Bank of New York Mellon CORP Form 4 July 03, 2007

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Number: January 31, 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

Estimated average

5. Relationship of Reporting Person(s) to

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

07/01/2007

Stock

O HANLE	Y RONALD P	•	mbol .nk of New Yo K]	ork Mellon Co	ORP	Is	suer (Check	all applicable	)	
(Mo			Wionin/Day/Tear)				Director 10% Owner X Officer (give title Other (specify below) Vice Chairman			
	(Street)		f Amendment, D ed(Month/Day/Yea	υ		Aj	Individual or Join pplicable Line)  K_ Form filed by On	ne Reporting Per	rson	
BOSTON,	MA 02108					Pe	_ Form filed by Mo erson	ore than One Rep	porting	
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Y	Code	4. Securities Acord Disposed of (Instr. 3, 4 and Amount	(A) or	(A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/01/2007		A	272,942.73	A	<u>(1)</u>	272,942.73	D		
Common Stock	07/01/2007		A	1,761.6	A	(1)	1,761.6	I	401(k) Plan (2)	
Common	07/01/2007		٨	222	٨	(1)	222	ĭ	Dy Con (3)	

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Α

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

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By Son (3)

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## displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 an
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
EMP OPT (Right to Buy) Type I 3/99	\$ 33.75	07/01/2007		A	14,600	03/02/2000(4)	03/01/2009	Common
EMP OPT (Right to Buy) Type I 6/01	\$ 43.99	07/01/2007		A	20,000	06/18/2002(4)	06/19/2011	Common Stock
EMP OPT-Right to Buy-Type I 1/02	\$ 38.7	07/01/2007		A	15,839	01/18/2003(4)	01/17/2012	Common
EMP OPT-Right to Buy-Type I 1/04	\$ 33.47	07/01/2007		A	25,940	01/23/2005(4)	01/22/2014	Common
EMP OPT-Right to Buy-Type I 1/05	\$ 29.21	07/01/2007		A	24,433	01/24/2006(4)	01/23/2015	Common
EMP OPT-Right to Buy-Type I 01/06	\$ 35.02	07/01/2007		A	23,788	01/23/2007(4)	01/22/2016	Common
EMP OPT-RTB-Type NQ 04/06	\$ 37.43	07/01/2007		A	72,050	04/21/2009(4)	04/20/2016	Common
EMP OPT-Right to Buy-Type R 05/06	\$ 36.56	07/01/2007		A	19,871	05/19/2009(5)	01/23/2013	Common
EMP OPT (Right to Buy) Type NQ 02/20/07	\$ 45.97	07/01/2007		A	387,489	02/20/2008(6)	02/19/2017	Common Stock
EMP OPT 02/07 Type NQS	\$ 45.97	07/01/2007		A	8,006	<u>(7)</u>	02/19/2017	Common

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

O HANLEY RONALD P MELLON FINANCIAL CENTER SUITE 0151 BOSTON, MA 02108

Vice Chairman

### **Signatures**

/s/ Arlie R. Nogay, Attorney-in-Fact

07/03/2007

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired in exchange for an equal number of shares of Mellon Financial Corporation ("MFC") common stock pursuant to the merger of MFC into The Bank of New York Mellon Corporation (the "Merger").
- (2) Holdings reported as of 06/30/2007.
- (3) I disclaim beneficial ownership of these shares.
- The options, which provide for vesting in three equal annual installments beginning on the date shown, were acquired in the Merger in exchange for an equal number of MFC options.
- (5) The options, which provide for a three-year vesting period, were acquired in the Merger in exchange for an equal number of options of MFC.
- (6) The options, which provide for vesting in five equal annual installments beginning on the date shown, were acquired in the Merger in exchange for an equal number of MFC options.
- (7) The options, which provide for vesting on the third anniversary of the consummation of the Merger, were acquired in the Merger in exchange for an equal number of MFC options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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