buy)  O//16/2005 01/16/2011 Common Stock  Employee Stock Options 07/16/2006 01/16/2011 Common 5 361 \$49.55 D Â		07/20/2006	01/20/2010		18,331.5	\$ 49.5	D	Â
(Right to Buy)  O//16/2005 01/16/2011 Stock  Employee Stock Options 07/16/2006 01/16/2011 Common 5 361 \$ 49.55 D Â	* *	07/20/2007	01/20/2010		18,337	\$ 49.5	D	Â
^ ^ \		07/16/2005	01/16/2011		21,444	\$ 49.55	D	Â
		07/16/2006	01/16/2011		5,361	\$ 49.55	D	Â

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## **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer

Other

**BANGA AJAY** 

CITIGROUP INC. CORPORATE LAW DEPT. 425 PARK AVENUE 2ND FLOOR NEW YORK, NYÂ 10043

Â

Co-CEO, Global Consumer Group Â

# **Signatures**

Ajay Banga by Glenn S. Gray, Attorney-in-Fact

09/30/2005

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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