Bank of New York Mellon CORP Form 4 July 03, 2007

FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

Section 16. Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Peters Lisa B

2. Issuer Name and Ticker or Trading

Issuer

Symbol

Bank of New York Mellon CORP

(Check all applicable)

5. Relationship of Reporting Person(s) to

[BK]

(Last) (First) 3. Date of Earliest Transaction

Director

10% Owner Other (specify

(Middle)

(Month/Day/Year) ONE MELLON CENTER, SUITE 07/01/2007

X\_ Officer (give title below) Sr. Executive Vice President

4700

(City)

(Zip)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PITTSBURGH, PA 15258-0001

(Street)

(State)

(,)	(4)	Tab	le I - Non-l	Derivative Sec	curitie	s Acqui	rea, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities omr Disposed of (Instr. 3, 4 and	of (D)	red (A)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
		(Monui/Day/Tear)	Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock	07/01/2007		A	74,918.11	A	(1)	74,918.11	D	
Common Stock	07/01/2007		A	5,760.59	A	(1)	5,760.59	I	401(k) Plan (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I
EMP OPT-Right to Buy-Type NQ 07/98	\$ 34.4063	07/01/2007		A	2,200	07/24/1999 <u>(3)</u>	07/23/2008	Common Stock
EMP OPT-Right to Buy-Type NQ 7/99	\$ 35.25	07/01/2007		A	2,500	07/23/2000(3)	07/22/2009	Common Stock
EMP OPT-Right to Buy-Type I 7/00	\$ 40.25	07/01/2007		A	3,350	07/21/2001 <u>(3)</u>	07/20/2010	Common Stock
EMP OPT-Right to Buy-Type I 7/01	\$ 38.5	07/01/2007		A	4,050	07/20/2002 <u>(3)</u>	07/19/2011	Common Stock
EMP OPT-Right to Buy-Type I 1/02	\$ 38.7	07/01/2007		С	12,870	01/18/2003(3)	01/17/2012	Common Stock
EMP OPT-Right to Buy-Type NQ 1/02	\$ 38.7	07/01/2007		A	4,40	0 01/18/2003(3)	01/17/2012	Common Stock
EMP OPT-Right to Buy-Type I 1/03	\$ 23.19	07/01/2007		A	14,700	01/24/2004(3)	01/23/2013	Common Stock

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EMP OPT-Right to Buy-Type I 1/04	\$ 33.47	07/01/2007	A	16,423	01/23/2005(3) 01/22/2014	Common Stock
EMP OPT-Right to Buy-Type I 1/05	\$ 29.21	07/01/2007	A	26,179	01/24/2006 <sup>(3)</sup> 01/23/2015	Common Stock
EMP OPT-Right to Buy-Type NQ 1/06	\$ 35.02	07/01/2007	A	51,854	01/23/2007 <sup>(3)</sup> 01/22/2016	Common Stock
EMP OPT-Right to Buy-Type NQ 02/20/07	\$ 45.97	07/01/2007	A	61,324	02/20/2008(4) 02/19/2017	, Common Stock
EMP OPT 02/07 Type NQS	\$ 45.97	07/01/2007	A	3,360	07/01/2010 <u>(5)</u> 02/19/2017	, Common Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Peters Lisa B							
ONE MELLON CENTER			Sr. Executive				
SUITE 4700			Vice President				
PITTSBURGH, PA 15258-0001							

## **Signatures**

/s/ Arlie R. Nogay, Attorney-in-Fact 07/03/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquired in exchange for an equal number of shares of Mellon Financial Corporation ("MFC") common stock pursuant to the merger of MFC into The Bank of New York Mellon Corporation (the "Merger").

**(2)** Holdings reported as of 06/30/2007.

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- (3) The options, which provide for vesting in three equal annual installments beginning on the date shown, were acquired in the Merger in exchange for an equal number of MFC options.
- (4) The options, which provide for vesting in five equal annual installments beginning on the date shown, were acquired in the Merger in exchange for an equal number of MFC options.
- (5) The options, which provide for vesting on the third anniversary of the consummation of the Merger, were acquired in the Merger in exchange for an equal number of MFC options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.