Edgar Filing: NANOGEN INC - Form 4

NANOGEN Form 4	INC											
December 14	1, 2006											
FORM	UNITEL	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								OMB AF OMB Number:	PROVAL 3235-0287	
Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed pu inue. Section 17									Expires: January 31 2009 Estimated average burden hours per response 0.3		
(Print or Type F	Responses)											
RESPESS WILLIAM L Symbol				r Name and Ticker or Trading GEN INC [NGEN]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 10398 PACI	(First)	(Middle) COURT	3. Date of (Month/D 12/12/20	ay/Year		ansaction			Director X Officer (give below)	10%	Owner er (specify	
			nendment, Date Original Ionth/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN DIEGO	O, CA 92121								Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - No	n-D	erivative S	ecurit	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	saction Date 2A. Deemed /Day/Year) Execution Date, if any (Month/Day/Year)					of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	12/12/2006			А		25,000 (1)	А	\$ 2.09	70,616 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
Performance Option	\$ 2.09	12/12/2006		А	95,000	(3)	12/12/2016	Common Stock	95,00
Reporting Owners									
Reporting (Owner Name	Address	Relationships						
		Direc	tor 10% Owner	Officer			Other		

RESPESS WILLIAM L 10398 PACIFIC CENTER COURT SAN DIEGO, CA 92121

Sr. VP, General Counsel & Sec

Signatures

/S/ William L. Respess	12/13/2006			
**Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares subject to a Restricted Stock Unit Award, which vests monthly and, subject to certain conditions, becomes issuable on December 12, 2008.
- (2) Includes shares previously issued to the Reporting Person pursuant to the 401(K) plan of the Issuer.
- (3) Performance options with full cliff vesting upon achievement of certain financial goals of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.